

The Inquisitive Prosecutor's Guide



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STATEMENT AND CLOSING ARGUMENT)

STAYING WITHIN THE CIRCLE OF PERMISSIBLE OPENING STATEMENT AND CLOSING ARGUMENT*

This IPG memo discusses the current state of law on what prosecutors may permissibly say during opening statement and closing argument. Santa Clara County Deputy District Attorney Steve Dal Porto joins IPG to answer many of the most common (and difficult) questions arising in in trying to determine what is or is not prosecutorial misconduct. All areas of argument likely to raise claims (both warranted and unwarranted) of prosecutorial misconduct are covered with the exception of arguments implicating potential *Doyle* or *Griffin* error.** With the MCLE compliance period for group 3 attorneys (N-Z) looming (compliance date is 3/1/17) what better time to pick up 1.5 hours of ethics credit and review what type of arguments will or will not fly in opening statement and closing argument.***

This IPG memo is accompanied by a podcast providing 1.5 hours of ethics MCLE credit. Click below to listen to the podcast: <https://www.youtube.com/channel/UC5aiUCbAzLfrlQ8AdCF3GCA>

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***Doyle* and *Griffin* error was covered extensively in the 2016-IPG#17.

***Our promised memo on the new human trafficking laws will be featured in the next edition of IPG.

TABLE OF CONTENTS

I.	OPENING STATEMENT	6
A.	PURPOSE OF OPENING STATEMENT	6
1.	May Opening Statement Be Used for Argument?	6
B.	REFERENCE TO EVIDENCE IN OPENING STATEMENT NOT LATER ADMITTED AT TRIAL	7
1.	Is it Misconduct for a Prosecutor to Refer to Evidence in Opening Statement That is Not Later Admitted at Trial?	7
C.	USE OF ITEMS NOT YET INTRODUCED INTO EVIDENCE IN OPENING STATEMENT	7
1.	May a Prosecutor Utilize Objects in Opening Statement (Weapons, Transcripts, Etc.,) Even Though the Items Have Not Yet Been Introduced into Evidence?	7
D.	CONDUCT THAT WOULD BE IMPROPER IN CLOSING ARGUMENT WILL LIKELY BE EQUALLY IMPROPER IN OPENING STATEMENT	8
II.	CLOSING ARGUMENT	8
A.	VOUCHING - STATEMENT OF PERSONAL BELIEF IN GUILT OF DEFENDANT	9
1.	Statement of Personal Belief	9
2.	May a Prosecutor State He or She Personally Believes the Evidence Proves Defendant is Guilty?	10
3.	Use of Term "We Know . . ."	11
4.	Indirect or Less Obvious Statements of Personal Belief	11
5.	Can a Prosecutor State His or Her Personal Beliefs in Response to Defense Argument?	12
B.	VOUCHING - STATEMENT OF PERSONAL BELIEF IN CREDIBILITY OF WITNESS	12
1.	May a Prosecutor State His or Her Own Personal Belief in the Credibility of a Witness?	12
2.	Can Prosecutors Argue an Officer Would not Risk His or Her Job by Lying in Court?	13
3.	Can a Prosecutor Argue that in Order to Believe the Defense, the Jury Must Believe a Police Officer Witness Committed Perjury?	16
4.	Can a Prosecutor Explain His or Her Reasons for Granting Immunity to a Witness in Closing Argument?	16
C.	REFERENCE TO FACTS NOT IN PRESENTED TO JURY	16
1.	In General	16
2.	Can a Prosecutor Tell the Jury About Himself or Herself or Reference Personal Experiences?	17
3.	Introduction of Evidence Not Admitted at Trial During Closing Argument	18
4.	Can a Prosecutor Comment Upon the Courtroom Demeanor or Behavior, of a Defendant While Not on the Stand?	19
5.	Can a Prosecutor Argue a Defendant Has Changed His Appearance in Order to Raise Doubts as to Identity if the Defendant Does Not Testify, i.e., Comment Upon Defendant's Off-Stand Appearance?	21
6.	Can a Prosecutor Discuss Matters of General Knowledge During Argument?	21
7.	Quoting from Literary Sources	24

CC.	SPEAKING DIRECTLY TO INDIVIDUAL JURORS OR QUOTING FROM A JUROR DURING ARGUMENT	25
D.	MISSTATEMENT OF THE FACTS OR IMPLYING KNOWN TRUE FACTS ARE NOT TRUE	25
E.	ASKING JURORS TO SPECULATE VERSUS ASKING JURORS TO DRAW REASONABLE INFERENCES	26
F.	REFERENCE TO MOTION IN LIMINE RULINGS OR PX	27
FF.	REFERENCE TO HOLDINGS OF APPELLATE COURT DECISIONS	28
G.	MISSTATEMENT OF THE LAW	28
1.	Asking Jurors to View Their Own Personal Beliefs as that of “the Reasonable Person”	29
2.	Presumption of Innocence and Reasonable Doubt	29
3.	Describing Reasonable Doubt (Including by Way of Diagram)	30
4.	Comment on How Jurors Should Deliberate	35
5.	Telling Jurors They Have a Duty to Convict	35
6.	Comment on What Will Happen if There is a Holdout Juror	35
H.	MISUSE OF EVIDENCE ADMITTED FOR SINGLE PURPOSE	36
I.	ATTACKS ON DEFENDANT	36
1.	How Derogatory Can a Prosecutor Get in Referring to the Defendant?	36
2.	Can a Prosecutor Call a Defendant a Liar?	39
3.	Can a Prosecutor Argue the Defendant Concocted a Defense?	39
4.	The Fact Defendant Hired an Attorney is Not Evidence that Defendant Concocted a Defense or Consciousness of Guilt	39
J.	ATTACKS ON DEFENSE WITNESSES	40
1.	In General Can a Prosecutor Impugn a Defense Witness?	40
2.	Can a Prosecutor Call a Witness a “Liar?”	40
3.	Can a Prosecutor Comment on the Fact a Defense Witness is Getting Paid for Testimony?	41
4.	Can a Prosecutor Comment on the Fact a Defense Alibi Witness Failed to Come Forward Earlier?	42
K.	ATTACKS ON DEFENSE COUNSEL	43
1.	Can a Prosecutor Attack the Integrity of Defense Counsel or Imply or State Defense Counsel Has Fabricated a Defense?	43
a.	In General	43
b.	Actual Evidence of Fabrication	44
c.	Commenting on Fact Defendant Was Informed by Attorneys of Legal Defenses Does Not Suggest Attorneys Fabricated a Defense	45
d.	Commenting on Defense Being Adverse to the Truth	45
2.	Can a Prosecutor Attack the Generic Role of Defense Counsel?	46
3.	Can a Prosecutor Criticize a Defense Counsel's Shaky Legal Tactics, Dubious Arguments, or Mischaracterization of Facts?	47
a.	Anticipatory Attacks	48
b.	Attacks Implying that Permissible Defense Tactics Are Improper May be Misconduct	49
4.	Can a Prosecutor Point Out that Defense Counsel is Attempting to Confuse the Issues or Distract the Jury From the Evidence?	49

5.	Can a Prosecutor Point Out the Defense Attorney is a Skilled Lawyer or Will Be a Hard Charging Advocate?	51
6.	Can a Prosecutor Comment on the Fact There Are Discrepancies Between Defense Counsel's Opening Statement and Defendant's Testimony?	51
7.	Can a Prosecutor Claim that Defense Counsel Does Not Believe in His Own Case?	51
8.	Can a Prosecutor Discuss the Fact the Jury Should Not Be Influenced by the Fact the Defendant is Representing Himself?	52
L.	RESPONDING TO DEFENSE COUNSEL'S MISCONDUCT	52
1.	When Will the Defense "Open the Door" to Otherwise Improper Prosecutorial Argument?	52
a.	In General	52
b.	Some Leeway to Respond	53
c.	Responding to <i>Defendant's</i> Misconduct	55
M.	APPEAL TO SYMPATHY FOR VICTIM	55
1.	In General	55
2.	Asking Jurors to Put Themselves or Loved Ones in Position of Victim	56
a.	In General	56
b.	Exceptions to General Rule	57
3.	Vindicating the "Rights" of the Victim	58
4.	Referring to the Victim as the "Client" of the Prosecution	58
5.	Impact of Crime on the Victim's Family and Victim	59
N.	APPEALS TO THE PASSION OR PREJUDICES OF THE JURY	59
1.	Racial or Ethnic Appeals	60
2.	Tarring Defense Witness With Fact that Witness Previously Testified for Defense Counsel in Rape Case	60
3.	References to Notorious Historical Events or Cases	60
4.	Criticizing the System	61
5.	Discussing Facts of Common Knowledge	61
O.	CREATING UNDUE PRESSURE TO CONVICT BASED ON APPEALS TO THE JURORS' SELF-INTEREST	62
1.	Argument that Jurors Must Avoid Retrial	62
2.	Appeal to Jurors by Stating or Implying Only Fools or Those Lacking Common Sense Would Vote to Acquit	62
P.	APPEAL TO PUBLIC OPINION	62
Q.	ASKING JURY TO "SEND A MESSAGE," UPHOLD COMMUNITY VALUES, OR PROTECT PUBLIC SAFETY	63
1.	Future Conduct of Defendant	64
R.	APPEAL TO RELIGIOUS AUTHORITY	66
S.	BURDEN SHIFTING	66
1.	In General	66
2.	Commenting Upon Failure of Defense to Call Witnesses or Produce Evidence	67
a.	Argument on Failure to Call Witnesses Cannot Extend to What Witness Actually Would Have Said	68

b.	Argument on Failure to Call Witnesses Improper if Prosecution is Aware Witness Could Not Be Called	69
3.	Expressing Confusion Over the Defense and Posing Questions for Defense Counsel to Answer in Argument	69
4.	Describing “Presumption of Innocence” or “Reasonable Doubt” as a Shield to Protect the Innocent Not a Sword to Free the Guilty	70
T.	REFERENCE TO PUNISHMENT	70
U.	ARGUING GUILT BY ASSOCIATION	70
V.	FAILURE TO ABIDE BY COURT’S RULING	71
W.	OTHERWISE PERMISSIBLE ARGUMENTS THAT MISLEAD	71
X.	ANTICIPATORY ARGUMENT	72
Y.	REBUTTAL ARGUMENT	72
1.	Responding to Defense Argument	72
2.	Loading Up Rebuttal Argument	72
Z.	COMMENT UPON DEFENDANT’S EXERCISE OF HIS CONSTITUTIONAL RIGHTS	73
1.	Comment on Defendant’s Exercise of His Right to Trial	73
2.	Comment Upon Defendant’s Exercise of His Right to Counsel	73
3.	<i>Doyle</i> and <i>Griffin</i> Error	74
III.	WHEN MISCONDUCT IN CLOSING ARGUMENT OR OPENING STATEMENT WILL CAUSE REVERSAL	74
A.	PROSECUTORIAL MISCONDUCT RISING TO THE LEVEL OF FEDERAL CONSTITUTIONAL ERROR	75
B.	PROSECUTORIAL MISCONDUCT <i>NOT</i> RISING TO THE LEVEL OF FEDERAL CONSTITUTIONAL ERROR	76
C.	IMPACT OF DEFENSE FAILURE TO OBJECT TO ALLEGED MISCONDUCT IN TIMELY MANNER	76
IV.	BONUS SECTION: MISCONDUCT IN OPENING STATEMENT OR CLOSING ARGUMENT BY DEFENSE COUNSEL	77

I. OPENING STATEMENT

A. PURPOSE OF OPENING STATEMENT

1. May Opening Statement Be Used for Argument?

“The purpose of the opening statement is to inform the jury of the evidence the prosecution intends to present . . .” (*People v. Seumanu* (2015) 61 Cal.4th 1293, 1342; **see also** *People v. Stoll* (1904) 143 Cal. 689, 693 [opening statement “serves, and always has served, **but one purpose** in criminal procedure, which is, when made on the part of the people, to give the jury a general outline of the case which the prosecution claims it will prove”, emphasis added]; *People v. Nelson* (1964) 224 Cal.App.2d 238, 252 [similar].) However, “[t]he function of an opening statement is not only to inform the jury of the expected evidence, but also to prepare the jurors to follow the evidence and more readily discern its materiality, force, and meaning.” (*People v. Gurule* (2002) 28 Cal.4th 557, 610; *People v. Dennis* (1998) 17 Cal.4th 468, 518; **accord** *People v. Ramos* (1982) 30 Cal.3d 553, 575.)

Although there do not appear to be any actual cases affirmatively stating that opening statement may not be used for purposes of argument, there **are** many cases identifying the purpose or function of opening statement (**see** this outline, immediately above) and **none** of them say arguing the case falls within the purpose of opening statement. Moreover, there are cases where courts have rejected defendant’s claims that opening statement had been improperly used for purposes of argument. (**See e.g.**, *People v. Dykes* (2009) 46 Cal.4th 731, 761; *People v. David* (1939) 12 Cal.2d 639, 650.) And, presumably, the claim would not be made if it was perfectly okay to use opening statements to argue the case.

That being said, a prosecutor is not limited in opening statement to making an unadorned recital of the facts. “It is difficult to conceive of how the prosecutor can accomplish [the] function of [opening statement] if he is not entitled to state his theory of the case in terms of the requisite elements of the crime.” (*People v. Ramos* (1982) 30 Cal.3d 553, 575.)

In addition, “[n]othing prevents the statement from being presented in a story-like manner that holds the attention of lay jurors and ties the facts and governing law together in an understandable way.” (*People v. Seumanu* (2015) 61 Cal.4th 1293, 1342; *People v. Farnam* (2002) 28 Cal.4th 107, 168; **see also** *People v. Dennis* (1998) 17 Cal.4th 468, 518 [opening statement is not objectionable because it is “delivered it in a manner meant to hold the jurors’ attention”].) A prosecutor is not required “to describe relevant events in artificially drab or clinical terms.” (*People v. Millwee* (1998) 18 Cal.4th 96, 138.) Thus, there is nothing inappropriate about using epithets in describing defendant’s conduct in opening statement so long as they are “reasonably warranted by the evidence” and are “not inflammatory and principally aimed at arousing the passion or prejudice of the jury.” (*People v. Farnam* (2002) 28 Cal.4th 107, 168 [and finding prosecutor’s opening statement to be “no more than fair comment on what she anticipated the evidence would show” even though, in the course of opening statement, the prosecutor described defendant as “monstrous,” “cold-blooded,” vicious, and a “predator,” and called the evidence “horrifying” and “more horrifying than your worst nightmare”].)

B. REFERENCE TO EVIDENCE IN OPENING STATEMENT NOT LATER ADMITTED AT TRIAL

1. Is it Misconduct for a Prosecutor to Refer to Evidence in Opening Statement That is Not Later Admitted at Trial?

“The rule is that in an opening statement it is the duty of counsel to state the facts fairly and to refrain from referring to facts which he cannot or will not be permitted to prove.” (*People v. Romero* (2007) 149 Cal.App.4th 29, 44; *People v. Ney* (1965) 238 Cal.App.2d 785, 793; *People v. Nelson* (1964) 224 Cal.App.2d 238, 252–253.)

However, the fact that a prosecutor mentions evidence in opening statement that is not later introduced at trial is not necessarily misconduct. It is only when the evidence is “so patently inadmissible as to charge the prosecutor with knowledge that it could never be admitted” that remarks made in an opening statement to evidence later excluded constitute misconduct. (*People v. Dykes* (2009) 46 Cal.4th 731, 762; *People v. Davenport* (1995) 11 Cal.4th 1171, 1212-1213; *People v. Wrest* (1992) 3 Cal.4th 1088, 1108; *People v. Martinez* (1989) 207 Cal.App.3d 1204, 1225.)

⊗ **WARNING!!:** That being said, a prosecutor who is less than certain about the admissibility of evidence should not mention such evidence in opening statement without obtaining pre approval from the trial court.

Moreover, in the event the prosecutor discusses evidence in opening statement that is not later admitted, it is a good idea to ask for an instruction from the court admonishing the jury that statements of counsel are not evidence. Such an instruction will help mitigate any possible prejudice arising from the variance between opening statement and the evidence presented. (See e.g., *People v. Wrest* (1992) 3 Cal.4th 1088, 1108; *People v. Barajas* (1983) 145 Cal.App.3d 804, 809.)

C. USE OF ITEMS NOT YET INTRODUCED INTO EVIDENCE IN OPENING STATEMENT

1. May a Prosecutor Utilize Objects in Opening Statement (Weapons, Transcripts, Etc.) Even Though the Items Have Not Yet Been Introduced into Evidence?

A prosecutor may use admissible evidence in opening statement. “The purpose of the opening statement ‘is to prepare the minds of the jury to follow the evidence and to more readily discern its materiality, force and effect’ [citation], and the use of matters which are admissible in evidence, and which are subsequently in fact received in evidence, may aid this purpose.” (*People v. Wash* (1993) 6 Cal. 4th 215, 257; *People v. Green* (1956) 47 Cal.2d 209, 215; accord, *People v. Ramos* (1982) 30 Cal.3d 553, 575.)

“[I]t is well settled that the “use of photographs and tape recordings, intended later to be admitted in evidence, as visual or auditory aids is appropriate.” (*People v. Wash* (1993) 6 Cal. 4th 215, 257; *People v. Fauber* (1992) 2

Cal.4th 792, 827; **see also** *People v. Green* (1956) 47 Cal.2d 209, 215 [upholding use of photographs of murder victim during opening statement]; *People v. Kirk* (1974) 43 Cal.App.3d 921, 929 [rejecting claim of prosecutorial misconduct based on use of taped admissions during opening statement].)

Indeed, “[e]ven where a map or sketch is not independently admissible in evidence it may, within the discretion of the trial court, if it fairly serves a proper purpose, be used as an aid to the opening statement.” (*People v. Green* (1956) 47 Cal.2d 209, 215; **see also** *People v. Fauber* (1992) 2 Cal.4th 792, 826-827 [illustrative use of enlarged page from transcript of prosecution witness’ preliminary hearing testimony proper in opening statement even though prosecutor apparently only intended to call witness and not introduce transcript at trial].)

D. CONDUCT THAT WOULD BE IMPROPER IN CLOSING ARGUMENT WILL LIKELY BE EQUALLY IMPROPER IN OPENING STATEMENT

Section II of this handout, at pp. 8-74, will outline many of the recurring types of misconduct in closing argument. It should be assumed that statements of a prosecutor that would constitute misconduct in closing argument will *also* be deemed misconduct if done in the course of an opening statement. (**See e.g.**, *People v. Millwee* (1998) 18 Cal.4th 96, 137 [citing cases involving closing argument in assessing propriety of remarks in opening statement]; *People v. Adams* (1939) 14 Cal.2d 154, 161-162 [noting attempt to inflame jury (generally improper in closing argument) is misconduct in opening statement].)

II. CLOSING ARGUMENT

“[A] prosecutor is given wide latitude to vigorously argue his or her case and to make fair comment upon the evidence, including reasonable inferences or deductions that may be drawn from the evidence.” (*People v. Peoples* (2016) 62 Cal.4th 718, 796.) **However**, “[a] prosecutor is held to a standard higher than that imposed on other attorneys because of the unique function he or she performs in representing the interests, and in exercising the sovereign power, of the State.” (*People v. Espinoza* (1992) 3 Cal.4th 806, 819.) “A prosecutor’s closing argument is an especially critical period of trial. (*People v. Pitts* (1990) 223 Cal.App.3d 606, 694.) “Since it comes from an official representative of the People, it carries great weight and must therefore be reasonably objective.” (*Ibid.*) As the United States Supreme Court has explained, the prosecutor represents “a sovereignty whose obligation to govern impartially is as compelling as its obligation to govern at all; and whose interest, therefore, in a criminal prosecution is not that it shall win a case, but that justice shall be done.” (*Berger v. United States* (1935) 295 U.S. 78, 88; *People v. Hill* (1998) 17 Cal.4th 800, 819–820.)*

Editor’s note: But don’t tell this to the jury. (**See** *People v. Hawthorne* (1992) 4 Cal.4th 43, 59 [error for prosecutor to quote from dissenting opinion in *United States v. Wade* (1967) 388 U.S. 218 to the effect that law enforcement has an obligation to ascertain “the true facts surrounding the commission of the crime” while defense counsel do not]; **see also** *People v. Dale* (1978) 78 Cal.App.3d 722, 733-734.)

A. VOUCHING - STATEMENT OF PERSONAL BELIEF IN GUILT OF DEFENDANT

1. Statement of Personal Belief

It is misconduct for prosecutors to vouch for the strength of their cases by invoking their personal prestige, reputation, or depth of experience, or the prestige or reputation of their office. (*People v. Fuiava* (2012) 53 Cal.4th 622, 694; *People v. Huggins* (2006) 38 Cal.4th 175, 207.)

“[E]vidence of a prosecutor’s subjective motivations when prosecuting a case is not relevant[.]” (*People v. Seumanu* (2015) 61 Cal.4th 1293 1329.) “A prosecutor may not express a personal opinion or belief in the guilt of the accused when there is a substantial danger that the jury will view the comments as based on information other than evidence adduced at trial.’ [Citations.]” (*People v. Lopez* (2008) 42 Cal.4th 960, 971; accord *People v. Thomas* (2011) 51 Cal.4th 449, 487.)

“Statements by the prosecuting attorney, not based upon legitimate inferences from the evidence, to the effect that he has personal knowledge of the defendant’s guilt and that he would not conduct the prosecution unless he believed the defendant to be guilty are misconduct.” (*People v. Kirkes* (1952) 39 Cal.2d 719, 723; see also *People v. Bain* (1971) 5 Cal.3d 839, 847 [misconduct for prosecutor to state that he, as a black man, would not be prosecuting a black defendant unless he personally believed the man to be guilty]; *People v. Alvarado* (2006) 141 Cal.App.4th 1577, 1583-1585 [improper for a prosecutor to claim that she would not prosecute a case if she had a doubt about whether the crime occurred - even in response to a defense argument attacking the prosecutor’s credibility].)

“The danger that the jury will view the prosecutor’s expressed belief in the defendant’s guilt as being based on outside sources ‘is acute when the prosecutor offers his opinion and does not explicitly state that it is based solely on inferences from the evidence at trial.’ [Citations.]” (*People v. Lopez* (2008) 42 Cal.4th 960, 971.)

“Nevertheless, not all such comments are improper. Rather, ‘[t]he prosecutor's comments must ... be evaluated in the context in which they were made, to ascertain if there was a substantial risk that the jury would consider the remarks to be based on information extraneous to the evidence presented at trial.’ [Citations.]” (*People v. Lopez* (2008) 42 Cal.4th 960, 971.)

Editor’s note: One way of avoiding claims that a prosecutor has violated the rule against stating personal opinions is to include a caveat at some point in the closing argument, that any opinions expressed are based on the evidence presented. (See e.g., *People v. Prysock* (1982) 127 Cal.App.3d 972, 996 [in rejecting claim prosecutor’s expression of opinion required reversal, the court noted the prosecutor began his argument with the following comment: “[A]nything I say is only my opinion of what I feel the evidence shows. The evidence shows certain things; from these things you can infer that other things have happened. Throughout the course of my argument I will be giving you my opinions from what I feel the evidence shows. I’ve already formed my opinion in this case; you have not.”].)

2. May a Prosecutor State He or She Personally Believes the Evidence Proves Defendant is Guilty?

A prosecutor **may** state that he or she personally believes the evidence presented shows defendant is guilty beyond a reasonable doubt, so long as it is clear that the belief is based on the evidence presented. (See *People v. Mincey* (1992) 2 Cal.4th 408, 447-448; *People v. Ratliff* (1987) 189 Cal.App.3d 696, 702; *People v. Prysock* (1982) 127 Cal.App.3d 972, 997; *People v. Brown* (1981) 119 Cal.App.3d 116, 133; *People v. Dale* (1978) 78 Cal.App.3d 722, 733-734.) A “prosecutor has a wide-ranging right to discuss the case in closing argument. He has the right to fully state his views as to what the evidence shows and to urge whatever conclusions he deems proper.” (*People v. Tully* (2012) 54 Cal.4th 952, 1043; *People v. Lewis* (1990) 50 Cal.3d 262, 283.)

In *People v. Gamache* (2010) 48 Cal.4th 347, the court held that the statement of a prosecutor in three co-defendant case that “I think everybody here expects you to find him guilty and find the charges true” was a fair comment where the evidence was stronger against defendant than other co-defendants and the comment expressed nothing more than the prosecutor’s expectation that the jury would find defendant’s case an “easier case than his codefendants.” (*Id.* at p. 371.) The *Gamache* court also found the prosecutor’s statement that he was “flabbergasted” by the defense attorney’s argument not to be an improper statement of personal belief. (*Id.* at p. 372.)

In *People v. Ratliff* (1987) 189 Cal.App.3d 696, the defendant contended the prosecutor improperly attacked his alibi defense during final argument when he argued that he “would characterize the alibi defense ... as a Johnny-come-lately defense. It’s something that was cooked up...” (*Id.* at p. 702.) In rejecting defendant’s claim, the court stated a prosecutor may “relate to the jury that, in his opinion, the evidence shows that the defendant is guilty of the crime charged.” (*Ibid.*)

In *People v. Bush* (1978) 84 Cal.App.3d 294, the court held the statement of a prosecutor that the victim “did not deserve to die for slapping a woman” was not an expression of personal opinion that defendant was guilty but was merely a way of drawing jury’s attention to evidence tending to suggest that defendant’s stabbing of the victim was not commensurate with the force which he had used against her. (*Id.* at p. 308.)

In *People v. Dale* (1978) 78 Cal.App.3d 722, the court held the prosecutor’s comments that “this case is what we in the business call a lead pipe, it is a dead bang,” and “I tried to prove [defendant] guilty because I think that he is and the evidence shows it conclusively” were proper. (*Id.* at p. 733.) However, the court found the prosecutor “got carried away” when he stated, in reference to his concern the jury would think a pro per defendant might be taken advantage of, that “no one takes advantage of anybody in a criminal courtroom, no prosecutor, because of the higher standards of ethics that he is held to,” and that his “license, my ethics and my career are in the judge’s hands,” and that there is “no way that one defendant is worth taking advantage of, ever.” (*Id.* at pp. 733-734.)

Cases in the Ninth Circuit take a harder line, however, on the issue. (See *United States v. Kerr* (9th Cir.1992) 981 F.2d 1050, 1053 [“A prosecutor has no business telling the jury his individual impressions of the evidence”]; *United States v. Wright* (9th Cir. 2010) 625 F.3d 583, 610 [quoting *Kerr*]; *United States v. Hermanek* (9th Cir. 2002) 289 F.3d 1076, 1100 [same].)

⊕ **WARNING!! Thus, even if a prosecutor makes it clear to the jury that any expression of personal opinion is based on the evidence, prosecutors should venture into the realm of such expression with trepidation.**

3. Use of Term “We Know . . .”

It is not unusual for a prosecutor to use a collective form of expression (e.g., “we know the defendant is a loner”) as a way of saying, in effect, that the jury knew from the overwhelming evidence a particular fact.

The California Supreme Court has held an objection to the use of the term “we know” to be without merit where “the word ‘we’ obviously included the jury, and the comment referred to the evidence presented to the jury.” (See **People v. Mendoza** (2000) 24 Cal.4th 130, 172; see also **People v. Recarte** [unreported] 2014 WL 2739038, *12 [Use of ‘we know’ is not improper when used as the prosecutor did here to refer to the People’s evidence and to summarize the People’s case”]; **People v. Garcia** [unreported] 2011 WL 1318796, *7 [noting when the term is used in this context, the jury is not likely to interpret the prosecutor’s argument as a statement of personal beliefs derived from evidence the jury never heard]; but see **People v. Valencia** [unreported] 2010 WL 527984, *17 [“the better practice is to avoid using the phrase ‘we know’ in closing argument”].)

The Ninth Circuit, in **United States v. Younger** (9th Cir. 2005) 398 F.3d 1179, on the other hand, stated the term “we know” as in “we know the defendant did such and such . . .” should not be used in closing argument since it tends to blur the line between improper vouching and legitimate summary. Nevertheless, the Ninth Circuit found that where the use of the term was done “to marshal evidence actually admitted at trial and reasonable inferences from that evidence, not to vouch for witness veracity or suggest that evidence not produced would support a witness’s statements,” it was not improper. (*Id.* at p. 1191; see also **United States v. Bentley** (8th Cir. 2009) 561 F.3d 803, 811 [noting courts are often critical of, and discourage use of, terms “we know” and “I submit” but finding their use is not always “plain error”].)

4. Indirect or Less Obvious Statements of Personal Belief

Sometimes statements of the prosecutor regarding his or her personal belief are indirect and/or subtle. But this does not change the fact they may constitute misconduct. (See **People v. Fernandez** (2013) 216 Cal.App.4th 540, 561] [misconduct if prosecutor “*implies* she has evidence about which the jury is unaware,” emphasis added].)

For example, in **People v. Mendoza** (2007) 42 Cal.4th 686, in reference to the testimony of a child witness, the prosecutor stated, “I don’t know about you, I’m an old war horse. I’ve been through a lot of these. That choked me up when I saw that testimony.” (*Id.* at p. 704.) The **Mendoza** court characterized this statement as misconduct on grounds it reflected the prosecutor’s personal beliefs, presumably because it indirectly conveyed to the jury that the prosecutor believed the witness’ testimony. (*Ibid* [albeit finding comments were not prejudicial since reference was brief, it occurred during argument, and the judge admonished the jury].)

Similarly, in **People v. Fuiava** (2012) 53 Cal.4th 622, the defendant was charged with shooting and killing a police officer. One issue in the case was whether the deputy who was killed had fired on the defendant. The defense claimed he

did so, in part, because the deputy was a member of a group of deputies called the “Vikings” who the defendant claimed acted like a rival criminal gang to the defendant’s own street gang. During closing argument, the prosecutor took a pin symbolizing the “Vikings” and pinned it to his lapel. The prosecutor indicated that, while he was perhaps not worthy enough, he had received permission and was “going to become a Viking.” The California Supreme Court was “quite troubled” by this act, characterizing it as improper “vouching” because (i) “[t]he prosecutor essentially gave unsworn testimony that the Vikings were not a group of rogue deputies as the defense suggested, but were, instead, simply anyone who (with the deputies’ permission) wore a Viking pin in solidarity with the deputies”; (ii) “the prosecutor placed his own prestige and the prestige of his office behind the Vikings, and in so doing, improperly interjected into the trial his personal view of the credibility of the heart of the defense case;” and (iii) “the prosecutor’s comments that he had ‘asked permission’ to become a Viking, and, nonetheless, wondered if he was ‘worthy’ of doing so, implied to the jury that the status of the prosecutor and his office actually was less than that of the Vikings. (*Id.* at pp. 693-694 [and also finding the misconduct was compounded because the prosecutor had successfully argued to keep out evidence of the Vikings “bad reputation”].)

5. Can a Prosecutor State His or Her Personal Beliefs in Response to Defense Argument?

It is improper for a prosecutor to claim that she would not prosecute a case if she had a doubt about whether the crime occurred - even in response to a defense argument attacking the prosecutor’s credibility. (*People v. Alvarado* (2006) 141 Cal.App.4th 1577, 1583-1585.)

However, it was held proper rebuttal argument for a prosecutor to say “I like to win cases and this is a big case ... there were certain things [i.e., suborn perjury] I wasn’t going to do or compromise in order to win cases” where the prosecutor was rebutting the defense argument that he had prepared a witness to commit perjury. (*People v. Pensinger* (1991) 52 Cal.3d 1210, 1251.)

B. VOUCHING - STATEMENT OF PERSONAL BELIEF IN CREDIBILITY OF WITNESS

1. May a Prosecutor State His or Her Own Personal Belief in the Credibility of a Witness?

“A prosecutor is prohibited from vouching for the credibility of witnesses or otherwise bolstering the veracity of their testimony by referring to evidence outside the record. Nor is a prosecutor permitted to place the prestige of her office behind a witness by offering the impression that she has taken steps to assure a witness’s truthfulness at trial.” (*People v. Frye* (1998) 18 Cal.4th 894, 971 [quotations and citations omitted]; **see also** *People v. Seumanu* (2015) 61 Cal.4th 1293, 1330 [“it is misconduct ‘to suggest that evidence available to the government, but not before the jury, corroborates the testimony of a witness.’ . . . The vice of such remarks is that they ‘may be understood by jurors to permit them to avoid independently assessing witness credibility and to rely on the government’s view of the evidence’]; *People v. Turner* (2004) 34 Cal.4th 406, 433 [finding improper vouching occurred where prosecutor referred to his prior use of court-appointed experts when prosecutor was a defense attorney and openly expressed his admiration and respect for these witnesses].)

However, “a prosecutor may properly argue a witness is telling the truth based on the circumstances of the case.” (*People v. Boyette* (2002) 29 Cal.4th 381, 433.)

“So long as a prosecutor’s assurances regarding the apparent honesty or reliability of prosecution witnesses are based on the facts of [the] record and the inferences reasonably drawn therefrom, rather than any purported personal knowledge or belief, her comments cannot be characterized as improper vouching.” (*People v. Frye* (1998) 18 Cal.4th 894, 971 [quotations and citations omitted]; accord *People v. Redd* (2010) 48 Cal.4th 691, 740; *People v. Martinez* (2010) 47 Cal.4th 911, 958.)

Thus, in *People v. Peoples* (2016) 62 Cal.4th 718, the court held it was “reasonable commentary on the credibility of the witnesses and would not have been understood by the jury to vouch for the witnesses’ credibility” for the prosecutor to ask the jurors to compare the defense experts with the prosecution expert and note “There is no comparison. [The prosecution expert] is so much more capable, with no agenda, and serving the bottom line to you.” (*Id.* at p. 796.)

2. Can Prosecutors Argue an Officer Would Not Risk His or Her Job by Lying in Court?

In *People v. Woods* (2006) 146 Cal.App.4th 106, the defense challenged the credibility of the officers who arrested the defendant for engaging in a hand-to-hand sale of cocaine. Defendant was found in possession of cocaine after police arrested defendant. (*Id.* at p. 110.) During closing argument, the prosecutor argued: “In a day of videotapes and people standing out with video cameras, do you honestly believe that out of 12 officers that went to that location that day they all sat down and got together and cooked up what they are going to say, that they all agreed as to what was going to go into the report, and they allowed that report to be filed with their names in it and their serial numbers in it? They are going to risk their careers and their livelihood for kilos of cocaine? For some heroin? Maybe for some stolen Maserati car parts? No. For five rocks of cocaine? That’s what this comes down to, ladies and gentlemen. Mr. Woods and his cocaine that he tossed that day. 12 officers, 12 individual careers, pensions, house notes, car notes.” (*Id.* at p. 114.) Defense counsel objected that there was no evidence to support the argument, the objection was overruled and the prosecutor continued to argue the officers would risk “bank accounts and children’s tuition.” (*Id.* at p. 114.) Defense counsel again made a fruitless objection and the prosecutor rhetorically asked, “Are these 12 officers willing to risk those things for Mr. Woods and his five rocks of cocaine?” (*Id.* at p. 114.) Defense counsel objected that “12 officers didn’t testify” but the judge directed the prosecutor to continue. (*Id.* at p. 114.) On appeal, defendant claimed the prosecutor impermissibly vouched for prosecution witnesses and argued matters not in evidence. (*Id.* at p. 115.)

The *Woods* court held that the prosecutor’s reference to the 12 officers allowing a report to be filed with their names and serial numbers on it was **improper** as it extended beyond the evidence and implicitly suggested (i) that all 12 unidentified, mostly nontestifying officers, would testify to the same factual version of what occurred during the incident or its aftermath; (ii) the same 12 officers had been involved in a case or cases involving higher stakes such as kilos of cocaine, heroin, and stolen Maserati parts, but had not risked their careers for the higher stakes case or cases; and (iii) the same 12 officers had mortgages, car loans, and children in private schools. (*Id.* at p. 115.) The court concluded that implying that all 12 officers would testify to the same facts as the officers who were called

violated defendant's Sixth Amendment right to confront and cross-examine those witnesses. (*Id.* at p. 115.) Moreover, the court found that claims about the officers' financial obligations (i.e., the officers' mortgages, car loans, and kids in private school) were irrelevant and not supported by the record. (*Id.* at p. 115; **see also** *People v. Padilla* (1995) 11 Cal.4th 891, 946 [expressing doubt about the propriety of the prosecutor's argument that if the prosecution's ballistics expert had lied, he would have "risked his whole career of 17 years" and citing to a federal Sixth circuit case that held a similar argument improper].)

In the unpublished case of *People v. Lewis* [unreported] 2010 WL 4816087, the court found it error, albeit harmless, for the prosecutor to argue in rebuttal that it was unlikely the officer "would perjure himself and 'risk his career' for a simple methamphetamine case." (*Id.* at p. *5.) The *Lewis* court added this footnote: "We do not condone this argument. Several recent cases before this court have involved claims of prosecutorial misconduct arising out of arguments to the effect that a police officer would not risk his or her career by committing perjury, or that the officer would not risk losing his or her pension by committing perjury. These arguments are not based on common knowledge. The consequences of an officer's false, misleading, or mistaken testimony are matters outside the record, and involve, inter alia, procedural rights beyond common knowledge." (*Ibid.*)

In the case of *United States v. Weatherspoon* (9th Cir. 2005) 410 F.3d 1142, the Ninth Circuit also found it improper to argue officers would not risk their jobs by lying in court. In *Weatherspoon*, the defendant (a convicted felon) was a passenger in the front seat of a car stopped by two Las Vegas police officers. A loaded handgun was found underneath the front passenger seat. The police obtained statements from the driver and the rear seat passenger implicating the defendant. (*Id.* at pp. 1144-1145.) At trial, defense counsel argued that the initial statements of the driver and passenger should be disbelieved because they were made in response to police pressure. (*Id.* at p. 1145.) During his rebuttal argument, the prosecutor told the jury, the officers "had no reason to lie in this case or not tell the truth." A defense objection was overruled. The prosecutor then reiterated the point and stated "And they took the stand and told you the truth." The prosecutor then stated that if defense counsel was right about the officers lying, the officers "risk losin' their jobs, risk losin' their pension, risk losin' their livelihood. And, on top of that if they come in here and lie, I guess they're riskin' bein' prosecuted for perjury. Doesn't make sense because they came in here and told you the truth, ladies and gentleman." (*Id.* at p. 1146.) The court found the above statement improper vouching because it "clearly urged that the existence of legal and professional repercussions served to ensure the credibility of the officers' testimony" and thus was based on matters outside the record. (*Id.* at p. 1146; **see also** *United States v. Alcantara-Castillo* (9th Cir. 2015) 788 F.3d 1186, 1195 [prosecution improperly vouched for agent's credibility when it began its rebuttal argument by stating: "Ladies and gentlemen, this case boils down to the credibility of a 15-year methamphetamine addict, a man who has every incentive to lie, versus the testimony and the evidence of Border Patrol agents who are sworn to uphold the law" where there was no evidence in the record that Border Patrol agents were "sworn to uphold the law."]; *United States v. Combs* (9th Cir. 2004) 379 F.3d 564, 574-575 [finding prosecutor compounded error in asking defendant if the investigating officer was a liar by arguing that in order to acquit the defendant, the jury had to believe that agent risked losing his job by lying on the stand because the argument improperly implied prosecutor knew the agent would be fired for committing perjury]; *United States v. Boyd* (D.C. Cir. 1995) 54 F.3d 868, 871-872 [holding that a prosecutor improperly "relied on evidence not in the record" when she argued that police witnesses would not "jeopardize their careers and risk criminal prosecution" for perjury, and collecting cases].)

On the other hand, in ***People v. Caldwell*** (2013) 212 Cal.App.4th 1262, the court **upheld** a prosecutor’s argument that officers would have no reason to lie. In ***Caldwell***, the prosecutor, *in rebuttal* argument, stated: “In case you missed it, maybe it was a little too subtle, not only did the defense attorney just accuse those officers of lying, but he also committed—both of them getting on that stand, raising their right hand and committing perjury. There’s no other way to do that for this case. Why would [the detectives] put their career on the line for this case?” (**Id.** at p. 1270.) Following an objection from defense counsel that the court overruled, the prosecutor went on to say, “What makes this case so special that these officers would perjure themselves? What do they have against [the poor defendant]? Nothing. They’ve got nothing against [the defendant]. I mean—or maybe, maybe San Jose is such a great place to live, there’s no crime, they have to go after innocent people. You know that’s not true. There is no reason for those officers to lie.” (**Id.** at pp. 1270-171.) The ***Caldwell*** court held “response to defense arguments did not amount to the prosecutor’s personal assurance of officers’ veracity or place the prestige of the district attorney’s office behind the officers[.]” (**Id.** at p. 1270.) The ***Caldwell*** court appeared to distinguish the Ninth Circuit cases of ***Weatherspoon*** and ***Combs*** on the ground that the prosecutor in ***Caldwell*** was **rebutting** the defense attorney’s charge that the officers had lied. (**Id.** at p. 1271; **see also *People v. Dykes*** (2009) 46 Cal.4th 731,774 [finding it was “fair comment” to argue: “If you believe [defendant], [the officer] is lying, risking his career and everything it stands for, to somehow frame this man”].)

Editor’s note: As pointed out in the 03-11-13 P&A memo (written by Alameda County DDA Mary Pat Dooley), courts in California as well as in other jurisdictions are divided on this issue. (For cases referencing this divide, **see *Spain v. State*** (2005) 872 A.2d 25 and ***State v. Mussey*** (2006) 893 A.2d 701.) DDA Dooley had three cogent observations regarding the “why would they lie” argument:

- First, if you’re going to make the argument that officer would not have risked his career for this case, do it in rebuttal where you are responding to the defense allegation that your officer lied. Do not do it in the opening portion of your argument.
- Second, you are not arguing facts outside the record ***if your officer actually testified about the consequences of lying***. For example, in the unpublished case of ***People v. Thomas*** [unreported] 2010 WL 59177, defense counsel in closing argument said the officer had lied, and the prosecutor argued in rebuttal that the officer would not risk losing his job and being criminally prosecuted for perjury. The Court of Appeal rejected defendant’s vouching claim because, on redirect examination of his officer, the prosecutor “asked if the detective lied and made up the statement made by defendant. The detective answered in the negative. The detective denied having any motive to lie or that he would get a pay raise or anything if the case against defendant resulted in a conviction. Given the facts of the record and the inferences reasonably drawn there from, the prosecutor’s rebuttal argument properly responded to defense counsel’s accusations.”
- Third, both California and Ninth Circuit cases say that it’s proper to argue in rebuttal that an officer had no motive to lie or no reason to lie. Here’s the difference. As the courts look at it, saying your officer has no motive to lie or no reason to lie is a fair comment on the evidence. There’s no suggestion that you’re referring to facts outside the record. The prosecutor is simply saying to the jury, in effect, there’s nothing in the evidence that was presented to you that shows the officer had a reason to lie.

3. Can a Prosecutor Argue that in Order to Believe the Defense, the Jury Must Believe a Police Officer Witness Committed Perjury?

In *People v. Haslouer* (1978) 79 Cal.App.3d 818, the court held it was not objectionable for a prosecutor to argue that to believe the defense it was necessary to believe that the police officer who took the statement of a child witness committed perjury. (*Id.* at p. 833 [and rejecting defendant’s claim the argument was improper “since it pitted a well-respected institution, i.e., the police department, against the defendant”]; **but see** *United States v. Sanchez* (9th Cir. 1999) 176 F.3d 1214, 1224 [citing to *United States v. Richter* (2nd Cir. 1987) 826 F.2d 206, 209 for proposition that “prosecutors have been admonished time and again to avoid statements to the effect that, if the defendant is innocent, government agents must be lying”].)

4. Can a Prosecutor Explain His or Her Reasons for Granting Immunity to a Witness in Closing Argument?

It may be improper for the prosecutor to explain his or her personal reasons for granting immunity to a witness. In the case of *People v. Guzman* (1988) 45 Cal.3d 915, the court indicated that there might be merit to defendant’s argument that a prosecutor’s testimony regarding why immunity was offered to a witness was irrelevant and “was the functional equivalent of an expert opinion on the credibility of a witness”; and that the prosecutor’s closing argument tying together the prosecutor’s views with those of the judge who had accepted the immunity agreement “aggravated the error and amounted to vouching in the classic sense of that term.” (*Id.* at pp. 940-941; **see also** *People v. Seumanu* (2015) 61 Cal.4th 1293, 1331.)

C. REFERENCE TO FACTS NOT IN PRESENTED TO JURY

1. In General

It is misconduct for the prosecutor to refer to facts not in evidence. (*People v. Fuiava* (2012) 53 Cal.4th 622, 693; *People v. Pinholster* (1992) 1 Cal.4th 865, 948; *People v. Bolton* (1979) 23 Cal.3d 208, 212; *People v. Kirkes* (1952) 39 Cal.2d 719, 724; *People v. Hall* (2000) 82 Cal.App.4th 813, 818; *People v. Villa* (1980) 109 Cal.App.3d 360, 365; *People v. Galloway* (1979) 100 Cal.App.3d 551, 564.) A prosecutor is not permitted to suggest that evidence of which he or she is aware but which has been not submitted to the jury corroborates the prosecution’s case. (**See** *People v. Fuiava* (2012) 53 Cal.4th 622, 728; *People v. Linton* (2013) 56 Cal.4th 1146, 1207; *People v. Bonilla* (2007) 41 Cal.4th 313, 336; *People v. Cook* (2006) 39 Cal.4th 566, 593.)

Courts often treat “vouching” for the credibility of a witness or a statement of a prosecutor’s personal belief in the guilt of the defendant as an indirect form of attempting to bring before the jury facts in evidence. The theory is that by vouching for the credibility of the witness or the truth of defendant’s guilt, the prosecutor is implying to the jury the prosecutor is aware of additional evidence bearing on these issues to which the jury is not privy. (**See** *People v. Sandoval* (1992) 4 Cal.4th 155, 183.) And it is misconduct “to suggest that evidence available to the government, but not before the jury, corroborates the testimony of a witness.” (*People v. Mendoza* (2016) 62 Cal.4th 856, 906.)

2. Can a Prosecutor Tell the Jury About Himself or Herself or Reference Personal Experiences?

The prohibition on reference to facts not in evidence includes reference to personal information about the prosecutor. “[P]rosecutors should not invoke their personal beliefs or **experiences** as support for facts not in evidence[.]” (*People v. Yeoman* (2003) 31 Cal.4th 93, 149, emphasis added.)

For example, in *People v. Mendoza* (2007) 42 Cal.4th 686, in reference to the testimony of a child witness, the prosecutor stated, “I don't know about you, I'm an old war horse. I've been through a lot of these. That choked me up when I saw that testimony.” (*Id.* at p. 704.) The *Mendoza* court characterized this statement as misconduct, not only on grounds it reflected the prosecutor's personal beliefs, but also because it was based on facts not in evidence: “In underscoring the egregiousness of defendant's crimes, the prosecutor emphasized his long experience as a basis for assessing [the child's] testimony. This constituted misconduct.” (*Ibid* [albeit finding misconduct not prejudicial]; **see also** *People v. Edwards* (2013) 57 Cal.4th 658, 742 “[P]rosecutors should not purport to rely in jury argument on their outside experience . . .”]; *People v. Bandhauer* (1967) 66 Cal.2d 524, 530 [improper for prosecutor to tell jury that in his career he had seldom seen a more depraved character than the defendant because the prosecutor's opinion “was not related to the evidence in the case and was not subject to cross-examination”; and “presented to the jury an external standard by which to fix the penalty based on the prosecutor's long experience”]; *People v. Whitehead* (1957) 148 Cal.App.2d 701, 705 [“It is always misconduct for a prosecutor to bring before a jury facts from his own experience”]; **but see** *People v. Yeoman* (2003) 31 Cal.4th 93, 149 [prosecutor “did not clearly violate” the rule against arguing personal experiences by saying “you can try a million murder cases over the years and there is no special mark an individual has when he does murders” because this “merely restated, albeit from the rhetorical stance of a trial lawyer, the common wisdom that appearances can deceive”].)

In *People v. Monterroso* (2004) 34 Cal.4th 743, the prosecutor referenced special knowledge on several occasions. First, he described the protections afforded to criminal defendants who plead guilty in an attempt to rebut one witness' claim that she did not understand to what she had plead guilty. Second, the prosecutor described the procedures for assigning prison inmates in an apparent effort to challenge another defense expert's knowledge of prison practices. Third, the prosecutor described the duty of school officials to report child abuse in an apparent effort to challenge defendant's claim that he was beaten daily by his mother and stepfather. The court appeared to indicate such use of personal knowledge might not have been proper, but found it was not prejudicial since the references were brief and largely involved collateral matters. (*Id.* at pp. 786-787.) The *Monterroso* court also noted that “*it would have been better* if the district attorney had not invoked his own familiarity with the criminal justice system” when discussing how the jury may be unaware of “a whole industry of these defense experts that bounce around from trial to trial, state to state, collecting good money for testimony.” (*Id.* at pp. 783-784.) However, the *Monterroso* court did not find that a prosecutors' comments (in response to a defense expert's testimony regarding the problems of life in defendant's native country of Guatamala) that “Guatemala is not a cesspool. There are a lot of very nice, hard-working people that do very well, thank you” constituted improper reference to specialized knowledge. (*Id.* at p. 786.)

In **United States v. Wright** (9th Cir. 2010) 625 F.3d 583, a prosecutor stated, “Now, I’ve been handling these cases for a number of years and “ve seen where defense-where the defense of it was my roommate has been advanced, and I’ve seen the defense advanced that it was some sort of hacker or trojan or virus, something along those lines, and then I’ve also seen, well, somebody did something inappropriately, the interview, this, that, something along those lines. ¶ But never have I seen the trifecta, all three in this same place.” (**Id.** at p. 610.) The court held this comment was misconduct as it “improperly introduced evidence outside the record- i.e., the prosecutor’s experience with similar cases-as a means of commenting on the defense’s case and Wright’s credibility.” (**Id.** at p. 611-612.)

In **United States v. Leon-Reyes** (9th Cir. 1999) 177 F.3d 816, a prosecutor discussed how he was proud to be an attorney, told a story about how his immigrant grandfather took him to court, and said he tried cases for 26 years both as a defense attorney and as a prosecutor around the country. The court held the information was objectionable since it was irrelevant, unnecessary, and tended to vouch for [the prosecutor’s] own credibility and thereby the credibility of the prosecution’s case. (**Id.** at p. 822; **cf.**, **People v. Castillo** (2008) 168 Cal.App.4th 364, 386 [prosecutor should not have interjected personal information about himself or other cases he had tried *during voir dire*].)

3. Introduction of Evidence Not Admitted at Trial During Closing Argument

It goes without saying that a prosecutor may not refer to evidence in closing argument that was not introduced at trial. (**See People v. Tate** (2010) 49 Cal.4th 635, 694; **People v. Brophy** (1954) 122 Cal.App.2d 638, 652.)

In **People v. Tate** (2010) 49 Cal.4th 635, the court held it was improper for the prosecutor to ask the jury to consider the size of a revolver and then use his hands to demonstrate the size - where no evidence had been elicited about the subject. (**Id.** at p. 694 [albeit finding misconduct harmless in light of curative admonition to disregard the statement and general instruction that arguments are not evidence].)

In **People v. Higgins** (2011) 191 Cal.App.4th 1075 [*depublished*], an issue arose at trial as to whether the defendant could have carried some firearms in his pants pocket without the victim noticing the bulge caused by the firearms. In a demonstration before the jury, the defendant wore the pants he had allegedly worn on the date of the crime while two handguns were stowed in the pocket. In closing argument, the prosecutor stated, “I don’t know if those pockets are the same.... I would submit to you that when the defendant was walking by, I could see the bulges through the lining, regardless.” (**Id.** at p. 1099.) The court held this was improper as no witness testified to what could be seen in the pocket and thus the prosecutor was essentially testifying to a fact not in the record during closing argument. (**Ibid.**)

In **People v. Brophy** (1954) 122 Cal.App.2d 638, the prosecutor committed prejudicial misconduct by producing in closing argument a theretofore-missing bullet that had never been admitted in evidence. (**Id.** at p. 652.)

In *People v. Sanchez* (2014) 228 Cal.App.4th 1517, the appellate court tried to squeeze a prosecutor's statement that a defendant would be going home and laughing at the jurors' expense if one of the jurors believed the defense argument into the category of arguing facts not in evidence. The court reasoned the prosecutor's comment was based on speculation or the prosecutor's personal belief about defendant's character even though there was no evidence as to defendant's character. (*Id.* at pp. 1532-1533.) The *Sanchez* court also believed this argument was improper even if there had been evidence of defendant's off-stand demeanor because "[c]onsideration of [a] defendant's behavior or demeanor while off the stand violates the rule that criminal conduct cannot be inferred from bad character." (*Id.* at p. 1533.) See this outline, section II-C-4, at p. 19.)

4. Can a Prosecutor Comment Upon the Courtroom Demeanor or Behavior of a Defendant While Not on the Stand?

"[C]omment during the guilt phase of a capital trial on a defendant's courtroom demeanor is improper . . . unless such comment is simply that the jury should ignore a defendant's demeanor." (*People v. Boyette* (2002) 29 Cal.4th 381, 434; see also *United States v. Schuler* (9th Cir. 1987) 813 F.2d 978, 981 [prosecutor's comment during argument on a non-testifying defendant's laughter during testimony was misconduct warranting reversal of the judgment].)

In criminal trials of guilt, prosecutorial references to a non-testifying defendant's demeanor or behavior in the courtroom have been held improper on three grounds: (i) demeanor evidence is cognizable and relevant only as it bears on the credibility of a witness and where a defendant does not testify, his credibility is not in issue; (ii) prosecutorial comment infringes on the defendant's right not to testify; and (iii) consideration of the defendant's behavior or demeanor while off the stand violates the rule that criminal conduct cannot be inferred from bad character." (*People v. Boyette* (2002) 29 Cal.4th 381, 434; *People v. Heishman* (1988) 45 Cal.3d 147, 197.)

A common argument made by prosecutors is to ask the jury not to confuse the image of the defendant as displayed in the courtroom (i.e., quiet, behaved, nonaggressive, etc.,) with how the defendant must have appeared or acted during the commission of the charged crime. This may be viewed as simply no more than properly asking the jury to ignore defendant's courtroom demeanor. (See *People v. Price* (1991) 1 Cal.4th 324, 454 [prosecutor did not err when telling the jury in opening statement to disregard the defendant acting like a gentleman and attempting to play the "Gee willikers, golly shucks" role at trial since the comment "did not urge the jury to draw any adverse inference from defendant's courtroom behavior," but rather they "advised the jury, in effect, to ignore defendant's courtroom demeanor and to determine his guilt or innocence on the basis of the evidence."]; see also *People v. Bell* [unreported] 2015 WL 6470796, at *8 [proper to comment on defendant's short hair and calm demeanor while sitting at counsel table while advising the jury to disregard defendant's appearance at trial and to decide the facts of the case based on the evidence]. Prosecutors must be careful in how this argument is presented, however.

In *People v. Boyette* (2002) 29 Cal.4th 381, the prosecutor said "[Defendant is a] very remorseless, cold-blooded individual.... Remember, appearances can be very deceiving and he's been working on you. He has been working on you, watching you come and go, smiling and waving when he's introduced to you. Appearances, ladies and gentlemen, can be very deceiving." (*Id.* at p. 434.) The *Boyette* court held that "to the extent [the

prosecutor] was simply urging the jury to disregard defendant's demeanor, there was no misconduct" but "[t]o the extent she was instead suggesting that the jury should find defendant was duplicitous based on his courtroom demeanor, she committed misconduct." (*Ibid.*)

In *People v. Sanchez* (2014) 228 Cal.App.4th 1517, the court held a prosecutor's statement that a defendant would be going home and laughing at the jurors' expense if one of the jurors believed the defense argument was improper even if there had been evidence of defendant's off-stand demeanor because "[c]onsideration of [a] defendant's behavior or demeanor while off the stand violates the rule that criminal conduct cannot be inferred from bad character." (*Id.* at p. 1533.)

In *People v. Vance* (2010) 188 Cal.App.4th 1182, the court held that a prosecutor's remarks about "the defendant's appearance throughout this trial" being "extremely deceiving" what with "the defendant ... sitting there looking like a pitiful excuse for a human being" were at best, imprudent, because "comment during the guilt phase of a capital trial on a defendant's courtroom demeanor is improper." (*Id.* at p. 1201.)

Moreover, a defendant's courtroom demeanor or behavior may sometimes be relevant on an issue other than guilt and thus "comment on courtroom demeanor may be proper under some circumstances." (*People v. Edelbacher* (1989) 47 Cal.3d 983, 1031; *see e.g., People v. Valencia* (2008) 43 Cal.4th 268, 307-308 [proper to consider defendant's courtroom demeanor in penalty phase of capital trial]; *People v. Navarette* (2003) 30 Cal.4th 458, 516 [comment during penalty phase closing argument on defendant angrily pointing finger at prosecutor permissible]; *People v. Coddington* (2000) 23 Cal.4th 529, 613 [proper for prosecutor to ask expert about defendant's behavior in courtroom to show defendant was a "con man" in sanity phase]; *People v. Prince* (1988) 203 Cal.App.3d 848, 854-856 [proper for prosecutor to ask jurors to consider defendant's demeanor at counsel table in determining whether defendant was competent].)

Moreover, *if a witness on the stand testifies* to a defendant's relevant off-stand, but in-court, behavior or demeanor (i.e., "a finger across the throat" gesture), it may be commented upon because it is now in evidence. This makes sense since the primary concern of the court with prosecutorial comment on off-stand demeanor is that the jury may not have seen the behavior and the defense had no chance to cross-examine about whether it occurred. (*See People v. Manson* (1976) 61 Cal.App.3d 102, 156 [testimony establishing a defendant's attempt to intimidate a witness while she is testifying is relevant evidence]; *United States v. Gatto* (3rd Cir. 1993) 995 F.2d 449, 455-456 [prosecution may discuss in closing argument a witness' testimony that he had received intimidating looks from a defendant before and *during the time* the witness was on the stand in order to show consciousness of guilt and to explain the witness' reluctance to give information on direct and eagerness to agree with defense on cross]; *United States v. Mickens* (2nd Cir. 1991) 926 F.2d 1323, 1329 [testimony of prosecution witness that defendant made hand gesture in the shape of a gun as witness entered courtroom to testify was admissible to prove consciousness of guilt, albeit not the bad character of the defendant]; *United States v. Maddox* (6th Cir. 1991) 944 F.2d 1223, 1229-1230 [witness permitted to testify that defendant mouthed in-court threat to her during break in testimony].)

Finally, if the prosecutor's comment on a defendant's off-stand demeanor is made in a trial where the defendant has testified, at least one of the reasons for finding such comment improper (i.e., that prosecutorial comment

impinges on the defendant's Fifth Amendment right not to testify) that is obviated. (See *People v. Edelbacher* (1989) 47 Cal.3d 983, 1031; *Allen v. Woodford* (9th Cir. 2005) 395 F.3d 979, 997.)

5. Can a Prosecutor Argue a Defendant Has Changed His Appearance in Order to Raise Doubts as to Identity if the Defendant Does Not Testify, i.e., Comment Upon Defendant's Off-Stand Appearance?

So long as witness have testified that defendant's appearance has changed, it should be proper to argue that defendant has deliberately changed his appearance in order to raise doubts as to his identity as the perpetrator. For example, in *People v. Cunningham* (2001) 25 Cal.4th 926, the prosecutor asked several witnesses in what respects the defendant's present appearance was different from what it had been at the time of the murder and the witnesses testified that the defendant's hair was shorter with more gray, that his facial hair was different, that the frames on his glasses were darker, that he had lost 30 to 40 pounds, and that his front tooth no longer had a gold cap. The prosecutor also had the defendant display his teeth to the jury. In response, the defense introduced evidence that defendant had dental work done due to tooth decay. (*Id.* at pp. 999-1000.) The prosecutor argued that defendant had deliberately changed his appearance to raise doubts about his identity. The defense argued that defendant's gold tooth had been replaced because his teeth were rotting, that defendant had been able to have his hair cut, and had lost weight because "jail food isn't wonderful." (*Id.* at p. 1000.) The California Supreme Court held, as a general rule, a prosecutor is entitled to explain that a defendant's appearance had changed between the time of the murder and the time of trial, and therefore witnesses would describe him differently from his appearance at trial. (*Id.* at p. 1001 [and citing to *People v. Ashmus* (1991) 54 Cal.3d 932, 974 for the proposition that a defendant's alteration of appearance between the time of incident and the time of trial is relevant to the issue of identity].) Moreover, the court held that prosecutor was entitled to argue that "defendant *deliberately* altered his appearance in order to raise a doubt that he was the distinctively attired and coifed person described by a number of the witnesses." (*Cunningham* at p. 1001, emphasis in original.) The *Cunningham* court pointed out that "[a]s a general matter, that argument was not improper, because it related to the issues of identity and consciousness of guilt." (*Ibid* [albeit noting that if the prosecutor had known that defendant was motivated solely by medical necessity to obtain the dental treatment, it would have been improper to argue to the contrary].)

6. May a Prosecutor Discuss Matters of General Knowledge During Argument?

"Counsel may argue facts not in evidence that are common knowledge or drawn from common experiences." (*People v. Mendoza* (2016) 62 Cal.4th 856, 707; *People v. Young* (2005) 34 Cal.4th 1149, 1197.)

It is "clear that counsel during summation may state matters not in evidence, but which are common knowledge or are illustrations drawn from common experience, history or literature." (*People v. Hill* (1998) 17 Cal.4th 800, 819; see also *People v. Zambrano* (2007) 41 Cal.4th 1082, 1153-1154 ["prosecutors have wide latitude to . . . refer to matters of common knowledge (such as the meaning of words)"]; *People v. Mendoza* (2000) 24 Cal.4th 130, 172 [proper for prosecutor to state matters of common knowledge].) It is also proper to talk about

well-publicized criminal trials (*see People v. Johnson* (1950) 99 Cal.App.2d 717, 730), but if the cases are not common knowledge or the details are not well-known, reference to them should be avoided (*see People v. Terry* (1962) 57 Cal.2d 528, 562).

In *People v. Mendoza* (2016) 62 Cal.4th 856, the prosecutor responded to the defense claim that there was reasonable doubt regarding the element of premeditation because the defendant had been under stress and his mental condition was deteriorating as follows: “Everybody who commits murder has a particular reason: Greed, lust, anger, jealousy, revenge. Everybody before they commit murder has to have a reason. And why that reason finally hits and ripens to the point where that person comes to grips with what they're going to do and they decide to do it and take action, it is an ugly emotion. It is an ugly state of mind. [¶] *But nobody who goes out and intentionally takes a life does it when they're all right in the head.*” (*Id.* at pp. 907-908, emphasis in original.) Although the defense claimed the italicized statement presented evidence outside the record and improperly vouched for the strength of the case, the California Supreme Court found no misconduct, noting that “the argument was essentially an appeal to common sense—to the idea that no one who intentionally kills in a domestic setting is in a normal or calm state of mind.” (*Id.* at p. 908.)

In *People v. Boyette* (2002) 29 Cal.4th 381, the court **rejected** an argument that statements by a prosecutor “that people are often killed on the streets of Oakland, and that one often reads about remorseless ‘teenage kids’ intending to kill people” constituted either introduction of facts not in evidence or an appeal to the passions of the jury. (*Id.* at p. 436.)

It is not improper vouching or impermissible introduction of facts not in evidence for a prosecutor to point to hypothetical scenarios to illustrate circumstances where certain defenses might be available or questions raised about guilt in order to contrast those scenarios with the circumstances in the case before the jury. Thus, in *People v. Mendoza* (2016) 62 Cal.4th 856, a case involving defendants who entered the victim’s home and fired multiple shots from multiple weapons, the prosecutor pointed out that malice was clearly present unlike in other cases where there is an argument and only a few shots are fired and defendants could argue: “Well I thought he had a gun. Well, I was just trying to scare him. Well, I didn't know it was loaded.” (*Id.* at pp. 905-906.) The California Supreme Court *rejected* the defense claim the remarks impermissibly referred to facts not in evidence and vouched for the strength of the prosecution’s case. The court stated: “The use of hypotheticals is not forbidden and there is no misconduct when, as here, ‘[n]o reasonable juror would have misunderstood the expressly hypothetical example to refer to evidence outside the record.’” (*Id.* at p. 907.)

In *People v. Moore* (2016) 6 Cal.App.5th 73, the court held it was permissible “as a mere example or analogy “for a prosecutor to refer to DNA experts who do not say something is a positive match or is definitely someone’s DNA but who give possibilities larger than the number of people who ever lived on earth as a way of explaining why a document examiner expert did not state there was a “positive match” between papers introduced at evidence at trial – even though there was no DNA analysis in evidence. (*Id.* at p. 98 [and noting “in context, there is no danger the jurors were misled or that the document examiner’s expert testimony was falsely elevated to the stature of DNA evidence”].)

In *People v. Bloom* (1989) 48 Cal.3d 1194, the court appeared to **reject** the notion that it was misconduct for the prosecutor to argue that it is not unusual in a murder case for the defendant to “create a defense” and “try and show the victim was an S.O.B. to excuse the act.” (**Id.** at p. 1213.)

In *People v. Zurinaga* (2007) 148 Cal.App.4th 1248, two defendants were charged and convicted of multiple counts of false imprisonment, armed robbery, and other crimes based on a home invasion robbery of nine college students in their residence hall. (**Id.** at p. 1250-1254.) At trial, the defense argued no robberies had occurred. In both his opening statement and his closing argument, defense counsel for defendant Zurinaga suggested that it was unlikely the two defendants would have been able to rob and imprison 10 persons, including nine men who were all larger than the defendants, for two hours. (**Id.** at pp. 1253-1255.) In response to defense counsel’s argument, the prosecution projected a chart listing the airlines, flight numbers, time of departure, and the number of passengers and crew on each of the planes involved in the terrorist attacks of September 11, 2001. (**Id.** at p. 1255.) The prosecutor then told the jury: “Counsel’s statement was these two men were outmanned, outsized. What are these[?] These are the four airliners that were high jacked [sic] on September 11, 2001.... [¶] American Airlines Flight 11, 81 passengers and crew; United Airlines flight 175, 56 passengers and crew; American Airlines 77, 58 passengers and crew; United Airlines flight 93, 38 passengers and crew. [¶] Did those three airliners, four-there were five men. I don't remember. One of them only had four men.” (**Id.** at p. 1255.)

The defense attorneys objected to the argument and asked for a mistrial on grounds that it was not a proper analogy and that the prosecutor was appealing to the sympathies of the jury based on the national 9/11 tragedy. The trial judge denied the trial motion. When the proceeding resumed, the prosecutor continued: “One person took over the cockpit. Four men stayed behind keeping 81, 56, 58 passengers hostage. What weapon did these men use. Box cutters.... Four men versus 81, 56, 58. That's what happened. [¶] So to say why, as counsel argued, these people were-what was the word-I wrote it down. Anyway, they were superior in numbers, strength, size; but of these four airliners on only one of them did the passengers rise up, and that was the last one when they had apparently been calling their loved ones, calling emergency numbers, and learned what happened to the other planes. [¶] In this case these people were going along to get along. There was a promise that we’re just here for business, and that’s what they wanted....” (**Id.** at p. 1256.)

On appeal, defendants argued that the prosecutor’s reference to the 9/11 incident amounted to prejudicial misconduct. In response to that claim, the People argued the prosecutor’s reference was brief, based on common knowledge, and within the permissible range of argument in that it was directly responsive to the defense theory that defendants could not have held 10 people hostage for an extended period of time. (**Id.** at p. 1258.)

The appellate court agreed with the defense that the prosecutor’s argument was based on facts not in evidence that were not common knowledge: “Although the 9/11 incident itself is undoubtedly a matter of common knowledge, the specific information the prosecutor presented regarding the airlines, flight numbers, and numbers of passengers and crew is not.” (**Id.** at p. 1259 [and finding it noteworthy that the prosecutor did not show his visual aid to opposing counsel or court before presenting it to the jury].) Moreover, the court held that “[e]ven accounting for the fact that prosecutors are afforded wide latitude during closing argument,” the prosecutor’s inflammatory comments regarding 9/11 crossed the line and constituted misconduct. (**Id.** at pp. 1258-1259 [albeit finding error was not prejudicial].)

Editor’s note: The *Zurinaga* opinion should be viewed more as a cautionary tale against prosecutors acting “intemperately” by discussing highly emotional and potentially inflammatory incidents when arguing to the jury, rather than as a primer on what constitutes a true violation of the rule barring arguing facts not in evidence. The rationale behind the rule preventing prosecutors from arguing facts not in evidence is to avoid the risk that the jury might assume those facts are true and rely on them in assessing guilt (without the facts being subject to challenge), instead of limiting themselves to evidence properly admitted at trial. The prosecutor’s assertions regarding the flight numbers, departure times, number of passengers, etc., clearly do not present this risk since they have no relevance to any issue over and above the relevance that would be provided from general knowledge of the 9/11 incident. The appellate court’s complaint that the analogy was inapt is similarly off-base. First, the fact that an argument is a crummy argument does not make the argument improper. Second, it was an apt argument: the defense had claimed that it was unlikely that “[n]o one resisted” despite being outmanned and outsized (*id.* at p. 1254) and discussing a well-known incident where it is very likely the victims did not resist the assailants, despite outnumbering the assailants, seems directly responsive to the defense claim.

In *People v. Pitts* (1990) 223 Cal.App.3d 606, a prosecutor told the jury about a current United States senator from Florida who did not report a child molestation for a long time and was disbelieved as an argument in support of not discounting the victims’ testimony because they did not report their molestation quickly. (*Id.* at p. 703.) The court “questioned” whether the story about the senator from Florida, albeit factually based, fell within the rule that a prosecutor could use common knowledge or illustrations drawn from common experience, history, or literature in closing argument. (*Id.* at p. 704.)

In *People v. Prysock* (1982) 127 Cal.App.3d 972, a prosecutor told the jury “I don't know about you, but if I would have walked up to that scene like Mrs. Erickson's son did, I probably would have puked my guts out, much less witnessing the event.” (*Id.* at p. 996, fn. 16.) The prosecutor also stated, “This type of conduct in this particular case, in my opinion, is one of the most brutal and atrocious crimes that’s ever been committed in this county, and you may live a long time before you’ll hear about one more depraved than this one.” (*Ibid.*) The court held that while the statements about the prosecutor being nauseated and the crime being brutal were overstated and unnecessary, they were not susceptible to an inference that the prosecutor's opinion was based on information other than evidence adduced at trial. (*Id.* at p. 997.)

7. Quoting from Literary Sources or Other Publications

Reading of a quotation from a book or other source is generally a permissible tactic during argument to the jury. (*People v. Riggs* (2008) 44 Cal.4th 248, 325, citing to *People v. Vieira* (2005) 35 Cal.4th 264, 298 [quotation from Lord Denning] and *People v. Hines* (1997) 15 Cal.4th 997, 1063 [passage from unidentified book].) Closing argument may “properly include not only the sayings of famous men, but illustrations taken from life, or from books, showing the actions and thoughts of human beings, other than the parties and their witnesses, under various types of pressure and stress.” (*People v. Polite* (1965) 236 Cal.App.2d 85, 93.) However, prosecutors may not read from books or other sources for the purpose of placing evidence before the jury. (*Id.* at pp. 92-93.)

CC. SPEAKING DIRECTLY TO INDIVIDUAL JURORS OR QUOTING FROM A JUROR DURING ARGUMENT

“[A]rguments should be addressed to the jury as a body and the practice of addressing individual jurors by name during the argument should be condemned rather than approved....” (*People v. Johnson* (2016) 62 Cal.4th 600, 652 citing to *People v. Wein* (1958) 50 Cal.2d 383, 395; *People v. Freeman* (1994) 8 Cal.4th 450, 517 [same]; **see also** *People v. Sawyer* (1967) 256 Cal.App.2d 66, 78 [stating the prosecutor should not have addressed the jurors individually as “sir” or “ma’am” during closing argument].)

Editor’s note: In *People v. Johnson* (2016) 62 Cal.4th 600, the prosecutor asked each individual juror: “Are you indignant, yet?” during argument. The court indicated this was inappropriate but declined to find misconduct since the defense failed to object and declined to find reversible error on grounds of ineffective assistance of counsel since there were potential reasons for defense counsel not to object to the prosecutor’s argument. However, the court stated it was not addressing the “merits of defendant’s claim of constitutionally inadequate assistance” and indicated defendant could raise the issue of ineffective assistance more appropriately by way of a petition for writ of habeas corpus. (*Id.* at pp. 652-654.)

Counsel should not quote individual jurors in their argument to the entire jury. (**See** *People v. Johnson* (2016) 62 Cal.4th 600, 652; *People v. Riggs* (2008) 44 Cal.4th 248, 325–326; *People v. Freeman* (1994) 8 Cal.4th 450, 517.) Thus, in *People v. Riggs* (2008) 44 Cal.4th 248, it was held improper for the prosecutor to have posted a chart during penalty phase argument displaying questionnaire comments regarding the purpose of the death penalty that had been written by 12 prospective jurors, some of whom were members of the penalty phase jury. (*Id.* at pp. 325-326.) And in *People v. Freeman* (1994) 8 Cal.4th 450, it was held improper during penalty phase argument for the prosecutor to quote a seated juror’s voir dire response describing the role of a juror in the death penalty process. (*Id.* at p. 517.)

D. MISSTATEMENT OF THE FACTS OR IMPLYING KNOWN TRUE FACTS ARE NOT TRUE

It is misconduct to misstate facts. (**See** *People v. Collins* (2010) 49 Cal.4th 175, 230; *People v. Boyette* (2002) 29 Cal.4th 381, 435; *People v. Dennis* (1998) 17 Cal.4th 468, 522.) A “prosecutor’s ‘vigorous’ presentation of facts favorable to his or her side ‘does not excuse either deliberate or mistaken misstatements of fact.’” (*People v. Jackson* (2016) 1 Cal.5th 269, 349.)

A prosecutor may not argue against facts known to be true. “It is misconduct for a prosecutor to urge a failure of proof and argue the contrary is true, when the prosecutor knows or should know the assertion is, in fact, false.” (*People v. Bryant* (2014) 60 Cal.4th 335, 428; *People v. Harrison* (2005) 35 Cal.4th 208, 242.)

Nor can a prosecutor argue inferences when the prosecutor knows the inference is not justified. For example, a prosecutor cannot argue that defendant changed his appearance to show consciousness of guilt and help prevent identification where the prosecutors knows the changes were done for health reasons. (**See** *People v. Cunningham* (2001) 25 Cal.4th 926, 10001 [noting that if prosecutor had argued defendant had dental work

done in order to raise doubts about his identity knowing the dental work was a medical necessity, such argument would be misconduct[.] However, a prosecutor does not misstate facts by drawing inferences from the evidence that a defendant might not draw. The prosecutor “enjoys wide latitude in commenting on the evidence, including the reasonable inferences and deductions that can be drawn therefrom.” (*People v. Collins* (2010) 49 Cal.4th 175, 230.)

A different type of misstatement of fact occurred in the case of *People v. Sanchez* (2014) 228 Cal.App.4th 1517. In *Sanchez*, the court criticized the prosecutor for implying that if one of the jurors was a holdout, then the defendant would “go home” or go free when, in fact, a defendant may remain incarcerated if there is a hung jury. (*Id.* at p. 1532.) This type of argument might also be characterized as a misstatement of law. (See this outline, section II-G-6 at p. 35.)

E. ASKING JURORS TO SPECULATE VERSUS ASKING JURORS TO DRAW REASONABLE INFERENCES

The line between speculation and reasonable inference can be a thin line. (See *People v. Coddington* (2000) 23 Cal.4th 529, 599 [“A reasonable inference ... ‘may not be based on suspicion alone, or on imagination, speculation, supposition, surmise, conjecture, or guess work’”].) A prosecutor should not ask jurors to speculate. (See *People v. Yeoman* (2003) 31 Cal.4th 93, 149; *People v. Williams* (1971) 22 Cal.App.3d 34, 48.) However, a prosecutor may ask jurors to draw reasonable inferences from the evidence. (*People v. Yeoman* (2003) 31 Cal.4th 93, 149; *People v. Hamilton* (2009) 45 Cal.4th 863, 928). Below are cases illustrating the difference between reasonable inference and speculation:

Reasonable Inference

In *People v. Tully* (2012) 54 Cal.4th 952, a defendant complained a prosecutor “misstated the evidence and referred to facts not in evidence” when the prosecutor argued the deceased victim felt safe in her neighborhood because “you know this is a good neighborhood, I mean there are no bars on the windows.” (*Id.* at p. 1022.) The defendant contended there was no evidence of the neighborhood's safety or whether the victim felt secure in her home. However, the court rejected the defense contention, finding the characterization of the neighborhood and victim's sense of security was permissible considering: (i) the evidence showed that the victim lived in a quiet neighborhood of single-family dwellings that partly abutted a golf course and that she employed no special safety precautions in her own home beyond a chain lock on the front door that was easily broken; and (ii) there was testimony that when a neighbor had come knocking at the victim's door one night, she simply opened it. (*Ibid.*) The court also found that the prosecutor did not commit misconduct when he argued that the victim submitted to defendant's sexual assault because, by doing so, she may have hoped or believed she would not be killed since this “was an *arguable* inference from the absence of evidence of a struggle in the victim's bedroom, coupled with defendant's admission he had sexual intercourse with the victim and the testimony of the pathologist and criminalist that the absence of semen or traumatic injury did not mean the victim had not been forced to have sexual intercourse before her death.” (*Id.* at p. 1044.)

In *People v. Collins* (2010) 49 Cal.4th 175, a special circumstances murder case, the prosecutor argued that the victim was shot by defendant while he “was either on his knees pleading for mercy or running away in fear from this

defendant.” The court held the argument was permissible since the evidence indicated the victim was kidnapped and held against his will for four hours, and was eventually taken to a dark, distant, and fairly secluded location, and thus “[i]t is not unreasonable to infer that in these circumstances, the victim would know he was about to be killed and would have pleaded for mercy.” (**Id.** at p. 231.)

Speculation

In **People v. Fuiava** (2012) 53 Cal.4th 622, the court condemned a prosecutor for (i) describing defendant as a “killing machine” (although there was no evidence that defendant had killed more than one person), and (ii) asking that the jury speculate “How many others are there?” (**Id.** at p. 649.)

In **People v. Pinholster** (1992) 1 Cal.4th 865, the court found it was improper (but not prejudicial) for a prosecutor to argue that because jurors saw a defense witness and a relative of defendant in the hallway together during trial they should assume that the relative told the witness to correct a misstatement in his testimony. (**Id.** at p. 948; **see also People v. Galloway** (1979) 100 Cal.App.3d 551, 564 [impermissible speculation was involved when a prosecutor referred to third participant in robbery by name (absent any identification of third participant in court other than as “a woman”) and then linked defendant to crime by pointing out defendant was friendly with the named person].)

Editor’s note: The California Supreme Court has repeatedly stated that a prosecutor has “the right to fully state his views as to what the evidence shows and to urge whatever conclusions he deems proper” and that “[o]pposing counsel may not complain on appeal if the *reasoning is faulty or the deductions are illogical* because these are matters for the jury to decide.” (**People v. Tully** (2012) 54 Cal.4th 952, 1043; **People v. Lewis** (1990) 50 Cal.3d 262, 283.) This seems somewhat inconsistent with the rule that a prosecutor may draw “*reasonable inferences.*” One way of reconciling these two principles may be to simply say that so long as *someone* could find the inference being drawn by the prosecutor to be reasonable, it is not improper; even though others might find the inference illogical or faulty.

F. REFERENCE TO MOTION IN LIMINE RULINGS OR PX

In **United States v. Younger** (9th Cir. 2005) 398 F.3d 1179, at a pre-trial motion, the court ruled a statement made by the defendant was admissible. During closing argument, the prosecutor twice attempted to argue that if there was anything unfair about how the defendant’s statement was taken, the court would have excluded it. However, each time the court cut off the prosecution before the prosecutor was able to fully articulate the argument and gave a curative instruction. (**Id.** at pp. 1191-1192.) The Ninth Circuit held that it was improper for the prosecutor to refer to the ruling on in limine motions (i.e., on whether statement was properly admitted over constitutional objection). (**Id.** at p. 1192 [albeit finding the reference harmless in light of the judge’s swiftly imposed corrective instructions].)

In **People v. Whitehead** (1957) 148 C.A.2d 701, it was held error for the prosecutor to argue the fact that defendant was held to answer at preliminary examination. (**Id.** at p. 705.)

FF. REFERENCE TO APPELLATE COURT DECISIONS

Prosecutors sometimes come across appellate cases that uphold convictions based on similar facts to the facts in the case being handled by the prosecutor. It is certainly proper to ask a trial court to instruct on principles of law discussed in appellate opinions and if that request is granted, to argue that law in closing. Also, if a prosecutor likes the way an appellate explained a particular issue, the prosecutor can incorporate that content of that discussion (without citation to the appellate case) into his or her argument. However, a prosecutor should **not** indicate that an appellate court or higher court of review has upheld a verdict based on facts similar to those before the jury in the pending case. (See *People v. Jasso* (2012) 211 Cal.App.4th 1354, 1363-1369.)

For example, in *People v. Jasso* (2012) 211 Cal.App.4th 1354, the prosecutor wanted to convince the jury that defendant's single shot at two persons was sufficient to prove an intent to kill both persons. The prosecutor repeatedly cited to the California Supreme Court as having endorsed this principle. The prosecutor also described the facts in a California appellate court case (facts similar to the facts in the pending case) and then stated the court had held those facts were sufficient to support an inference of intent to kill. (*Id.* at p. 1363.) The *Jasso* court found the prosecutor's statements to be misconduct because they "implied that the California Supreme Court would expect the jury to return a guilty verdict" and created a risk that "jurors might believe the high court had already done the jury's work and made the jury's choice for it".) (*Id.* at pp. 1367; *cf.*, *Caldwell v. Mississippi* (1985) 472 U.S. 320 [finding prosecutor improperly told jury that its death sentence would be reviewed by the state supreme court since that information would lead jury to believe responsibility for determining the appropriateness of the defendant's death rested elsewhere].)

As to quoting from specified appellate cases: compare *People v. Hawthorne* (1992) 4 Cal.4th 43, 59 [error for prosecutor to quote from dissenting opinion in *United States v. Wade* (1967) 388 U.S. 218 to the effect that law enforcement has an obligation to ascertain "the true facts surrounding the commission of the crime" while defense counsel do not] with *People v. Rich* (1988) 45 Cal.3d 1036, 1092 [summarily dismissing claim of prosecutorial misconduct where prosecutor told jury "Our Supreme Court at one time made a statement about the felony murder rule which I think is appropriate. The statute was adopted for the protection of the community and its residents, not for the benefit of the lawbreaker...."].)

G. MISSTATEMENT OF THE LAW

Although counsel have "broad discretion in discussing the legal and factual merits of a case [citation], it is improper to misstate the law." (*People v. Mendoza* (2007) 42 Cal.4th 686, 703; *People v. Bell* (1989) 49 Cal.3d 502, 538; *accord People v. Boyette* (2002) 29 Cal.4th 381, 435; *People v. Marshall* (1996) 13 Cal.4th 799, 831 [and emphasizing it is particularly improper when it is an attempt to absolve the prosecution of the duty to overcome reasonable doubt on all the elements of a crime].)

Generally, however, prosecutorial misstatements of the law, *if corrected*, are often held to be non-prejudicial since arguments of counsel "generally carry less weight with a jury than do instructions from the court. The former are usually billed in advance to the jury as matters of argument, not evidence [citation], and are likely

viewed as the statements of advocates; the latter, we have often recognized, are viewed as definitive and binding statements of the law.” (*People v. Mendoza* (2007) 42 Cal.4th 686, 703; **but see** *People v. Lloyd* (2015) 236 Cal.App.4th 49, 62-63 [finding reversible error where prosecutor told the jury that if it voted not guilty, it had decided that defendant had not committed the crime” and that “if the jury found self-defense, it was saying the defendant’s conduct was absolutely acceptable” because the defense need only raise a reasonable doubt to justify an acquittal and it is not necessary for the defendant to establish self-defense by evidence sufficient to satisfy the jury that the self-defense was true].)

The following are some examples of alleged misstatements of the law:

1. Asking Jurors to View Their Own Personal Beliefs as that of “the Reasonable Person.”

In *People v. Mendoza* (2007) 42 Cal.4th 686, the prosecutor attempted to explain the “reasonable person standard” in the context of discussing whether a voluntary manslaughter verdict would be appropriate. The prosecutor indicated that what a reasonable person would do could be ascertained by assessing what the jurors themselves would do. The court held “it is one thing to refer to the jurors as members of society in the course of explaining the reasonable person standard as a means of determining whether a killing was caused by an event or situation that probably would cause a reasonable person to lose self-control and kill. Accordingly, it was not misconduct for the prosecutor to tell the jury ‘And who is the ordinarily reasonable person? You folks are.’ It is another thing, however, to imply that the jurors, as individuals, can substitute their own subjective standard of behavior for that of the objective, reasonable person. Statements such as, ‘Would any of you do what he did here and say that’s reasonable? Would any of you do that? No. Would any of you put a gun to people’s heads? Would any of you do what he did here?’ appear to encourage jurors to impose their own subjective judgment in place of applying an objective standard. It is here that the prosecutor went too far, committing misconduct.” (*Id.* at p. 703.)

2. Presumption of Innocence and Reasonable Doubt

“A defendant is presumed innocent until proven guilty, and the government has the burden to prove guilt, beyond a reasonable doubt, as to each element of each charged offense.” (*People v. Booker* (2011) 51 Cal.4th 141, 184, citing to Pen. Code, § 1096.) A prosecutor may point out that the presumption is overcome when the evidence convinces the jury the defendant is guilty. (*See People v. Booker* (2011) 51 Cal.4th 141, 184 [no misconduct where prosecutor, after acknowledging the prosecution had the burden of proof stated “The defendant was presumed innocent until the contrary was shown. That presumption should have left many days ago. He doesn’t stay presumed innocent.”]; *People v. Panah* (2005) 35 Cal.4th 395, 463 [no misconduct to state evidence had “stripped away” defendant’s presumption of innocence”]; *People v. Romo* (2016) 248 Cal.App.4th 682, 692 [no misconduct where prosecutor stated “As the evidence comes in—and the evidence has come in—and when you walk into that jury room and discuss the case—discuss the evidence in this case, once the evidence proved to you beyond a reasonable doubt that [defendant] committed the crime, there’s no presumption of innocence. It’s—it goes away as the evidence comes in and the evidence shows you that he’s

guilty. The presumption of innocence doesn't just stay there forever"]; **People v. Goldberg** (1984) 161 Cal.App.3d 170, 189 [no misconduct where prosecutor stated “And before this trial started, you were told there is a presumption of innocence, and that is true, but once the evidence is complete, once you’ve heard this case, once the case has been proven to you—and that’s the stage we’re at now—the case has been proven to you beyond any reasonable doubt. I mean, it’s overwhelming. There is no more presumption of innocence. Defendant Goldberg has been proven guilty by the evidence” because the prosecutor was merely restating, “albeit in a rhetorical manner,” the noncontroversial point that a defendant is presumed innocent “until the contrary is proved.”].)

However, care must be taken in describing the nature of the presumption, lest misconduct occur and prosecutors should avoid “even remotely imply[ing] the presumption of innocence is lost before the jury returns a verdict.” (**People v. Romo** (2016) 248 Cal.App.4th 682, 693.)

In **People v. Dowdell** (2014) 227 Cal.App.4th 1388, the court held it misconduct for a prosecutor to tell the jury “You have the evidence. The presumption of innocence is over.” And then later argue that “It’s fairly obvious that [the defendant] committed all of the crimes we are accusing him of. The presumption of innocence is over. He has gotten his fair trial.” (**Id.** at pp. 1407, 1408-1409.) The **Dowdell** court noted that the presumption of evidence continues not only through the presentation of evidence, but also during deliberations and until a verdict is reached. Moreover, the court believed the statement that defendant had gotten a “fair trial,” “implied that the ‘fair trial’ was over, and with it, the jury’s legal obligation to respect the presumption of innocence.” (**Id.** at p. 1408.) However, the court did not find the error to be prejudicial. (**Id.** at p. 1409.)

In **United States v. Perlaza** (9th Cir.2006) 439 F.3d 1149, the court held it was misconduct for the prosecutor to argue to the jury, “[The presumption of innocence], when you go back in the room right behind you, is going to vanish when you start deliberating. And that’s when the presumption of guilt is going to take over you....’.” (**Id.** at p. 1169.)

⊗ WARNING!! Prosecutors seeking to discuss the burden of proof and presumption of innocence should emphasize that the People bear the burden of proof beyond a reasonable doubt. (See People v. Booker (2011) 51 Cal.4th 141, 186.)

3. Describing Reasonable Doubt (Including by Way of Diagram)

Attempting to describe “reasonable doubt” can be a risky proposition. (**See People v. Cortez** (2016) 63 Cal.4th 101, 131 [[it is “improper for the prosecutor to misstate the law generally [citation], and *particularly* to attempt to absolve the prosecution from its prima facie obligation to overcome reasonable doubt on all elements”]; **People v. Hill** (1998) 17 Cal.4th 800, 829-830 [same]; **People v. Marshall** (1996) 13 Cal.4th 799, 831 [same], emphasis added; **cf.**, **People v. Johnson** (2004) 119 Cal.App.4th 976, 985 [*trial court* improperly altered statutory reasonable doubt definition by equating proof beyond a reasonable doubt to everyday decision-making]; **People v. Johnson** (2004) 115 Cal.App.4th 1169, 1171 [same].)

“Courts have repeatedly cautioned prosecutors against using diagrams or visual aids to elucidate the concept of proof beyond a reasonable doubt.” (**People v. Centeno** (2014) 60 Cal.4th 659, 662.)

Not Okay

In ***People v. Centeno*** (2014) 60 Cal.4th 659, the prosecutor (using a diagram of the outline of the state of California) made the following argument to explain the concept of reasonable doubt: “Let me give you a hypothetical. Suppose for me that there is a trial, and in a criminal trial, the issue is what state is this that is on the Elmo. Say you have one witness that comes in and this witness says, hey, I have been to that state, and right next to this state there is a great place where you can go gamble, and have fun, and lose your money. The second witness comes in and says, I have been to this state as well, and there is this great town, it is kind of like on the water, it has got cable cars, a beautiful bridge, and it is call Fran-something, but it is a great little town. You have another witness that comes in and says, I have been to that state, I went to Los Angeles, I went to Hollywood, I saw the Hollywood sign, I saw the Walk of Fame, I put my hands in Clark Gable's handprints in the cement. You have a fourth witness who comes in and says, I have been to that state. ¶ “What you have is you have incomplete information, accurate information, wrong information, San Diego in the north of the state, and missing information, San Bernardino has not even been talked about, but is there a reasonable doubt that this is California? No. You can have missing evidence, you can have questions, you can have inaccurate information and still reach a decision beyond a reasonable doubt. What you are looking at when you are looking at reasonable doubt is you are looking at a world of possibilities. There is the impossible, which you must reject, the impossible (sic) but unreasonable, which you must also reject, and the reasonable possibilities, and your decision has to be in the middle. It has to be based on reason. It has to be a reasonable account. And make no mistake about it, we talked about this in jury selection, you need to look at the entire picture, not one piece of evidence, not one witness. You don't want to look at the tree and ignore the forest. You look at the entire picture to determine if the case has been proven beyond a reasonable doubt.” (**Id.** at pp. 665-666.) The California Supreme Court held the argument was reversible error. The court reasoned the “verdict” the prosecutor was talking about in the trial on the hypothetical issue of what was the state in the diagram was not based not on evidence received in the hypothetical trial but on the jurors’ existing outside knowledge of what the geographical outline of California looks liked. The court held the visual aid was in no way analogous to the facts at issue in the defendant’s case and the use in closing argument of a visual aid about identifying an iconic image like the shape of California or the Statue of Liberty, unrelated to the facts of the case, was a flawed way to demonstrate the process of proving guilt beyond a reasonable doubt. (**Id.** at pp. 669-671 [and noting the prosecutor’s argument was also flawed in that it “strongly implied that the People’s burden was met if its theory was “reasonable” in light of the facts supporting it.”].)

Similarly, in ***People v. Katzenberger*** (2009) 178 Cal.App.4th 1260, the prosecutor used a PowerPoint presentation in which six of eight puzzle pieces were added, one by one, until all of an image of the Statue of Liberty was visible except the face and the torch. (**Id.** at p. 1264.) The prosecutor then argued that everyone would know at this point beyond a reasonable doubt that it was a picture of the Statue of Liberty even without the rest of the pieces. (**Id.** at p. 1265.) The court found this was misconduct (albeit harmless) because: (i) the use of a readily recognizable icon could suggest it was proper to leap to a conclusion on a far smaller quantum of evidence than would satisfy the standard of reasonable doubt and (ii) the use of six of eight puzzle pieces suggested an improper quantitative measure of the concept of reasonable doubt - set at only 75 percent. (**Id.** at pp. 1266-1268 [and also indicating it would be misconduct to suggest reasonable doubt was akin to a 500-piece puzzle with eight pieces missing, or to having 90-95 percent of the pieces of a puzzle].)

And in **People v. Otero** (2012) 210 Cal.App.4th 865, after noting that a number of cases had come before the court in which prosecutors used diagrams or puzzles in a way trivializing the burden of proof, the court used much of the same rationale used in **Katzenberger** to condemn the use of a diagram of California to illustrate the concept of reasonable doubt. (*Id.* at pp. 867, 869-871.) The prosecutor in **Otero** utilized a PowerPoint diagram which consisted of the outlines of California and Nevada. There was some correct information printed on the diagram, such as the word “Ocean” printed to the left of California and the word “Los Angeles” printed on the southern part of California. There was also some misinformation, such as the word “San Diego” printed in the northern part of California. At the bottom of the diagram were the words: “Even with incomplete and incorrect information, no reasonable doubt that this is California.” The prosecutor used the diagram in attempt to explain that even with inaccurate and missing information, the jurors could not have a reasonable doubt what was depicted was the state of California. The defense objected, however, before the argument was completed and the judge prevented the prosecutor from further using the diagram. (*Id.* at pp. 869-870.) The **Otero** court held the use of the diagram was misconduct (albeit nonprejudicial misconduct) because the presentation was not an accurate analogy to the reasonable doubt standard – as it left the impression that the reasonable doubt standard “may be met by a few pieces of evidence” and invited the “jury to guess or jump to a conclusion, a process completely at odds with the jury’s serious task of assessing whether the prosecution has submitted proof beyond a reasonable doubt.” (*Id.* at p. 872.) Indeed, the court found the misconduct was even more egregious than in **Katzenberger** because the diagram “was identifiable using but one of eight pieces of information supplied by the diagram (12.5 percent of the information supplied),” which reduced the standard of proof below the condemned percentage in **Katzenberger**; and included inaccurate information, which conveyed that reasonable doubt could “be reached on such slight proof even when some of the evidence is demonstrably false.” (*Id.* at p. 873.)

Even *verbally* describing an iconic photograph and explaining that it could be recognized regardless of whether some portions of the photograph are missing may be viewed as implicitly reducing the burden of beyond a reasonable doubt. For example, in the case of **People v. Williams** 2017 WL 167495, the prosecutor in opening statement stated: “A trial is like a jigsaw puzzle. A jigsaw puzzle, let's say an Eiffel Tower [¶] The trial will be putting the pieces together. When you have a jigsaw puzzle, you have a box of pieces. They don't go in any particular order. You might look for blue sky to start, and you will see green grass and put that in, and then you go get the blue sky in order. We have over 50 witnesses. We have 29 counts. We have 23 victims. They all can't come in a chronological order. ... [¶] Once you get all the pieces of a puzzle in about two weeks or so ... you'll be able to see if it is the Eiffel Tower. You will see the Eiffel Tower even though some pieces might be missing just like from a jigsaw puzzle. You get past two-thirds of it. You say it is the Eiffel Tower. You know what it is. You will know what it is when you get to the end of trial.” She concluded, “Ladies and gentlemen, you're going to get pieces of this puzzle in just a minute. You will put them together at the end. When the puzzle comes to light. You'll see not only the Eiffel Tower, but you will see all 29 counts charged to each of these defendants as listed in your grid. That's the evidence upon which you will deliberate.” (*Id.* at p. *22.) Later, in closing argument, the prosecutor said, “You have at this point all the pieces to the puzzle. You can see that Eiffel Tower. Remember I talked about a jigsaw puzzle four weeks ago. When you [are] making a jigsaw puzzle, you may not have all the pieces, and there's even an instruction you heard yesterday that not all the evidence or witnesses need to come forward, as long as you can see what you have got and you have an Eiffel Tower here.” (*Ibid.*) The **Williams**

court stated it strongly discouraged this type of argument even though the prosecutor “did not tell the jury she was defining reasonable doubt” because “her verbal description asked the jurors to imagine a similarly iconic image [and] risked misleading the jury about the standard of proof.” (*Id.* at p. *23 [albeit finding defense failure to object to the argument forfeited the issue].)

Editor’s note: The holdings in *Centeno*, *Katzenberger*, *Otero*, should not preclude a prosecutor from arguing that guilt, like a jigsaw puzzle, may be obvious even if there are some pieces missing - so long as it is clear the argument is being used as a metaphorical argument that even if the jurors do not have a complete picture of each offense, they can still understand what happened to a level of certainty that satisfies the reasonable doubt standard or the concept of circumstantial evidence and there is **no reference to how many pieces are in the puzzle and/or are missing.** (See *People v. Otero* (2012) 210 Cal.App.4th 865, 873, fn. 3 [“We do not address whether the PowerPoint would have been properly used to address other questions such as how circumstantial evidence works or the fact evidence can have some convincing force even if the evidence is flawed”]; *State v. Fuller* (Wash. App. 2012) 282 P.3d 126, 140-142 [prosecutor’s argument (“A trial is very much like a jigsaw puzzle. . . . You’re not going to have every loose end tied up and every question answer[ed]. What matters is this: Do you have enough pieces of the puzzle? Do you have enough evidence to believe beyond a reasonable doubt that the defendant is guilty?”) permissible since it did not equate the burden of proof to making an everyday choice nor quantify the level of certainty necessary to satisfy the beyond a reasonable doubt standard]; *State v. Curtiss* (2011) 161 Wash. App. 673, 700 [no misconduct in asking jury to imagine a giant jigsaw puzzle of the Tacoma Dome and stating “when you’re putting that puzzle together, and even with pieces missing, you’ll be able to say, with some certainty, beyond a reasonable doubt what that puzzle is”]; *People v. Dietz* [unreported] 2015 WL 3429946, *10 [prosecutor’s use of pointillist painting to explain that you have to stand back to get a good view as to how all the dots (i.e., evidence) fit together permissible].)

In *People v. Nguyen* (1995) 40 Cal.App.4th 28, the prosecutor asserted reasonable doubt was “a very reachable standard that you use every day in your lives when you make important decisions, decisions about whether you want to get married, decisions that take your life at stake when you change lanes as you’re driving.” (*Id.* at p. 35.) The appellate court criticized this definition on the ground that the choice of when to change lanes is “almost reflexive” and the decision to marry is wrong “33 to 60 percent” of the time based on divorce rates. Thus, the court held these were poor analogies for the near certainty that reasonable doubt requires in the decision-making process. (*Id.* at p. 36 [albeit finding misconduct was harmless because the prosecutor had referred the jury to the actual instruction, which correctly stated the standard]; see also *State v. Anderson* (2009) 153 Wash.App. 417, 431 [closing argument comparing the reasonable doubt standard to the choice of getting elective dental surgery and “the certainty people often require when they make everyday decisions” trivialized and failed to convey the gravity of the State’s burden and the jury’s role].)

In *People v. Mendoza* (2000) 24 Cal.4th 130, the prosecutor described reasonable doubt as “like being in love,” and told the jury: “You can’t really describe it but you know it when you see it. It’s that feeling that you have, that you feel comfortable with and it’s not something mystical, magical at all.” (*Id.* at p. 173.) Without saying whether the comment was improper, the court found the comment could not have been prejudicial in light of the fact the court gave the standard instruction on reasonable doubt. (*Ibid.*)

Okay

In *People v. Jasmin* (2008) 167 Cal.App.4th 98, a prosecutor compared the standard of reasonable doubt to “extremely important decisions” jurors had made in the past and argued that if “there is but one reasonable choice to make, we, as reasonable people, make that choice[.]” (**Id.** at p. 115.) The court held that since the prosecutor merely stressed that the jury’s task was akin to making a critical decision which required careful and reasonable review of all available facts, the prosecutor did not improperly denigrate the reasonable doubt standard. (**Id.** at p. 116 [and noting the holding was supported by the fact the jurors were admonished to base their decision solely on the law and instructions as given by the court].)

In *People v. Redd* (2010) 48 Cal.4th 691, it appeared the defense counsel used a chart depicting various level of certainty with reasonable doubt being the highest of the levels. The court held the prosecutor, in commenting on that chart, could *properly* say that by having the line for reasonable doubt twice as high as preponderance, which is 51% sure, defense counsel was improperly implying the case had to be proved to 100% certainty. (**Id.** at pp. 735-736.)

Okay but Only Because of Context

In *People v. Cortez* (2016) 63 Cal.4th 101, the defense counsel told the jurors that “proof beyond a reasonable doubt” is the “amount of evidence” that would enable “[e]ven a mother ... to believe [her] child is guilty.” (**Id.** at p. 133.) Defense counsel then told the jurors they were reasonable people and thus if they had any doubt about the case, it must be a reasonable doubt. (**Ibid.**) In rebuttal argument, the prosecutor responded: “The court told you that beyond a reasonable doubt is not proof beyond all doubt or imaginary doubt. Basically, I submit to you what it means is you look at the evidence and you say, ‘I believe I know what happened, and my belief is not imaginary. It’s based in the evidence in front of me.’” Defendant’s counsel objected that these comments “misstate[d] the law.” Before the court ruled on the objection, the prosecutor added, “That’s proof beyond a reasonable doubt.” The trial court then overruled the objection. (**Id.** at p. 130.) Although the concurring opinion would have found misconduct (albeit nonprejudicial misconduct) based on the belief the prosecutor effectively told the jury that “their belief in guilt need only be nonimaginary, rather than that the evidence must exclude all reasonable doubts” (**id.** at p. 135), the majority did not find misconduct. However, the majority reached its conclusion on circumstances that may not exist in many other cases, i.e., because (i) it was unlikely the jury interpreted the prosecutor’s comments as meaning that a “simple,” “nonimaginary” belief “supported by a preponderance of the evidence, or even a strong suspicion” was sufficient to convict; (ii) the remark was ambiguous and courts do not lightly infer the jury drew the most damaging interpretation; (iii) the “trial court properly defined the reasonable doubt instruction in both its oral jury instructions and the written instructions” and courts “presume that jurors treat the court’s instructions as a statement of the law by a judge, and the prosecutor’s comments as words spoken by an advocate in an attempt to persuade”; (iv) “defense counsel emphasized the court’s instructions on reasonable doubt”; (v) the “prosecution’s comments on reasonable doubt specifically referred the jury to the court’s instruction on the subject”; and (vi) the “challenged statement was a brief, isolated remark offered in response to defense counsel’s misleading comments on the subject.” (**Id.** at pp. 131-133.)

4. Comment on How Jurors Should Deliberate

In *People v. Boyette* (2002) 29 Cal.4th 381, the prosecutor argued the evidence of guilt was quite strong, “[a]nd if there is one of you who can’t see what happened in this courtroom, you’re [sic] intelligence should be absolutely insulted by all the lying that’s gone on here, if one of you can’t see that, you[’d] better step back, take a deep breath, think about your common sense and listen to your fellow jurors, because you are not seeing the forest through the trees, if you can’t see this case. It is overwhelming.” (*Id.* at pp. 436-437.) The defense claimed this argument improperly encouraged holdout jurors to capitulate to the majority in violation of the rule that each juror must independently vote. The court **rejected** the defense claim, finding the “prosecutor did not exhort holdout jurors to submit to the majority’s views, but argued the evidence of guilt was so strong that if any juror had doubts, they should step back and use their common sense. The exhortation to ‘listen to your fellow jurors’ in this context meant to listen to the arguments of one’s fellow jurors.” (*Id.* at pp. 436-437.)

5. Telling Jurors They Have a Duty to Convict

In *United States v. Sanchez* (9th Cir. 1999) 176 F.3d 1214, the prosecutor stated: “And I would ask your consideration, as every jury has done, and that is that after the marshal’s service has done their duty and the court has done its duty and lawyers on both sides have done their duty, that you as jurors do your duty and well consider this matter and find these defendants guilty. (*Id.* at p. 1224.) The *Sanchez* court held “it is improper for the prosecutor to state that the duty of the jury is to find the defendant guilty.” (*Id.* at p. 1224.)

However, the *Sanchez* court also noted: “There is perhaps a fine line between a proper and improper ‘do your duty’ argument. It is probably appropriate for a prosecutor to argue to the jury that ‘if you find that every element of the crime has been proved beyond a reasonable doubt, then, in accord with your sworn duty to follow the law and apply it to the evidence, you are obligated to convict, regardless of sympathy or other sentiments that might incline you otherwise.’” (*Id.* at p. 1225.)

And in *United States v. Gomez* (9th Cir. 2013) 725 F.3d 1121, the prosecutor stated: “Now, the United States has the burden of proof beyond a reasonable doubt. Is the evidence that was presented in this case proof beyond a reasonable doubt? Absolutely. And now it’s your duty to say the defendant is guilty of importing methamphetamine.” (*Id.* at p. 1131.) The *Gomez* court held that unlike in *Sanchez* the prosecutor did not refer to the “duty” of any other person, and the prosecutor made the challenged statement immediately after reminding the jury of the prosecution’s “burden of proof beyond a reasonable doubt.” Read in context, the court held the prosecutor was arguing that, if the jury finds that the prosecution has met its burden of proving the elements beyond a reasonable doubt, then it is the jury’s duty to convict. (*Id.* at p. 1132.)

6. Comment on What Will Happen if There is a Holdout Juror

In *People v. Sanchez* (2014) 228 Cal.App.4th 1517, the court criticized the prosecutor for implying that if one of the jurors was a holdout, then the defendant would “go home” or go free when, in fact, a defendant may remain incarcerated if there is a hung jury. (*Id.* at p. 1532.) This type of argument might also be characterized as a misstatement of fact. (**See** this outline, section II-D at p. 25.)

H. MISUSE OF EVIDENCE ADMITTED FOR SINGLE PURPOSE

“[U]rging use of evidence for a purpose other than the limited purpose for which it was admitted is improper argument.” (*People v. Lang* (1989) 49 Cal.3d 991, 1022.)

Editor’s note: If it is not clear whether the evidence was admitted solely for a particular purpose, prosecutors should clarify with the court its permissible use before arguing.

I. ATTACKS ON DEFENDANT

1. How Derogatory Can a Prosecutor Get in Referring to the Defendant?

“Prosecutors ‘are allowed a wide range of descriptive comment and the use of epithets which are reasonably warranted by the evidence’ [citation], as long as the comments are not inflammatory and principally aimed at arousing the passion or prejudice of the jury [citation].” (*People v. Farnam* (2002) 28 Cal.4th 107, 168.) A prosecutor is not limited to “Chesterfieldian politeness.” (*People v. Gamache* (2010) 48 Cal.4th 347, 371.) Closing argument may be vigorous and may include opprobrious epithets when they are reasonably warranted by the evidence.” (*People v. Sandoval* (1992) 4 Cal.4th 155, 180; **accord** *People v. Friend* (2009) 47 Cal.4th 1, 32; **cf.**, *People v. McDermott* (2002) 28 Cal.4th 946, 1002 [declining to “condone” use of opprobrious terms in argument while noting they are not necessarily misconduct].)

“In general, prosecutors should refrain from comparing defendants to historic or fictional villains, especially where the comparisons are wholly inappropriate or unlinked to the evidence.” (*People v. Seumanu* (2015) 61 Cal.4th 1293, 1361; *People v. Jones* (1997) 15 Cal.4th 119, 179-180; *People v. Bloom* (1989) 48 Cal.3d 1194, 1213; **see also** *People v. Wein* (1958) 50 Cal.2d 383, 396-397 [comparison to Caryl Chessman improper]; *People v. Jackson* (1955) 44 Cal.2d 511, 520-521 [misconduct to repeatedly compare conduct of defendant to conduct of defendants in notorious cases of Greenlease, Hart, and Lindbergh];

However, where the reference to infamous persons or events is used to illustrate a point within the context of the case, such reference will not be deemed improper. (**See** *People v. Seumanu* (2015) 61 Cal.4th 1293, 1361 [prosecutor’s comment that defendant’s act of forgoing his right to wear street clothes and appearing before the jury in jail clothes after the guilt phase verdict was an act of contempt for the jury akin to Richard Allen Davis giving the finger to his jury after conviction was not misconduct because, inter alia it “did not equate defendant to Davis in terms of comparative moral fault, but raised only the side point that both defendants demonstrated contempt for their respective juries” which was “fair comment on the evidence”]; *People v. Jones* (1997) 15 Cal.4th 119, 179-180 [no misconduct in referring to Adolph Hitler and Charles Manson while arguing that because a murder was committed for irrational reasons it does not mean the perpetrator is insane]; *People v. McDermott* (2002) 28 Cal.4th 946, 1003 [no misconduct in comparing defendant to a Nazi working in the crematorium by day and listening to Mozart by night because prosecutor was not comparing defendant’s conduct with the genocidal actions of the Nazi regime but simply noting human beings sometimes lead double lives, showing a refined sensitivity in

some activities while demonstrating barbaric cruelty in others]; **cf., *People v. Jackson*** (2016) 1 Cal.5th 269, 350, 386 [comparison to “highly publicized and unrelated murders and sexual assaults of children such as Polly Klaas was gratuitous at the guilt phase and therefore improper” in guilt phase but permissible in penalty phase to illustrate a larger point about how particularly brutal crimes against the most vulnerable in our society—children and elderly women—must be punished, especially when committed in their homes”].)

Prosecutors have not been found to have engaged in misconduct by referring to defendant(s) in the following manner:

As someone who was “a despicable excuse for a man,” a “despicable individual,” “garbage,” and “a sucker” (***People v. Tully*** (2012) 54 Cal.4th 952, 1021, 1045 [and, in the penalty phase, as “an animal”].)

As a “punk” (***People v. Fuiava*** (2012) 53 Cal.4th 622, 691-692.)

As “living like a mole or the rat that he is” (***People v. Friend*** (2009) 47 Cal.4th 1, 32.)

As someone who “didn't learn how to conduct himself like a human being,” but instead acted “like a caveman” (***People v. Rundle*** (2008) 43 Cal.4th 76, 163.)

As a “dangerous sociopath” and “especially evil” (***People v. Zambrano*** (2007) 41 Cal.4th 1082, 1172.)

As someone who “lacked humanity,” and “was frightening,” (***People v. Chatman*** (2006) 38 Cal.4th 344, 387 [and also finding prosecutor’ telling the jury it had “before you a man, and I use that term ‘man’ in this context very broadly” was proper].)

As someone who enjoyed killing like “a little kid opening his toys at Christmas,” as a “denizen of the night,” as an “executioner,” as “the terminator of precious life,” as “a head hunter,” as “the complete and total essence of evil,” and as someone with “a cold unyielding heart” (***People v. Harrison*** (2005) 35 Cal.4th 208, 244-246.)

As “a mutation of a human being,” a “wolf in sheep’s clothing,” a “traitor,” a person who “stalked people like animals,” and someone who had “resigned from the human race” (***People v. McDermott*** (2002) 28 Cal.4th 946, 1003.)

As a “[t]errorist[.]” (***People v. Jones*** (1998) 17 Cal.4th 279, 308–309.)

As “laughing hyenas” (***People v. Williams*** (1997) 16 Cal.4th 153, 221)

As “mass murderer rapist,” a “perverted murderous cancer,” and a “walking depraved cancer” (***People v. Thomas*** (1992) 2 Cal.4th 489, 537.)

As a “very violent, a maniac,” and “just a perverted maniac” (***People v. Pensinger*** (1991) 52 Cal.3d 1210, 1251 [and also finding prosecutor’s statement that the three jailhouse informants could be under a “possible death sentence for testifying in this case” was proper]

As “evil incarnate” and like “the legendary villain Fu Manchu” (***People v. Bloom*** (1989) 48 Cal.3d 1194, 1213)

As a “contract killer,” a “snake in the jungle,” “slick,” “tricky,” a “pathological liar,” and “one of the greatest liars in the history of Fresno County” (***People v. Edelbacher*** (1989) 47 Cal.3d 983, 1030)

As sharing the same genocidal theories as Adolph Hitler - where there was evidence defendant strongly believed in selective breeding (***People v. Hovey*** (1988) 44 Cal.3d 543, 579–580.)

As “cocky” and as having “ice running through his veins” (***People v. Reyes*** (1974) 12 Cal.3d 486, 505.)

As a “sadist” - where the evidence showed a brutal sexual attack and intent to inflict pain on victim (***People v. Thornton*** (1974) 11 Cal.3d 738, 762-763)

As having “animalistic tendencies” and “felonious tendencies” - where the attack on the victim was “indeed felonious and consistent with animalistic tendencies, i.e., pursuit and vicious attack without provocation” (***People v. Jones*** (1970) 7 Cal.App.3d 358, 362)

As a “professional robber” (***People v. Mitchell*** (1966) 63 Cal.2d 805, 809)

As “cop killers” (***People v. Ketchel*** (1963) 59 Cal.2d 503, 540)

As an “animal” and one of the most “vicious gunmen and killers” (***People v. Terry*** (1962) 57 Cal.2d 538, 561–562.)

Nor was it found to be misconduct to refer to the defendant’s crimes in the following manner:

As a “savage beating” (***People v. Martinez*** (2010) 47 Cal.4th 911, 957.)

As “serial killing” and “terrorizing and killing” people (***People v. Young*** (2005) 34 Cal.4th 1149, 1195.)

As a “terrorist attack” (***People v. Jones*** (1998) 17 Cal.4th 279, 308–309.)

As “atrocities” (***People v. Pitts*** (1990) 223 Cal.App.3d 606, 701; **cf.**, ***People v. Benson*** (1990) 52 Cal.3d 754, 794, 795 [comment that crime was “perhaps the most brutal, atrocious, heinous crime” in county and probably state was not misconduct, albeit the court stated “similar remarks should be avoided in the future”].)

On the other hand, sometimes courts have found misconduct in the use of epithets (albeit mostly in older cases).

For example: In ***People v. Herring*** (1993) 20 Cal.App.4th 1066, the prosecutor, in a sexual assault case, described the defendant as a “primal man in his most basic level. He's [sic] idea of being loved is sex. He wouldn't know what love was. He's like a dog in heat....” “This is primal man. He thinks all I have to do is put a little force on her. Women love this. Every man knows that....” “He's like a parasite. He never works. He stays at people's homes. Drives people's cars. He steals from his own parents to get anything. He won't work for it.” (***Id.*** at pp. 1073-1074.) The court stated the comments about the defendant (described by the court as biracial) being a primal man were, “at the very least, in bad taste.” (***Id.*** at p. 1074.) Moreover, the court found the comments that defendant was a parasite, did not work, stayed at people's homes, drives people's cars, etc., “had nothing to do with the crimes alleged and inferred that people who do not work, live with others, and drive other people's cars are bad people and more likely to do criminal acts.” (***Id.*** at pp. 1074-1075.) The court held the argument was directed at the defendant's “character invited the jury to decide the case based upon its own value judgment and not on the law.” (***Id.*** at p. 1075 [and finding these while these statements, by themselves, would not necessarily be cause for reversal, they did require reversal in conjunction with other closing argument misconduct].)

In *People v. Travis* (1954) 129 Cal.App.2d 29, the court found it misconduct (albeit non-prejudicial) to refer to defendants as members of a “rat pack” at a time when the expression was “currently used with great frequency by the local press as descriptive of gangs of youths that have brought about an era of violence and crime in [the] community.” (*Id.* at p. 39.)

In *People v. Hunter* (1942) 49 Cal.App.2d 243, the court held it was improper (but not prejudicial) for the prosecutor to refer to defendant as a “person of a vicious personality,” and compare him to “a vulture, except that he was preying upon the bodies of young girls.” (*Id.* at p. 250.)

2. Can a Prosecutor Call a Defendant a Liar?

“The prosecution may properly refer to a defendant as a ‘liar’ if it is a ‘reasonable inference based on the evidence.’” (*People v. Wilson* (2005) 36 Cal.4th 309, 338; accord *People v. Tafoya* (2007) 42 Cal.4th 147, 182; *People v. Reyes* (1974) 12 Cal.3d 486, 505.) Thus, if a defendant’s testimony is inconsistent with the testimony of the witnesses or evidence, it is fair to refer to the defendant as a liar. (See *People v. Fernandez* (2013) 216 Cal.App.4th 540 [2013 WL 2248983, *12.]

3. Can a Prosecutor Argue the Defendant Concocted a Defense?

A prosecutor may argue “on the basis of inference from the evidence that a defense is fabricated.” (*People v. Boyette* (2002) 29 Cal.4th 381, 433.) Thus, it is permissible to argue that a defendant’s statement on the stand that is inconsistent with a prior statement was framed to coincide with an imagined defense. (See *People v. Dykes* (2009) 46 Cal.4th 731, 768-769 [finding it permissible, as part of an argument that defendant’s testimony was concocted, to state defendant “knows the legal niceties here, ladies and gentlemen, he’s had two years to study these instructions. He’s got two lawyers”]; see also *People v. Williams, Jr.* (2016) 1 Cal.5th 1166, 1177-1178 [prosecutor properly claimed that defendant lied and knowingly put on contradictory evidence].)

4. The Fact Defendant Hired an Attorney is Not Evidence that Defendant Concocted a Defense or Consciousness of Guilt

The mere act of hiring an attorney is not “probative in the least of the guilt or innocence of defendants.” (*Bruno v. Rushen* (9th Cir. 1983) 721 F.2d 1193, 1194.) “[U]nder the Sixth Amendment right to counsel, prosecutors may not imply that the fact that a defendant hired a lawyer is a sign of guilt[.]” (*United States v. Santiago* (9th Cir. 1995) 46 F.3d 885, 892.) In *People v. Bain* (1971) 5 Cal.3d 839, the court held it was misconduct for the prosecutor to argue defendant concocted a story based on the fact defendant hired an attorney. (*Id.* at pp. 845, 847.)

J. ATTACKS ON DEFENSE WITNESSES

1. In General Can a Prosecutor Impugn a Defense Witness?

“[H]arsh and colorful attacks on the credibility of opposing witnesses are permissible.” (*People v. Arias* (1996) 13 Cal.4th 92, 162; **accord** *People v. Bryant* (2014) 60 Cal.4th 335, 455.) “It is legitimate advocacy to disparage the credibility and weight of opposing evidence based on reasonable inferences.” (*People v. Bryant* (2014) 60 Cal.4th 335, 455 [proper to say defense expert witness came “up with some convoluted cockamamie theory that is a bunch of psychobabble”]; *People v. Shazier* (2014) 60 Cal.4th 109, 148-149 [proper to note defense expert’s “streak of 289, 289 straight times testifying exclusively for the defense” and sarcastically point out that expert’s “brilliance” not appreciated by society, but by “defense attorneys who pay him”]; *People v. Parson* (2008) 44 Cal.4th 332, 359 [proper to say one defense expert is a “spin doctor”; that another was “just a little too glib, a little too self-assured, a little too cocky”; and a third was a “little too grandiose,” “really a fish out of water” and “just kind of a glib fellow” whose conclusions amounted to “psychobabble”]; *People v. Pinholster* (1992) 1 Cal.4th 865, 948-949 [proper to call defense witness a “weasel”].)

2. Can a Prosecutor Call a Witness a “Liar?”

“Referring to testimony as ‘lies’ is an acceptable practice so long as the prosecutor argues inferences based on the evidence and not on the prosecutor's personal belief.” (*People v. Sandoval* (1992) 4 Cal.4th 155, 180; **accord** *People v. Arias* (1996) 13 Cal.4th 92,162 [counsel is “allowed to argue, from the evidence, that a witness’s testimony is unbelievable, unsound, or even a patent ‘lie’”]; *People v. Peoples* (2016) 62 Cal.4th 718, 797 [same and noting that while “characterizing the testimony of defense witnesses as ‘bull’ is of dubious persuasive value, it falls within the prosecutor’s wide latitude to comment on the evidence during closing argument”]; *People v. Dennis* (1998) 17 Cal.4th 468, 522 [similar]; *People v. Pinholster* (1992) 1 Cal.4th 865, 948 [proper to say defense witness was “a perjurer” and another “was not following the script”].)

Thus, in *People v. Sandoval* (1992) 4 Cal.4th 155, a prosecutor was deemed to have properly called a defense expert a liar where it was established that the witness had testified differently in other cases about the distinction between alcohol and PCP intoxication. (*Id.* at pp. 179-180.)

Similarly, in *People v. Mitcham* (1992) 1 Cal.4th 1027, the prosecutor’s argument, “I talked to you earlier about dazzling, you know, dazzle you with BS. Well, they can baffle you with BS; and that’s what they’re trying to do. They’re trying to baffle you with the red herring, PCP” was proper since it was made in reference to a defense expert’s testimony that defendant could be under the influence of PCP where there was no actual evidence defendant was under the influence of PCP. (*Id.* at pp. 1081-1082.)

3. Can a Prosecutor Comment on the Fact a Defense Witness is Getting Paid for Testimony?

“It is within the bounds of proper argument to attack the credibility of defense expert witnesses, and the weight to be given their testimony, based on the witnesses’ compensation and the fact of their employment.” (*People v. Farnam* (2002) 28 Cal.4th 107, 171; *People v. Babbitt* (1988) 45 Cal.3d 660, 702; **see also** *People v. Parson* (2008) 44 Cal.4th 332, 360 [“counsel is free to remind the jurors that a paid witness may accordingly be biased and is also allowed to argue, from the evidence, that a witness's testimony is unbelievable, unsound, or even a patent ‘lie’”]; *People v. Arias* (1996) 13 Cal.4th 92, 162 [same].)

In *People v. Caldwell* (2013) 212 Cal.App.4th 1262, the prosecution described the defense expert as “kind of like Walmart for defense attorneys” and characterized hiring the defense expert as “[o]ne stop shopping to try to put reasonable doubt in your minds....” (*Id.* at p. 1272.) The *Caldwell* court characterized these comments as proper arguments about the expert’s compensation. The court recognized that while the arguments suggested the expert was biased because of her compensation, “they were well within acceptable trial practice, and did not attack or impugn the defense attorney’s character by extension.” (*Ibid.*)

In *People v. Spector* (2011) 194 Cal.App.4th 1335, the prosecutor argued: “All told, the defense ended up, basically, changing everything. When it didn’t work, they just changed it. If you can’t change the facts, change the evidence. If you can’t change the evidence, change the science, and if you can’t change the science, folks, just go out and **buy yourself a scientist**. That may work. [¶] There may be some way to convince a jury ... of that. Don’t let that happen. See this for what it was. This was a ‘pay to say’ defense. You pay it; I’ll say it, no matter how ridiculous it is. I’ll even say blood flies around corners. [¶] The total cost to the defense to hide the truth from you folks, a staggering \$419,000. Cogitate on that number for just a second. A staggering 419,000 bucks to hide the truth.” (*Id.* at p. 1403.) On appeal, the defense characterized this argument as an attack on the integrity of both the witness and defense counsel. However, the appellate court held there was no misconduct since all the prosecutor did was “accuse the defense of doing was throwing a lot of money at various experts in an attempt to get Spector acquitted.” (*Id.* at p. 1406.) The court observed that “[s]ince expert opinions are generally subject to reasonable debate, an attorney’s good faith selection of a favorable expert does not reflect adversely on counsel’s ethics or integrity. An argumentative reminder that defense counsel may have chosen [the expert] for this reason is not equivalent to an insinuation that counsel suborned perjury or engaged in deception.” (*Ibid.*) Similarly, the court **rejected** the argument that the prosecutor engaged in misconduct when he made the following comments: “You can write a check for \$419,000 to hire paid-to-say witnesses to get you out of what you have done.” “They [defense experts] are willing, for a price, folks, and wait till you get this price, they are willing to come in and say suicide.” “How does a homicide become a suicide? You write a big, fat check.” “[J]ust go out and buy yourself a scientist.” (*Id.* at p. 1407.)

In *People v. Monterroso* (2004) 34 Cal.4th 743, the prosecutor discussed a defense expert’s substantial fee and her history of testifying only for criminal defendants, remarking: “See, what you people probably don’t understand, because you haven’t been around the system, but there’s a whole industry of these defense experts that bounce around from trial to trial, state to state, collecting good money for testimony. It is a whole industry. They don’t just show up here, this isn’t the first case. Next week she’ll be talking about somebody else.” The *Monterroso* court

held: “The district attorney’s characterization of [the expert’s] credibility was within the bounds of proper argument.” (*Id.* at p. 784.)

In *People v. Ervin* (2000) 22 Cal.4th 48, a contract killing case, the prosecutor repeatedly commented that the fee paid to a defense expert was more than the defendants received for killing the victim. The court held the prosecutor’s remarks, “though arguably unfair to the [expert], were factually accurate and not so disparaging of the witness as to constitute misconduct.” (*Id.* at p. 92.)

In *People v. Arias* (1996) 13 Cal.4th 92, the prosecutor argued the eyewitness account of a stabbing was more believable than a defense expert’s forensic reconstruction of the incident because the eyewitness, unlike the expert, “wasn’t paid a hundred dollars for his testimony.” The prosecutor also, in rebuttal argument, described the defense expert as a “so-called expert, so-called because a real scientist would never stretch any [principle] for a buck.” (*Id.* at pp. 162-163.) The court held these arguments were proper as the prosecutor’s argument merely focused on the evidentiary reasons why the purported scientific nature of [the expert’s] opinions could not be trusted over [the] eyewitness account. (*Id.* at p. 163.)

4. Can a Prosecutor Comment on the Fact a Defense Alibi Witness Failed to Come Forward Earlier?

Assuming a witness was not an accomplice of the defendant (i.e., the witness has no Fifth Amendment issues of their own), it is permissible for a prosecutor to comment upon the fact that a witness did speak with the police or come forward with exculpatory information before testifying. (*See People v. Seumanu* (2015) 61 Cal.4th 1293, 1332-1334; *People v. Pinholster* (1992) 1 Cal.4th 865, 948-949; *see also People v. Tauber* (1996) 49 Cal.App.4th 518, 525 [“the fact a witness is aware of the potentially exculpatory nature of facts but fails to reveal that evidence to the authorities before trial is relevant to the witness’s credibility” and “[w]hile there may be no legal obligation to come forward, it is so natural to do so that the failure to promptly present that evidence makes suspect its later presentation at trial.”].)

In *People v. Seumanu* (2015) 61 Cal.4th 1293, the defendant presented an alibi defense though his wife and another witness who claimed to be the primary participant in the robbery and murder with which defendant was charged. The wife testified defendant was with her on the night of the crimes, and the other witness stated defendant was not present when the crimes were committed. During closing argument, the prosecutor argued the alibi defense was not worthy of belief because the wife and witness knew the defendant was sitting in custody for a long time but neither the wife nor the witness came forward: “Real alibi witnesses do not sit on their alibi and keep it secret for four-and-a-half years while their allegedly innocent husbands are rotting in jail.” (*Id.* at p. 1332.) The defendant later claimed that this closing argument constituted improper comment on his right to remain silent in violation of his constitutional rights under the United States Constitution. (*Seumanu* at p. 1333.) However, the California Supreme Court rejected the argument on the merits because the gist of the prosecutor’s argument was aimed not at defendant’s silence, but that of his primary alibi witness, his wife. “Accordingly, the prosecutor’s argument was not intended to have the jury draw negative inferences so much from defendant’s silence as from [his wife’s] silence. Mere witnesses, of course, have no constitutional right to remain silent.” (*Id.* at p. 1334.)

In *People v. Pinholster* (1992) 1 Cal.4th 865, the prosecutor argued the defendant's alibi was incredible because none of the witnesses who gave evidence in support of it had attempted to exonerate defendant with the police or prosecutor before the trial, despite their familiarity with the police department and the prosecution. Defendant claims the reference to the familiarity of the witnesses with the police department was a reference to matter outside the record, namely, that the witnesses all had criminal records. (*Id.* at p. 948.) The court rejected the idea the jury would think the witnesses had criminal records considering that the trial testimony showed that seven of the ten alibi witnesses had been in contact with the police before trial but failed to mention the alibi. The *Pinholster* court then went on to note "that the trial testimony of a witness other than the defendant is less credible for being offered for the first time at trial, is a permissible comment on the state of the evidence." (*Id.* at pp. 948-949.)

K. ATTACKS ON DEFENSE COUNSEL

1. Can a Prosecutor Attack the Integrity of Defense Counsel or Imply or State Defense Counsel Has Fabricated a Defense?

a. In General

"A prosecutor commits misconduct if he or she attacks the integrity of defense counsel, or casts aspersions on defense counsel." (*People v. Hill* (1998) 17 Cal.4th 800, 832; *People v. Vance* (2010) 188 Cal.App.4th 1182, 1200.) A prosecutor should not be casting defense counsel as the villain in a case. (*People v. Redd* (2010) 48 Cal.4th 691, 742; *People v. Sandoval* (1992) 4 Cal.4th 155, 183.) *People v. Williams* 2017 WL 167495, *25 [consistent denigration of defense counsel is improper".])

It is generally misconduct for the prosecutor to accuse defense counsel of fabricating a defense or to imply that counsel is free to deceive the jury. (*People v. Williams, Jr.* (2016) 1 Cal.5th 1166, 1178 ["It is error for a prosecutor to argue that defense counsel knew his client was guilty but proceeded with a sham defense"]; *People v. Seumanu* (2015) 61 Cal.4th 1293, 1337-1338 [and finding that by stating defense counsel "put forward" a sham, the prosecutor "improperly implied that counsel was personally dishonest"]; *People v. Bemore* (2000) 22 Cal.4th 809, 846 ["generally improper for the prosecutor to accuse defense counsel of fabricating a defense . . . or to imply that counsel is free to deceive the jury"]; *People v. Sandoval* (1992) 4 Cal.4th 155, 183 ["improper for the prosecutor to imply that defense counsel has fabricated evidence"]; *People v. Arias* (1996) 13 Cal.4th 92, 162 ["argument may not denigrate the integrity of opposing counsel"]; *People v. Cummings* (1993) 4 Cal.4th 1233, 1302 ["If there is a reasonable likelihood that the jury would understand the prosecutor's statements as an assertion that defense counsel sought to deceive the jury, misconduct would be established"]; *People v. Bain* (1971) 5 Cal.3d 839, 847 ["The unsupported implication by the prosecutor that defense counsel fabricated a defense constitutes misconduct"]; *People v. Herring* (1993) 20 Cal.App.4th 1066, 1076 ["improper for the prosecutor to imply that defense counsel has fabricated evidence or to otherwise malign defense counsel's character"].)

"Casting uncalled for aspersions on defense counsel directs attention to largely irrelevant matters and does not constitute comment on the evidence or argument as to inferences to be drawn therefrom." (*People v. Sandoval* (1992) 4 Cal.4th 155, 183; accord *People v. Bemore* (2000) 22 Cal.4th 809, 846.) A "defendant's conviction should rest on the evidence, not on derelictions of his counsel." (*People v. Thompson* (1988) 45 Cal.3d 86, 112.)

“An attack on the defendant’s attorney can be seriously prejudicial as an attack on the defendant himself, and, in view of the accepted doctrines of legal ethics and decorum [citation], it is never excusable.” (*People v. Seumanu* (2015) 61 Cal.4th 1293, 1338; *People v. Hill* (1998) 17 Cal.4th 800, 832; *People v. Vance* (2010) 188 Cal.App.4th 1182, 1200.)

However, vigorously attacking defense counsel’s tactics, shaky legal arguments or mischaracterization of the evidence is permissible. (See this outline, section II-K-3, at pp. 47-49.) Similarly, merely pointing out that the defense is attempting to confuse the issues is not improper. (See this outline, section II-K-4 at pp. 49.)

b. Actual Evidence of Fabrication

Courts sometimes use language that appears to indicate that there is an unqualified bar against accusations that defense counsel fabricated evidence. For example, in *People v. Zambrano* (2011) 41 Cal.4th 1082, the court referred to the tactic of accusing defense counsel of fabricating a defense or factually deceiving the jury as “forbidden.” (*Id.* at p. 1154.) However, both cases *Zambrano* cited for this proposition qualified the rule. (See *People v. Stitely* (2005) 35 Cal.4th 514, 560 [referring to prosecutorial tactic of “falsely accusing counsel of fabricating a defense or otherwise deceiving the jury” as forbidden]; *People v. Bemore* (2000) 22 Cal.4th 809, 846 [“it is generally improper for the prosecutor to accuse defense counsel of fabricating a defense”].) Moreover, if there **is** actual evidence of fabrication, it may be fair to allege defense fabrication. “A prosecutor’s suggestion or insinuation that defense counsel fabricated the defense is misconduct only when there is ‘no evidence to support that claim.’” (*People v. Earp* (1999) 20 Cal.4th 826, 862; *People v. Rundle* (2008) 43 Cal.4th 76, 163; see also *People v. Mitcham* (1992) 1 Cal.4th 1027, 1081 [highlighting fact that a prosecutor should not imply that defense counsel fabricated a defense “where there is no evidence to support that claim”].)

In *People v. Rundle* (2008) 43 Cal.4th 76, the court rejected a defense claim the prosecutor engaged in misconduct by mentioning that defendant met with defense counsel and the defense psychiatrist to “clear up and get to the true version of what happened,” and then arguing that defendant’s version of the killings was “designed to avoid criminal responsibility for [various charged offenses] and for no other reason.” (*Id.* at p. 163.) The court observed that the “[d]efendant admitted he had learned before trial it would be beneficial to his defense if it was established he did not form the intent to have sexual intercourse with the victims until after they were dead” and thus, “[t]he prosecutor’s statements constituted fair comment upon the evidence regarding the supposed need for defendant, who was the only living person who witnessed the killings, to meet with others to determine the truth of what happened, and a reasonable suggestion of a possible motive for defendant to lie about the murders.” (*Ibid.*) The court concluded that “prosecutor did not directly accuse defense counsel of encouraging defendant to lie, but even to the extent the statements swept counsel up in defendant’s asserted lies, this was not an improper comment in the context of this case, in which defendant’s story changed drastically during trial preparations.” (*Ibid.*)

In *People v. Jasso* (2012) 211 Cal.App.4th 1354, the court cited the conclusion in *Randle* in finding no misconduct where the prosecutor, in the process of commenting upon the fact that defendant changed his story at trial, argued the defendant would not say where he got the gun used in a shooting but then “his attorney comes forward and he says[,] Well, I’d better tell you, I got it from Casper....” (*Id.* at p. 1371 [and also expressing doubt that the reference communicated to the jury that the prosecutor was accusing defense counsel of encouraging defendant to make false statements].)

However, when there are accusations of fabrication, the evidence to support the accusation must be concrete. For example, as illustrated in the case of ***Bruno v. Rushen*** (9th Cir. 1983) 721 F.2d 1193, the fact that a witness has changed her story after speaking with defense counsel may not, by itself, be sufficient evidence of an attempt by defense counsel to fabricate a defense.

In ***Bruno v. Rushen*** (9th Cir. 1983) 721 F.2d 1193, the prosecutor argued that after a witness' initial statement to the police was given, "a lot of events started taking place.... All of the sudden lawyers start getting involved in the case. [¶] And the next thing you know the following day when the (witness) comes in to testify, all of a sudden everything got turned around and that's no longer the case." (**Id.** at p. 1194, fn. 1.) The prosecutor later argued, "Have you ever seen anything to compare with the machinations? Talk about puppets, talk about malleable, talk about pressure! [¶] That lady was brought down to a lawyer's office across the street from this building that very night, and spoke with the lawyer who represents her daughter (who was living with the defendant at the time of the murder). She spoke with Mr. Serra who represents Mr. Bruno in this case. And what happens? The next day she has a lawyer of her own, recommended by Mr. Serra. Does that all tell you what happened to that poor lady? What kind of pressures did they exert on her?" (**Id.** at p. 1194, fn. 2.) The Ninth Circuit reversed for prosecutorial misconduct, noting that "the prosecutor had labelled defense counsel's actions as unethical and perhaps even illegal without producing one shred of evidence to support his accusations." (**Id.** at p. 1194.)

c. Commenting on Fact Defendant Was Informed by Attorneys of Legal Defenses Does Not Suggest Attorneys Fabricated a Defense

In ***People v. Dykes*** (2009) 46 Cal.4th 731, the court held that the prosecutor's statement that defendant "knows the legal niceties here, ladies and gentlemen, he's had two years to study these instructions. He's got two lawyers," made as part of an argument that defendant's story on the stand was concocted "did not suggest that defense counsel had participated in fabricating a defense for defendant, nor did it constitute a personal attack upon counsel or counsel's credibility." (**Id.** at pp. 768-769.)

d. Commenting on Defense Being Adverse to the Truth

A prosecutor will be given some leeway to characterize the defense as "adverse to the truth" if there is evidence that will support such a characterization. One not atypical defense tactic that will provide support for this characterization is misleading defense cross-examination. For example, in ***People v. Spector*** (2011) 194 Cal.App.4th 1335, the prosecutor attacked the defense hiring of a very expensive expert. In the course of making this attack, the prosecutor implied that the defense was trying to hide the truth from the jury. The defense argued this was not supported by any evidence, but the court pointed out that in cross-examining a prosecution witness, the defense counsel quoted from an e-mail of the victim which indicated the victim was suicidal ("I am truly at the end of this whole deal. I'm going to tidy up my affairs, and chuck it because it's really all too much for just one girl?"). Defense counsel left off a portion of the e-mail that immediately followed the quoted statement ("Don't worry, not before I pay you back") that created a very different, much more jocular cast to the statement. The court held defense counsel's framing of the question could be grounds for arguing the defense was trying to hide the truth." (**Id.** at pp. 1406-1407.)

2. Can a Prosecutor Attack the Generic Role of Defense Counsel?

It is improper to attack the role of defense attorneys as a class. (See *People v. Perry* (1972) 7 Cal.3d 756, 789–790 [misconduct for prosecutor to argue that, in contrast to prosecutors, defense attorneys were free to obscure the truth and confuse the jury]; *People v. Hawthorne* (1992) 4 Cal.4th 43, 59 [error for prosecutor to quote from dissenting opinion in *United States v. Wade* (1967) 388 U.S. 218 to the effect that law enforcement has an obligation to ascertain “the true facts surrounding the commission of the crime” while defense counsel do not]; *People v. Gionis* (1995) 9 Cal.4th 1196, 1217 [finding misconduct in prosecutor using quote, “You’re an attorney. It’s your duty to lie, conceal and distort everything and slander everybody” even though it was directed at attorneys generally, and thus to the prosecutor himself as well as defense counsel]; *People v. Herring* (1993) 20 Cal.App.4th 1066, 1076 [characterizing prosecutor’s argument as unsworn testimony improperly implying prosecutor was aware of facts not in evidence and that all those accused of crimes whom defense counsel represented were necessarily guilty of heinous crimes where prosecutor compared himself to defense counsel and stated, “I chose this side and he chose that side. My people are victims. His people are rapists, murderers, robbers, child molesters. He has to tell them what to say. He has to help them plan a defense. He does not want you to hear the truth”].)

It is not “accurate to state that defense counsel, in general, act in underhanded and unethical ways, and absent specific evidence in the record, no particular defense counsel can be maligned.” (*Bruno v. Rushen* (9th Cir. 1983) 721 F.2d 1193, 1195; see also *United States v. Santiago* (9th Cir. 1995) 46 F.3d 885, 892 [“under the Sixth Amendment right to counsel, prosecutors may not imply that . . . all defense counsel are programmed to conceal and distort the truth”]; see also *People v. Fierro* (1991) 1 Cal.4th 173, 212-213 [prosecutor’s statement that defense counsel “has given you a very typical presentation of a defense attorney who has nothing of substance to say” derided as ad hominem attack]; *People v. Talle* (1952) 111 Cal.App.2d 650, 674 [prosecutor engaged in misconduct when, in reference to an out-of-county defense counsel named Davis, said, “if counsel ever comes to Santa Clara County to defend a man ‘there will be three hundred thousand people in our County who will know that we’ve got another guy just as guilty as [defendant], and will know it from no other reason than because Mr. Davis is down here to defend him” and also stated Davis was lacking in “manhood”].)

However, brief comments about how the job of defense counsel is to confuse the issues, in contrast to the prosecutor’s duty to reduce that confusion, will not be deemed misconduct where the comments would be understood by the jury as an admonition not to be misled by the defense interpretation of the evidence, rather than as a personal attack on defense counsel. For example, in *People v. Cunningham* (2001) 25 Cal.4th 926, the court rejected the argument that a prosecutor committed misconduct by telling the jurors, in reference to the defense attorney, “And what is their job? Their job is to create straw men. Their job is to put up smoke, red herrings. And they have done a heck of a good job. And my job is to straighten that out and show you where the truth lies. So let’s do that.” (*Id.* at p. 1002.)

⊕ Bottom line: It is permissible to observe the duty of defense counsel is to present a defense-but stay away from characterizing defense attorneys, in contrast to prosecutors, as having any duty to mislead the jury.

3. Can a Prosecutor Criticize a Defense Counsel’s Shaky Legal Tactics, Dubious Arguments, or Mischaracterization of Facts?

The rule prohibiting attacks against the integrity of counsel does not mean prosecutors are prohibited from attacking shaky or dubious defense tactics and arguments of counsel. They are not. A prosecutor may attack defense counsel’s *argument* and use “colorful language to permissibly criticize counsel’s tactical approach.” (*People v. Stitely* (2005) 35 Cal.4th 514, 560.)

Case law establishes “a prosecutor has wide latitude in describing the deficiencies in opposing counsel’s tactics and factual account.” (*People v. Bemore* (2000) 22 Cal.4th 809, 846.) For example:

In *People v. Shazier* (2014) 60 Cal.4th 109, the court held the prosecutor’s fleeting claim of “deceptive” argument by defense counsel was not an attack on counsel’s personal integrity but “a fair response to counsel’s tactic of providing only selective excerpts of a jury instruction. (*Id.* at p. 150.)

In *People v. Hajek* (2014) 58 Cal.4th 1144, the court held that prosecutor did not engage in misconduct by referring to defense counsel’s argument as “an incredible job of salesmanship[.]” (*Id.* at p. 1230.)

In *People v. Linton* (2013) 56 Cal.4th 1146, the court found nothing improper in the prosecutor “urging the jury not to be distracted by defense counsel’s tactic of blaming others for the seriousness of the situation defendant faced, a strategy of making other people ‘the bad guy.’” (*Id.* at p. 1207.)

In *People v. Tate* (2010) 49 Cal.4th 635, the court held a prosecutor did not improperly impugn defense counsel in rebuttal argument by telling the jury that, when preparing for her just completed argument, counsel had “created” a “preposterous” defense involving a nonexistent “phantom killer,” and said that counsel “wants you to start guessing about a phantom killer.” (*Id.* at pp. 692-693.) Rather, the court held the prosecutor “merely argued, as he was allowed to do, that there was no evidence for counsel’s theory.” (*Id.* at p. 693.)

In *People v. Redd* (2010) 48 Cal.4th 691, the court held it was proper for the prosecutor to argue “that statements by counsel concerning the events were speculation, such speculation was intended to aid their client, and the jury should consider the source of any inferences it drew, in order to ensure that the inferences were based upon evidence rather than upon impermissible speculation.” (*Id.* at pp. 734-735.)

In *People v. Redd* (2010) 48 Cal.4th 691, the court also held the prosecutor properly commented (regarding defense counsel’s use of what sounds like the classic chart with reasonable doubt being the highest of like a thousand levels) that, “the easy thing to do would be to read to you from the instructions, like I did. I wrote the instructions out word for word. [¶] But [defense counsel] didn’t do that. He decided to create his own chart. Something from his mind.” (*Id.* at p. 735.) In addition, the *Redd* court observed that “[a] prosecutor is not prohibited from challenging an inference raised by a question merely because defense counsel thereby may be cast in a poor light for having posed the question.” (*Id.* at p. 738 [stating this principal in upholding a prosecutor’s inference that defense counsel asked a question in order to convey that the victim “got what he deserved for trying to help his friend”].)

In ***People v. Lewis*** (2009) 46 Cal.4th 1255, the court held a prosecutor did not impugn defense counsel by pointing out the defense failed to call an investigator, who the defense had insinuated had taken statements impeaching a prosecution witness, when the prosecutor argued, “You can conclude from the fact that the defense investigator wasn’t presented to you that these insinuations are false, and all they can do possibly is mislead you as to what the evidence is in this case,” since, according to the court, the “statement challenge[d] the insinuations—not the character—of defense counsel.” (**Id.** at p. 1305.)

In ***People v. Young*** (2005) 34 Cal.4th 1149, the court held that the prosecutor’s comments describing defense counsel’s discussion of the law as “unintelligible gibberish” and “garbage” were not misconduct as the prosecutor “was merely determined to correct” defense counsel’s erroneous description of the law[.]” (**Id.** at p. 1192.)

In ***People v. Taylor*** (2001) 26 Cal.4th 1155, the court found the prosecutor’s reference to defense “tricks” or “moves” was not misconduct. (**Id.** at pp. 1166–1167.)

In ***People v. Frye*** (1998) 18 Cal.4th 894, the court held a prosecutor did not commit misconduct by accusing counsel of making an “irresponsible” third party culpability claim. (**Id.** at pp. 977–978.)

In ***People v. Medina*** (1995) 11 Cal.4th 694, the court found no misconduct where the prosecutor said counsel can “twist [and] poke [and] try to draw some speculation, try to get you to buy something.” (**Id.** at pp. 977–978.)

In ***People v. Gionis*** (1995) 9 Cal.4th 1196, the court held the prosecutor’s statement that counsel argued out of “both sides of his mouth” and that doing so was an example of “great lawyering” which “doesn’t change the facts, it just makes them sound good use” was proper. (**Id.** at p. 1216.) The court also found the following quotes, taken in context, “simply pointed out that attorneys are schooled in the art of persuasion; they did not improperly imply that defense counsel was lying”: (i) “Lawyers and painters can soon change white to black. Danish Proverb”; (ii) “If there were no bad people there would be no good lawyers. Charles Dickens”; (iii) “There is no better way of exercising the imagination than the study of law. No poet ever interpreted nature as freely as a lawyer interprets truth. Jean Giraudoux, 1935”; and (iv) “In law, what plea so tainted and corrupt but being seasoned with a gracious voice, obscures the show of evil” (Shakespeare). (**Id.** at pp. 1216-1217; **but see *People v. Wise*** [unreported] 2003 WL 22535043, *3 [stating it did not take approval of these quotes in ***Gionis*** “as a green light for counsel to use these quotations-or similar ones-as a matter of routine argument”].)

In ***People v. Lloyd*** (2015) 236 Cal.App.4th 49, the court held it was proper argument for the prosecutor to tell the jury “not to be fooled” by defense counsel’s “dramatics” and not to “be fooled by the big, loud voice.” (**Id.** at p. 60.)

In ***People v. Haslouer*** (1978) 79 Cal.App.3d 818, the court held a prosecutor did not commit misconduct by attributing “to the defense a technique of smearing the victims and their parents” - though such comment was “unkind.” (**Id.** at p. 834.)

a. Anticipatory Attacks

A prosecutor may preemptively attack anticipated flaws in defense counsel’s argument based on the evidence introduced. (**See *People v. Dykes*** (2009) 46 Cal.4th 731, 770; ***People v. Thompson*** (1988) 45 Cal.3d 86, 113.)

However, it was arguably misconduct for the prosecutor to tell the jury that “[a] matter of general or common knowledge is that at the time of final argument [defense counsel] cries, so when that happens—” I want you to understand that it's nothing unique to this case.” (*People v. Doolin* (2009) 45 Cal.4th 390, 444-445.) The defense claimed the prosecutor improperly referred to “facts not in the record” and attacked the integrity of defense counsel by suggesting he “was a dishonest charlatan, an attorney without integrity, who would resort to theatrical gestures to sway a jury” but the *Doolin* court found the “prosecutor's brief remark” harmless in light of instructions that statements of counsel were not evidence and not to be swayed by sentiment. (*Id.* at p. 445.)

b. Attacks Implying that Permissible Defense Tactics Are Improper May be Misconduct

In *People v. Vance* (2010) 188 Cal.App.4th 1182, the court characterized a statement by the prosecutor that, “Defense is objecting because the defense believes that I’m painting too graphic a picture,” as an attack on the integrity of counsel where defense counsel had made a valid and sustained objection. The court stated that the intent of the statement was to suggest that “defense counsel was [improperly] endeavoring to present the jury with a sanitized version of the crime.” (*Id.* at pp. 1200-1201.)

In *People v. Woods* (2006) 146 Cal.App.4th 106, the court held the prosecutor improperly disparaged defense counsel by improperly suggesting that the defense attorney failed to meet her obligation by putting on evidence. (*Id.* at p. 112.)

In *People v. Lindsey* (1988) 205 Cal.App.3d 112, the court held a prosecutor’s comments improperly disparaged defense counsel by implying that counsel was incompetent in failing to reveal an alibi before trial (and thereby secure defendant’s release from jail) where the implication was unfair because it was “based on the premise—perhaps plausible to nonlawyers but absurd to any knowledgeable attorney—that the prosecutor would simply have dropped all charges merely because defendant’s mother claimed he was home in bed during the robbery.” (*Id.* at p. 117.)

4. Can a Prosecutor Point Out that Defense Counsel is Attempting to Confuse the Issues or Distract the Jury from the Evidence?

“It is not misconduct for a prosecutor to argue that the defense is attempting to confuse the jury.” (*People v. Kennedy* (2005) 36 Cal.4th 595, 626.) “An argument which does no more than point out that the defense is attempting to confuse the issues and urges the jury to focus on what the prosecution believes is the relevant evidence is not improper.” (*People v. Cummings* (1993) 4 Cal.4th 1233, 1302, fn. 47.) In response to defense arguments that try to take the jury’s focus away from the evidence, a prosecutor may make arguments that serve to remind “the jury that it should not be distracted from the relevant evidence and inferences that might properly and logically be drawn therefrom[.]” (*People v. Breaux* (1991) 1 Cal.4th 281, 306.)

Arguments along the lines of “defense counsel is throwing sand in the eyes of the jury” are impermissible only where such argument “could be understood as suggesting that counsel was obligated or permitted to present a defense dishonestly.” (*People v. Breaux* (1991) 1 Cal.4th 281, 306.)

Thus, while it is impermissible to suggest that an attorney is “obligated or permitted to present a defense **dishonestly**,” it is permissible to point out that it is the proper job of an attorney to “focus on areas which tend to confuse.” (*People v. Bell* (1989) 49 Cal.3d 502, 538.)

The old “defense counsel is throwing up a smoke screen” argument

In *People v. Marquez* (1992) 1 Cal.4th 553, the court determined that the prosecutor's comment that a “heavy, heavy smokescreen has been laid down [by the defense] to hide the truth from you” constituted a proper argument in response to the defense presented. (*Id.* at p. 575-576; **see also** *People v. Kennedy* (2005) 36 Cal.4th 595, 626-627 [“defense counsel’s ‘idea of blowin’ smoke and roiling up the waters to try to confuse you is you put everybody else on trial”]; *People v. Stitely* (2005) 35 Cal.4th 514, 559 [prosecutor’s argument that jurors should view defense “counsel's argument as a ‘legal smoke screen’” was not misconduct]; *People v. Frye* (1998) 18 Cal.4th 894, 978 [calling defense theory “ludicrous” and “a smoke screen” proper and not attack on defense counsel].)

The old “defense counsel is like an octopus (or squid)” argument

In *People v. Cummings* (1993) 4 Cal.4th 1233, the court held a prosecutor's argument accusing the defense of attempting to hide the truth, and his argument employing an ‘ink from an octopus’ metaphor, would be understood as nothing more than urging the jury not to be misled by the evidence. (*Id.* at p. 1302; **accord** *People v. Cunningham* (2001) 25 Cal.4th 926, 1002.)

The old “if you don’t have the law or facts on your side, pound the table” argument

In *People v. Breaux* (1991) 1 Cal.4th 281, the court found it was not misconduct for the prosecutor to compare the trial with a law school trial tactics class where students are taught “that if you don’t have the law on your side, argue the facts. If you don’t have the facts on your side, argue the law. If you don’t have either one of those things on your side, try to create some sort of a confusion with regard to the case because any confusion at all is to the benefit of the defense” since, “in context, the prosecutor could only have been understood as cautioning the jury to rely on the evidence introduced at trial and not as impugning the integrity of defense counsel.” (*Id.* at pp. 305-306; **accord** *People v. Gionis* (1995) 9 Cal.4th 1196, 1217.)

The old “defense counsel is throwing sand in your eyes” argument

In *People v. Bell* (1989) 49 Cal.3d 502, the prosecutor argued, “It’s a very common thing to expect the defense to focus on areas which tend to confuse. That is—and that's all right, because that’s [defense counsel’s] job. If you’re confused and you're sidetracked, then you won’t be able to bring in a verdict.” (*Id.* at p. 537.) The prosecutor also said: ‘It’s his job to throw sand in your eyes, and he does a good job of it, but bear in mind at all times, and consider what [defense counsel has] said, that it’s his job to get his man off. He wants to confuse you.’ (*Ibid.*) The *Bell* court held the argument was proper insofar as the “remarks could be understood as a reminder to the jury that it should not be distracted from the relevant evidence and inferences that might properly and logically be drawn therefrom” but that “to the extent that the remarks might be understood to suggest that counsel was obligated or permitted to present a defense dishonestly, the argument was improper.” (*Ibid*; **see also** *People v. Meneley* (1972) 29 Cal.App.3d 41, 60 [proper for prosecutor to say defense wasn’t honest with you; he is “trying to throw dust in your eyes. That is his job”].)

5. Can a Prosecutor Point Out the Defense Attorney is a Skilled Lawyer or Will Be a Hard Charging Advocate?

“A prosecutor’s description of defense counsel as being a highly trained and skilled lawyer is not misconduct.” (*People v. Kennedy* (2005) 36 Cal.4th 595, 626.)

In *People v. Cummings* (1993) 4 Cal.4th 1233, the court held a prosecutor’s statement that “a skillful lawyer, a lawyer that is persuasive as Mr. Rucker is, could maybe get [a witness] to say almost anything,” was a comment on the witness’ obvious confusion and difficulty in understanding and responding to questions that reflected on the witness’ lack of recall or comprehension, and could not have been reasonably understood to be an assertion that defense counsel sought to elicit perjured testimony from the witness. (*Id.* at p. 1303.)

In *People v. Redd* (2010) 48 Cal.4th 691, the court held the following statements of the prosecutor did **not** make “fun of defense counsel and denigrated their roles as advocates”: “‘Nothing I say this morning or ever in this trial is meant to reflect poorly on the defense attorneys.’ He added that he had ‘to be willing to get in there and hit hard, I cannot be namby-pamby and do my job, but I won’t be critical of them.’ He further explained that ‘I will be critical of defense position. I’ll be critical of [defendant’s] conduct, but nothing I say is meant to reflect on [defense counsel]. [¶] I want to get that straight from the start but they know, they are both big boys and they know I’ll hit them hard and I expect them to hit me hard. [¶] We know these type of cases, murder cases, death penalty cases are going to be very hotly contested so I expect them to let me have it. I want them to give me their best shot. That’s what makes the system work. [¶] They are here to be diligent advocates. I appreciate that and I’m here to do the same thing. No hard feelings. After this we shake hands and go on to our next cases.’” (*Id.* at p. 734.)

6. Can a Prosecutor Comment on the Fact There Are Discrepancies Between Defense Counsel’s Opening Statement and Defendant’s Testimony?

A “prosecutor may highlight the discrepancies between counsel’s opening statement and the evidence.” (*People v. Bemore* (2000) 22 Cal.4th 809, 846; **accord** *People v. Chatman* (2006) 38 Cal.4th 344, 385; *People v. Gionis* (1995) 9 Cal.4th 1196, 1217; **see also** *People v. Kennedy* (2005) 36 Cal.4th 595, 627 [proper for prosecutor to point out defense failed to call witness it said would be called in opening statement].)

7. Can a Prosecutor Claim Defense Counsel Does Not Believe in His Own Case?

It is improper for the prosecutor to argue that defense counsel believes his client is guilty or that defense counsel does not believe in his client’s defense. (*People v. Chatman* (2006) 38 Cal.4th 344, 385; *People v. Bell* (1989) 49 Cal.3d 502, 537; *People v. Thompson* (1988) 45 Cal.3d 86, 112; **see also** *People v. Tully* (2012) 54 Cal.4th 952, 1020; **see also** *People v. Seumanu* (2015) 61 Cal.4th 1293, 1337 [finding “prosecutor crossed the ethical line when she suggested defense counsel did not personally believe his client’s story and, in fact, believed that defendant personally shot” the victim].) “Such argument directs the jury’s attention to an irrelevant factor and might in some

contexts be quite prejudicial.” (*People v. Thompson* (1988) 45 Cal.3d 86, 112; accord *People v. Herring* (1993) 20 Cal.App.4th 1066, 1075 [whether “appellant's counsel believed appellant's testimony is irrelevant”].)

A prosecutor’s argument that defense counsel does not believe in his own defense cannot be justified on the ground it is responsive to a defense argument that defendant was not the perpetrator of an offense but that no matter who committed the crime, the elements of the crime were not met. (*See People v. Bell* (1989) 49 Cal.3d 502, 537.)

However, a prosecutor who informs the jury that he or she does not expect the defense to spend a lot of time asking the jury to believe defendant’s version of events, or try to justify defendants’ inconsistent stories is not necessarily violating the rule against arguing counsel does not believe his client. (*See People v. Thompson* (1988) 45 Cal.3d 86, 112 [finding such argument not to be misconduct, but noting the prosecutor ventured “onto dangerous ground in phrasing his remarks as he did” since defense counsel may have to argue in a particular way as a result of his ethical duties and should “not be penalized when, based on facts unknown to the prosecutor or the court and which he cannot disclose, he undertakes to handle argument in a particular manner”].)

8. Can a Prosecutor Discuss the Fact the Jury Should Not Be Influenced by the Fact the Defendant is Representing Himself?

In *People v. Dale* (1978) 78 Cal.App.3d 722, the prosecutor stated that “every man has a right to represent himself and refuse a lawyer,” but that “the People’s lawyer has to appear,” and that “juries often times are very concerned that a man is being taken advantage of.” (*Id.* at p. 733.) These comments were held excusable, but the court found the prosecutor “got carried away” when he stated, in reference to his concern the jury would think a pro per defendant might be taken advantage of, that “no one takes advantage of anybody in a criminal courtroom, no prosecutor, because of the higher standards of ethics that he is held to,” and that his “license, my ethics and my career are in the judge's hands,” and that there is “no way that one defendant is worth taking advantage of, ever.” (*Id.* at pp. 733-734.)

L. RESPONDING TO DEFENSE COUNSEL’S MISCONDUCT

“Prosecutors who engage in rude or intemperate behavior, *even in response to provocation by opposing counsel*, greatly demean the office they hold and the People in whose name they serve.” (*People v. Hill* (1998) 17 Cal.4th 800, 819- 820, emphasis added.)

1. When Will the Defense “Open the Door” to Otherwise Improper Prosecutorial Argument?

a. In General

In general, a defense counsel’s misconduct does not justify prosecutorial misconduct in response. (*People v. Terry* (1962) 57 Cal.2d 538, 569; *People v. Kirkes* (1952) 39 Cal.2d 719, 724; see also *United States v. Young* (1985) 471 U.S. 1, 11 [“two improper arguments-two apparent wrongs-do not make for a right”]; *People v.*

Bell (1989) 49 Cal.3d 502, 539 [even if defense counsel’s remarks that the senseless nature of the crime might be accounted for by a third party’s use of cocaine were improper, it did not justify the prosecutor’s response about the effects of cocaine that were not introduced into evidence or common knowledge]; **People v. Alvarado** (2006) 141 Cal.App.4th 1577, 1583-1585 [improper for prosecutor to claim that she would not prosecute a case if she had a doubt about whether the crime occurred - even in response to a defense argument attacking the prosecutor’s credibility]; **People v. Hall** (2000) 82 Cal. App. 4th 813, 817-818 [misconduct for prosecutor, in response to the defense counsel’s argument drawing the jury’s attention to the fact that the prosecution called only one of the two arresting officers as a witness, to state the second officer’s testimony would have been repetitive of the first officer’s testimony since the effect was to tell the jury that the second officer would have testified exactly as the first officer did, in a manner favorable to the prosecution].) “The proper way to correct such an abuse of privilege on the part of either counsel is for his adversary to call it to the attention of the court and have it stopped.” (**People v. Kirkes** (1952) 39 Cal.2d 719, 724.)

b. Some Leeway to Respond in Rebuttal

On the other hand, courts definitely give prosecutors more leeway when responding to improper defense arguments. “There are situations in which the prosecutor has been allowed to make comments in rebuttal that would otherwise be improper, when such comments are fairly responsive of defense counsel.” (**People v. Sandoval** (1992) 4 Cal.4th 155, 193.) However, even in these circumstances, prosecutors may not refer to evidence outside the record. (**See People v. Hill** (1967) 66 Cal.2d 536, 562 [“a prosecutor is justified in making comments in rebuttal, perhaps otherwise improper, which are fairly responsive to argument of defense counsel and *are based on the record*”, emphasis added]; **People v. Reyes** (2016) 246 Cal.App.4th 62, 74 [same]; **see also** this outline, section Y at p. 72.

Some examples of permissible response:

In **United States v. Robinson** (1988) 485 U.S. 25, the defendant did not testify, but his counsel argued that the Government had not permitted defendant to tell his side of the story. The Court held, under these circumstances the prosecutor was entitled to point out that defendant could have testified. (**Id.** at pp. 31-32.)

Editor’s note: **Robinson** is discussed in 2016 IPG #17 memo on **Doyle** and **Griffin** Error.

In **People v. Leonard** (2007) 40 Cal.4th 1370, the court held it was not error for the prosecutor to ask the jurors, *in response to a defense argument* that placed the jurors in the victim’s shoes, to further consider how, viewing the circumstances through the eyes of the victims, the victims might have believed cooperating lessened the chance of the victims being harmed. (**Id.** at p. 1406.)

In **People v. Pinholster** (1992) 1 Cal.4th 865, the defense counsel argued there was a secret prosecutorial deal with a witness. In response, the prosecutor argued that if the defense thought there was such a deal, they could have called “her, a logical witness, to the stand to examine her about it.” The court held it was such argument proper. (**Id.** at p. 949.)

In **People v. Pensinger** (1991) 52 Cal.3d 1210, the court held it was proper rebuttal argument for a prosecutor to say “I like to win cases and this is a big case ... there were certain things [i.e., suborn perjury] I wasn’t going to do or compromise in order to win cases” where the prosecutor was rebutting the defense argument that he had prepared a witness to commit perjury. (**Id.** at p. 1251.)

In *People v. Powell* (1980) 101 Cal.App.3d 513, one defense counsel embellished his argument by telling of a psychology professor's classroom experiment on identification in a stress situation and then read from three newspaper clippings about mistaken identity in specific criminal investigations and cases; the other defense counsel told a story about how the brother of John Wilkes Booth unfairly lost work because of his association with his brother. (*Id.* at p. 520.) In response, the prosecutor stated: "You must realize that defense attorneys have to do the best with what they have to work with. And often defendants are guilty. And consequently it is easy to, you know, get off into these tangents and argue about the kind of makebelieve cases because you know the hard reality of the present case is too much for them. So they are kind of prone, you know, talking about things that really don't pertain to the case that we have." (*Id.* at p. 530.) Later, the prosecutor stated: "So I think this is a strong case and I think if you just understand the position of the defense attorneys, that, you know, these little stories they use in every case because every case that ever comes to a courtroom to a jury has an identification problem. Even if it is a rear ender where you have to identify who was driving the car that ran into you. They can pull out these little excerpts to every jury from now until they die. But they are not going to fool they fool you sometimes, but they are not going to fool you all the time. And this is too smart a jury to be fooled by these two defense attorneys." (*Id.* at p. 521.) The court held neither of the prosecutor's arguments was misconduct as it was "apparent that the prosecutor was simply rebutting the embellishments presented by defense counsel in their summations." (*Id.* at p. 522.)

In *People v. Haslouer* (1978) 79 Cal.App.3d 818, defense counsel, after discussing how children during the Salem witch trials caused adults to be tortured and killed, began waving around a newspaper article claiming it showed how children still made up stories about adults. After an objection by the prosecutor to use of the article, the court "told defense counsel it was improper to read the newspaper article but that he could go ahead and argue that innocent people were being convicted as long as he did not refer to specific facts or unauthenticated accounts. Defense counsel then took a cheap shot: "Thank you, your Honor. I'm not able to read from newspaper articles to you, ladies and gentlemen, about innocent people being convicted." (*Id.* at p. 834.) After another objection by the prosecutor and a jury admonishment that counsel was being prohibited from reading specific facts and instances of such circumstances, counsel went on to argue "that it was a matter of common knowledge that innocent people get convicted and sent to prisons on child molest crimes because several children made up allegations and because it was hard for juries to believe that young children would make up such stories." (*Id.* at p. 834.) In response to this, the prosecutor said, "I would merely point out to you it's also common knowledge that guilty child molesters get off, too . . . It's common knowledge that guilty people get off on this kind of crime, too, particularly in a child molest case where the eyewitnesses, as we said at the beginning, are little kids whose vocabulary is not as extensive as ours, whose ability to communicate is not as good as ours, kids who may use the wrong word in trying to convey something particularly when they have to testify so many times." (*Id.* at pp. 834-835.) The *Haslouer* court concluded, "taken in context, there was nothing improper in the district attorney's remark. It was simply tit-for-tat that some guilty men are acquitted and some innocent men are convicted." (*Id.* at p. 835.)

In *People v. Hernandez* (1962) 209 Cal. App. 2d 33, the prosecutor was permitted to say a pro per defendant was trying to make a mockery of the court and the judicial system and was trying to gain the sympathy of the jury as an appropriate reply to the defendant's argument in which he sought the jury's sympathy because he was without counsel, despite the fact that he had himself discharged the public defender. (*Id.* at pp. 36-37.)

In *People v. Perkin* (1948) 87 Cal.App.2d 365, a police chief was charged with bribery. Defendant's counsel constantly referred to the defendant as a man of good reputation and character, appealed to the jury not to permit him to be robbed of his good name, and challenged the district attorney to say whether the defendant would be willing to be convicted solely on the testimony of two self-confessed criminals. The district attorney, despite interruptions, managed to reply that there was nothing in the record to show defendant's good character. The court held the response was not improper, stating the "remarks were made under the greatest provocation, and if there ever was a case where comment on the character and reputation of the defendant was invited this is that case." (*Id.* at p. 368.) **Editor's note:** See also this outline, section Y, at p. 72 discussing the parameters of rebuttal argument.

c. Responding to Defendant's Misconduct

In *People v. Manson* (1976) 61 Cal. App. 3d 102, one of the infamous defendants interrupted the prosecutor's closing argument by shouting and then walking to the rostrum and grabbing the prosecutor's notes. The prosecutor then stated to the defendant, "You little bitch." The court characterized this exclamation as a reaction produced by the misconduct of defendant and dismissed the defense claim the prosecutor engaged in misconduct: "This incident is no basis for complaint. While the prosecutor must be fair, he cannot be expected to be a saint." (*Id.* at p. 213.)

Editor's note: Appellate courts may not be so forgiving when the defendants are not infamous serial killers.

M. APPEAL TO SYMPATHY FOR VICTIM

1. In General

"[A]n appeal for sympathy for the victim is out of place during an objective determination of guilt." (*People v. Seumanu* (2015) 61 Cal.4th 1293, 1342; *People v. Kipp* (2001) 26 Cal.4th 1100, 1130; *People v. Arias* (1996) 13 Cal.4th 92, 160; *People v. Stansbury* (1993) 4 Cal.4th 1017, 1057.)

The rule does not apply equally to argument in the penalty phase of trial. "Unlike the guilt determination, where appeals to the jury's passions are inappropriate, in making the penalty decision, the jury must make a moral assessment of all the relevant facts as they reflect on its decision. [Citations.] Emotion must not reign over reason and, on objection, courts should guard against prejudicially emotional argument. [Citation.] But emotion need not, indeed, cannot, be entirely excluded from the jury's moral assessment.' [Citation.]" (*People v. Jackson* (2009) 45 Cal.4th 662, 692; *People v. Leonard* (2007) 40 Cal.4th 1370, 1418.)

However, if the evidence itself paints a sympathetic picture of the victim, it is not misconduct for a prosecutor to discuss that evidence in a manner likely to induce sympathy. For example, in *People v. Seumanu* (2015) 61 Cal.4th 1293, the prosecutor described the victim as a bridegroom who was focused on renting tuxedos and preparing for his wedding the next day when defendant robbed, kidnapped and murdered him, and commented that his bride's gift of a Movado watch was a "trophy" of a murderer in both opening and closing statement. (*Id.* at p. 1343.) The *Seumanu* court held this was proper because the prosecutor could "reasonably have anticipated the jury would be presented with evidence that police found the victim's engagement ring and his Movado watch, inscribed with his wedding date, in defendant's shirt pocket when he was arrested" and with evidence the victim's

jacket (which was identified as belonging to the victim because of his wedding “to do list” in the pocket) was found in defendant’s residence. (**Ibid** [and noting the evidence served to link defendant to the crimes against the victim].) And in **People v. Panah** (2005) 35 Cal.4th 395, the court rejected defendant’s claims that by referring to the victim’s age, height, and weight, the prosecutor drew an implied contrast between the victim’s stature and the defendant’s in an appeal to the jury’s prejudices and passions because they were facts in evidence and a prosecutor is “not required to discuss his view of the case in clinical or detached detail.” (**Id.** at p. 463.)

2. Asking Jurors to Put Themselves or Loved Ones in Position of Victim

a. In General

It is well-settled that an appeal to the jury to view the crime through the eyes of the victim is misconduct because to do so appeals to the jury’s sympathy for the victim. (**People v. Seumanu** (2015) 61 Cal.4th 1293, 1344; **People v. Leon** (2015) 61 Cal.4th 569, 606; **People v. Lopez** (2008) 42 Cal.4th 960, 970; **People v. Fields** (1983) 35 Cal.3d 329, 362; **People v. Vance** (2010) 188 Cal.App.4th 1182, 1187 [and referring to such argument at the “Golden Rule” argument].) A “prosecutor generally may not appeal to sympathy for the victims by exhorting the jurors to step into the victim’s shoes and imagine their thoughts and feelings as crimes were committed against them.” (**People v. Shazier** (2014) 60 Cal.4th 109, 146; accord **People v. Leon** (2015) 61 Cal.4th 569, 606.) Some examples of such improper appeals:

“Imagine begging for your life, begging to be let go, being held captive at the end of a shotgun by these four frightening men, and they get mad at you because you only have a little cash.” (**People v. Seumanu** (2015) 61 Cal.4th 1293, 1343.) “Imagine trying to save your own life, giving them the most you can give them, and you are being called a liar and having a gun pointed at you.” (**Id.** at p. 1344 [albeit finding error to be harmless].)

“Imagine in that last millisecond before the lights go out, when you hear the report of the gun, when you feel the wetness ... the small vapor of blood that is blown out the back or the side of their head and they fall to the floor, and in their last moment of consciousness, they think, I misjudged this man.” (**People v. Leonard** (2007) 40 Cal.4th 1370, 1406 [but finding no error in the prosecutor asking the jurors, *in response to a defense argument that placed the jurors in the victim’s shoes*, to further consider how, viewing the circumstances through the eyes of the victims, the victims might have believed cooperating lessened the chance of the victims being harmed].)

“Do you remember the thing he said to little Sandra just before he executed her with a gun at her head? Can you imagine the terror that this child is going through, and that all the people are going through? Certainly the children. Can you imagine that terror? It’s not in the courtroom. We’re not here doing some scientific experiment. Imagine yourselves at the scene.” (**People v. Mendoza** (2007) 42 Cal.4th 686, 704.)

“Think what she must have been thinking in her last moments of consciousness during the assault” and “Think of how she might have begged or pleaded or cried.” (**People v. Stansbury** (1993) 4 Cal.4th 1017, 1057.)

“Suppose instead of being Vickie Melander’s kid this had happened to one of your children.” (**People v. Pensinger** (1991) 52 Cal.3d 1210, 1250.)

“In order for you as jurors to do your job, you have to walk in Dipak Prasad’s shoes. You have to literally relive in your mind’s eye and in your feelings what Dipak experienced the night he was murdered. You have to do that. You

have to do that in order to get a sense of what he went through.” (*People v. Vance* (2010) 188 Cal.App.4th 1182, 1194, 1199.)

An example of what does **not** constitute asking the jurors to put themselves in the place of the victim:

In *People v. Lopez* (2008) 42 Cal.4th 960, the court held it was not misconduct for a prosecutor, in an attempt to illustrate why victim would not remember details, to describe a hypothetical scenario where she beat up “Juror number 12” in the jury deliberation room and then to postulate that four years later the juror would not remember the details of the room but would of the beating. Nor was it misconduct for the prosecutor, in an attempt to illustrate why a victim’s memory of unique details showed the victim could not have made up being in a particular location, to describe how “Juror number 5” could randomly guess at items in the prosecutor’s bedroom but would not be able to describe unusual items unless the juror had been in the room. (*Id.* at p. 970.)

b. Exceptions to General Rule

It is not impermissible to discuss events from the perspective of the victim when the victim’s perceptions are an element of the crime or are otherwise relevant.

Victim’s Mental State is Element of Crime or Bears on Issue in Case

In *People v. Arias* (1996) 13 Cal.4th 92, a case involving a forcible sexual assault, the prosecutor remarked, “I mean, this woman was going through hell. At one point she even said, I wish he’d have killed me.” Shortly thereafter, he commented again that defendant “[had] this woman scared to death, pleading at one point for death in her own mind.” (*Id.* at p. 160.) The court held the rule against asking jurors to sympathize with, or view the crime through the eyes of, the victim, did not apply to the prosecutors’ remarks because “[w]hen discussing sex and kidnapping offenses involving the elements of force, fear, and lack of consent, the prosecutor was entitled to argue the existence of those elements in vigorous terms.” (*Id.* at p. 160.)

In *People v. Chatman* (2006) 38 Cal.4th 344, the prosecutor asked the jurors to think about the pain the victim in a special circumstances torture murder case must have felt and how desperate the victim must have been. The prosecutor stated, “And I want you to think then what it’s like then to be down on the ground. Your hands have been slashed open. How useless. How helpful are they now? And you are slashed repeatedly. And what are you thinking? When is it going to end? Am I going to die? Is this it? And if I’m going to die, why doesn’t he just cut my throat? Why doesn’t he knock me out? That doesn’t happen.” (*Id.* at p. 388.) The California Supreme Court found the argument was specifically directed to the torture issue and that “[w]hile the victim’s awareness of pain [was] not an element of the torture-murder special circumstance,” it was not “irrelevant” either. (*Id.* at p. 389.) Thus, the court held “[a]sking the jury to consider the victim’s pain was directly relevant to a disputed issue.” (*Ibid.*) The court did find, however, “that the rhetorical questions at the end of this discussion might have moved from appropriate argument regarding torture to an improper attempt to invoke sympathy.” (*Ibid.*)

Victim’s Physical Characteristics

A “prosecutor is not prohibited from identifying traits that made the victim particularly vulnerable to attack where such facts bear on the charged crimes and are not otherwise inadmissible on their face.” (*People v. Millwee* (1998) 18 Cal.4th 96, 137; **see also** *People v. Vance* (2010) 188 Cal.App.4th 1182, 1199, fn. 12 [prosecutor may

properly ask jury to consider the victim’s age and mental development in evaluating her credibility, or the victim’s physical characteristics].)

Thus, in **People v. Millwee** (1998) 18 Cal.4th 96, it was held proper to discuss the physical disability and age of the victim where it was helpful to show, inter alia, that a cane that was taken from victim would have been in her immediate presence during a robbery and that the victim felt vulnerable and unsafe around defendant as a result of her disability and thus would not have asked or allowed the defendant inside the house - a fact significant to establishing a burglary charge. (**Id.** at p. 138; **see also People v. Redd** (2010) 48 Cal.4th 691, 732 [victim’s physical state relevant where defendant charged with inflicting great bodily injury, but not fact victim suffered post-traumatic disorder].)

Penalty Phase

The California Supreme Court has repeatedly held “that it is proper at the penalty phase for a prosecutor to invite the jurors to put themselves in the place of the victims and imagine their suffering.” (**People v. Tully** (2012) 54 Cal.4th 952, 1045; **People v. Slaughter** (2002) 27 Cal.4th 1187, 1212; **accord People v. Jackson** (2009) 45 Cal.4th 662, 691 [noting rule against asking jurors to place themselves in the shoes of the victim does not apply equally in penalty phase of trial and finding no misconduct for prosecutor to ask jurors “how they would feel if someone they loved dearly died ‘in a gutter; like the victim did, ‘choking on his own blood’”].)

3. Vindicating the “Rights” of the Victim

It is generally improper to talk about the “rights” of the victim as a counterbalance to the rights of the defendant in closing argument. For example, in **People v. Arias** (1996) 13 Cal.4th 92, the prosecutor commented on the fact that defense counsel talked a lot about the defendant’s constitutional rights and then asked to the jury to think about the right of the victim to conduct his business without being murdered. The **Arias** court found the prosecutor engaged in improper rebuttal argument because a jury deciding guilt “is not to balance the defendant’s right to a fair trial against the victim’s right to life or safety.” (**Id.** at p. 161 [albeit also finding misconduct non-prejudicial].)

4. Referring to the Victim as the “Client” of the Prosecution

In **People v. Seumanu** (2015) 61 Cal.4th 1293, the prosecutor noted that the jury had been introduced to the two defense attorneys and their client, the defendant. The prosecutor then stated: “I have a client too. The chair next to me appears to be empty, but his name is Nolan. And I would like to introduce you to him. [¶] This is Nolan Pamintuan.” (**Id.** at p. 1344.) The California Supreme Court held this to be error because “[t]he nature of the impartiality required of the public prosecutor follows from the prosecutor’s role as representative of the People as a body, rather than as individuals. “The prosecutor speaks not solely for the victim, or the police, or those who support them, but for all the People. That body of “The People” includes the defendant and his family and those who care about him. It also includes the vast majority of citizens who know nothing about a particular case, but who give over to the prosecutor the authority to seek a just result in their name.” (**Id.** at p. 1345 [albeit finding the argument forfeited and harmless even if not forfeited].)

5. Impact of Crime on the Victim's Family and Victim

It “is misconduct for a prosecutor to argue that the jury in a non-capital case—or in the guilt phase of a capital case—should consider the impact of the crime on the victim’s family.” (*People v. Vance* (2010) 188 Cal.App.4th 1182, 1193, citing to *People v. Jackson* (2009) 45 Cal.4th 662, 691-692; *People v. Salcido* (2008) 44 Cal.4th 93, 151-152; *People v. Taylor* (2001) 26 Cal.4th 1155, 1171.)

Thus, in *People v. Vance* (2010) 188 Cal.App.4th 1182, it was held improper for the prosecutor to argue the defendant’s actions crushed the hope of the victim’s family and friends and to point out how defendant’s violence touched the victim’s loved ones. (*Id.* at p. 1196.)

Similarly, the impact of the crime on a living victim, *when irrelevant*, should not be discussed. (*See People v. Redd* (2010) 48 Cal.4th 691, 732.)

However, the rule is different in the penalty phase of a trial. In the penalty phase of trial, “a prosecutor may ask the jurors to put themselves in the place of the victim’s family to help the jurors consider how the murder affected the victim’s relatives.” (*People v. Jackson* (2009) 45 Cal.4th 662, 691-692; accord *People v. Vance* (2010) 188 Cal.App.4th 1182, 1199.)

N. APPEALS TO THE PASSION OR PREJUDICES OF THE JURY

“An argument by the prosecution that appeals to the passion or prejudice of the jury is improper.” (*People v. Pitts* (1990) 223 Cal.App.3d 606, 694.) It is improper to make arguments to the jury that give it the impression that “emotion may reign over reason,” and to present “irrelevant information or inflammatory rhetoric that diverts the jury’s attention from its proper role, or invites an irrational, purely subjective response.” (*People v. Covarrubias* (2016) 21 Cal.5th 838, 894; *People v. Fuiava* (2012) 53 Cal.4th 622, 693; *People v. Redd* (2010) 48 Cal.4th 691, 742; *People v. Padilla* (1995) 11 Cal.4th 891, 956-957; *People v. Vance* (2010) 188 Cal.App.4th 1182, 1192.)

For example, in *People v. Fuiava* (2012) 53 Cal.4th 622, the prosecutor had a deputy sheriff whose partner was killed testify in the blood-stained uniform the deputy wore on the night of the murder. The deputy wept during his testimony. During closing argument, the prosecutor reminded the jury of emotional moment on the stand by stating that the deputy “stood up here and bared his soul to you. There was no holding back. [¶] Do you think he wanted to cry up here? Do you think it made him feel good in front of his fellow co-workers? [¶] These guys don’t wear their emotions on their sleeve. They are very deep inside. They can’t let their emotions come out.” (*Id.* at p. 693.) The California Supreme Court stated it was “quite troubled” by the prosecutor’s presentation of the deputy in the blood-stained uniform in conjunction with closing argument. The court said the prosecutor had “improperly engaged in inflammatory conduct that appealed to the passions of the jury.” (*Id.* at p. 693 [and finding the prosecutor had also, by his comments, discussed facts not evidence, i.e., how the officer (and officers) felt].)

A prosecutor is, however, allowed to make vigorous arguments and may even use such epithets as are warranted by the evidence, *as long as these arguments are not inflammatory and principally aimed at arousing*

the passion or prejudice of the jury.’ [Citation.]” (*People v. Young* (2005) 34 Cal.4th 1149, 1195, emphasis added; **see also** *People v. Leon* (2015) 61 Cal.4th 569, 604 [discussing the manner in which crimes of violence and intimidation are committed is fair comment and finding no misconduct in prosecutor asserting an “underlying theme” to all of the alleged crimes was “cruel and unnecessary violence.”].)

Editor’s note: The rules are different when it comes to argument in the penalty phase. In the penalty phase “[c]onsiderable leeway is given for appeal to the emotions of the jury as ‘long as it relates to relevant considerations” and “[i]t is not improper to urge the jury to show the defendant the same level of mercy he showed the victim.” (*People v. Collins* (2010) 49 Cal.4th 175, 228, 230-231.)

1. Racial or Ethnic Appeals

It is misconduct to imply that a defendant is guilty of a crime because he belongs to a racial group that is prone to committing the crime with which the defendant is charged (**see** *People v. Rideaux* (1964) 61 Cal.2d 537, 540; *People v. Simon* (1927) 80 Cal.App. 675, 680-681) or to imply that a defendant will lie in court because of his membership in a particular ethnic group (*People v. Singh* (1936) 11 Cal.App.2d 244, 255).

However, likening a vicious murderer to a wild animal does not invoke racial overtones. (*People v. Duncan* (1991) 53 Cal.3d 955, 977.)

Moreover, it is not misconduct for a prosecutor to argue that defense counsel is “playing the race card” in response to defense counsel’s suggestion that the prosecution had attempted to play on the all-White jury’s emotions and racial prejudice. (*People v. Dykes* (2009) 46 Cal.4th 731, 771.)

2. Tarring Defense Witness with the Fact that Witness Previously Testified for Defense Counsel in Rape Case

In *People v. Higgins* (2011) 191 Cal.App.4th 1075 [depublished], the prosecutor elicited and later commented upon the fact that a defense expert has previously testified for the defense attorney in a “rape trial.” Specifically, while discussing the testimony of the defense expert about how witnesses will “backfill” in memories of events where they do not have actual recall, the prosecutor stated that the attorney and the witness had “attack[ed] a victim in a rape trial” regarding back filling. (*Id.* at pp. 1088-1089.) The *Higgins* court found this comment to be potentially “inflammatory” and was an attempt to prejudice the jury against the witness and defense counsel - and, by association, the defendant. (*Id.* at p. 1091.)

3. References to Notorious Historical Events or Cases

Reference to notorious cases is generally acceptable in a prosecutor’s closing argument. (*People v. Carpenter* (1979) 99 Cal.App.3d 527, 533.) However, in *People v. Zurinaga* (2007) 148 Cal.App.4th 1248, the court held that a prosecutor’s extensive discussion of the facts of the 9/11 flight incident in conjunction with the prosecutor asking the jurors to imagine the terror the victims of the charged crime constituted inflammatory argument that had

the effect of improperly placing the jury in the shoes of the 9/11 victims and “crossed the line.” (*Id.* at pp. 1259-1260.) **Editor’s note:** For a more extensive discussion of *Zurinaga*, see this outline, section II-C-6, at pp. 21-24.)

In *People v. Pitts* (1990) 223 Cal.App.3d 606, the prosecutor argued that defendant’s crimes were not unique historically, noted history was “replete with atrocities”, asked the jury to remember what happened during World War II and the Korean War, and said if the jurors looked at history they could maybe get a grasp of something they saw in the charged case. (*Id.* at p. 696-697.) The court noted that while the prosecutor’s linking of “atrocities” with World War II might call to mind Hitler’s “Final Solution,” the reference was mild and only arguably misconduct. (*Id.* at p. 701 [albeit finding remainder of argument replete with prejudicial misconduct]; see also *People v. Sanchez* (2016) 63 Cal.4th 411, 485 [characterizing a robbery operation is a military operation and stating the defendants came into a lounge like “stormtroopers” did not improperly refer to any particular historical figures].)

4. Criticizing the Justice System

A prosecutor should not criticize the legal system in an attempt to arouse the passions of the jury.

In *People v. Pinholster* (1992) 1 Cal.4th 865, a prosecutor argued “only in America are defendants accorded trials even in the face of overwhelming evidence,” mentioned she had to try cases where defendants were caught in the act of raping victims, and told the jury the defendants “are entitled to their trial and they have had it. It’s time to put an end to this farce, ladies and gentlemen.” (*Id.* at pp. 949-950.) The court did not find this was prejudicial error, but said, “Any suggestion that when there is overwhelming evidence of guilt, it is a farce to provide a trial is obviously improper.” (*Id.* at p. 950.)

In *People v. Patino* (1979) 95 Cal.App.3d 11, the prosecutor read to the jury a quote purportedly from a “famous judge” explaining how “[o]ur procedure has always been haunted by the ghost of the innocent man convicted. It is an unreal dream. ¶) What we really need to fear is the archaic formalism and watery sentiment that obstruct, delay, and defeat the prosecution of crime.” (*Id.* at p. 29.) The court condemned use of quote as “an attack upon our criminal justice system and the laws regulating that system which have been set forth both by the constitutional, legislative and decisional law” and stated it did not constitute a fair comment on the evidence or the law. (*Id.* at p. 39 [albeit finding misconduct non-prejudicial].)

In *People v. Haslouer* (1978) 79 Cal.App.3d 818, the court held a prosecutor’s comment that “it is difficult to get justice any more because of the technicalities of the law” was improper. (*Id.* at p. 834 [but also finding it was “far from prejudicial”].)

5. Discussing Facts of Common Knowledge

Although theoretically a prosecutor could use facts of common knowledge to “inflame the passions of the jury,” statements by a prosecutor “that people are often killed on the streets of Oakland, and that one often reads about remorseless ‘teenage kids’ intending to kill people” were held to mere unobjectionable “rhetoric.” (*People v. Boyette* (2002) 29 Cal.4th 381, 486.)

O. CREATING UNDUE PRESSURE TO CONVICT BASED ON APPEALS TO THE JURORS' SELF-INTEREST

It “is improper to appeal to the self-interest of jurors or to urge them to view the case from a personal point of view.” (*People v. Pitts* (1990) 223 Cal.App.3d 606, 696.)

1. Argument that Jurors Must Avoid Retrial

In a case filled with tons of prosecutorial misconduct, an appellate court found the most egregious example of misconduct was the prosecutor’s argument that all the defense needed was one vote to block a conviction and that if the prosecution failed to persuade only eleven jurors, “it wipes out six months, folks. It’s as though it never existed.” (*People v. Pitts* (1990) 223 Cal.App.3d 606, 695.) The court recognized that “[i]t is proper for a prosecutor to urge jurors to do their best to reach a verdict, even though such urging may contain a passing reference to retrial.” (*Id.* at p. 696.) Nevertheless, the court found the remarks went “far beyond proper bounds” by placing personal pressure on each juror to vote to convict or risk “nullifying a great deal of hard work and rendering vain the personal sacrifice of all.” (*Id.* at p. 696.)

2. Appeal to Jurors by Stating or Implying Only Fools or Those Without Common Sense Would Vote to Acquit

In *People v. Redd* (2010) 48 Cal.4th 691, the court held the prosecutor did **not** invite the jury to render a verdict based upon their personal pride by telling the jurors that while he knew most of them had common sense, he lay awake at night worrying that one of jurors might not “get it.” (*Id.* at p. 743.)

On the other hand, in *People v. Sanchez* (2014) 228 Cal.App.4th 1517, the court held the prosecutor committed misconduct when he argued that defendant hopes that “one of you” will be “gullible enough,” “naïve enough,” and “hoodwinked” by the defense arguments so that he “can go home and have a good laugh at your expense.” (*Id.* at pp. 1529-1530.) The *Sanchez* court concluded the comments “planted the idea that anyone who accepted the defense attorney’s ‘ridiculous arguments’ would be a sucker who could be easily manipulated, or ‘hoodwinked’” and “created a risk that a juror would decide the case not based on the evidence or the law, but rather find defendant guilty to avoid being viewed as gullible, naïve, or hoodwinked. (*Id.* at p 1531; **see also** this outline, section II-C-3 at p. 18 [discussing other bases for why saying the “defendant can go home and have a good laugh at your expense” was inappropriate].)

P. APPEAL TO PUBLIC OPINION

“It is, of course, misconduct for a prosecutor to suggest that jurors disregard instructions and consider public opinion in determining the guilt phase of a criminal trial.” (*People v. Morales* (1992) 5 Cal.App.4th 917, 928 [and suggesting, but not actually, finding the prosecutor’s invitation to the jury to consider what their spouses or significant others would say if they did not find the defendant (who had confessed to the crime) guilty was misconduct].)

In the case of *People v. Shazier* (2014) 60 Cal.4th 109, the California Supreme Court held that a prosecutor's proposal that the jurors imagine a conversation in which they tried to justify a defense verdict to their family and friends was prosecutorial misconduct, since the argument improperly suggested that a finding for defendant would subject the jurors to ignominy within their community and violated the precept that the jury must not be influenced by, among other things, public opinion or public feeling” and must “reach a just verdict regardless of the consequences.” (*Id.* at p. 144; **see also** *Trillo v. Biter* (9th Cir. 2014) 769 F.3d 995, 1000, 1001 [“jurors should not be urged to vote to convict simply because they might be uncomfortable with a vote to acquit” when discussing case with their neighbors].) However, in *People v. Redd* (2010) 48 Cal.4th 691, the court held the prosecutor did not invite the jury to render a verdict based on public opinion by telling the jurors that while he knew most of them had common sense, he lay awake at night worrying that one of jurors might not “get it.” (*Id.* at p. 743.)

Q. ASKING JURY TO “SEND A MESSAGE,” UPHOLD COMMUNITY VALUES, OR PRESERVE PUBLIC SAFETY

"A prosecutor may not urge jurors to convict a criminal defendant in order to protect community values, preserve civil order, or deter future lawbreaking." (*United States v. Weatherspoon* (9th Cir. 2005) 410 F.3d 1142, 1149.) The rationale behind this rule is that “the defendant will be convicted for reasons wholly irrelevant to his own guilt or innocence. Jurors may be persuaded by such appeals to believe that, by convicting a defendant, they will assist in the solution of some pressing social problem. “The amelioration of society's woes is far too heavy a burden for the individual criminal defendant to bear.” (*Id.* at p. 1149; *United States v. Leon-Reyes* (9th Cir.1999) 177 F.3d 816, 822.)

In *People v. Redd* (2010) 48 Cal.4th 691, the California Supreme Court cited to *United States v. Monaghan* (D.C.Cir.1984) 741 F.2d 1434, to illustrate the difference between asking the jury to condemn defendant for his conduct and asking the jury to send a message or uphold community values: “[a] prosecutor may not urge jurors to convict a criminal defendant in order to protect community values, preserve civil order, or deter future lawbreaking. The evil lurking in such prosecutorial appeals is that the defendant will be convicted for reasons wholly irrelevant to his own guilt or innocence. Jurors may be persuaded by such appeals to believe that, by convicting a defendant, they will assist in the solution of some pressing social problem.... [¶] But a request that the jury ‘condemn’ an accused for engaging in illegal activities is not constitutionally infirm, so long as it is not calculated to excite prejudice or passion.” (*Redd* at p. 743, fn. 25, quoting *Monaghan* at pp. 1441–1442; **see also** *People v. Covarrubias* (2016) 1 Cal.5th 838, 895 [discussed below at p. 64].)

It is even more egregious misconduct to point to a particular crisis in our society and ask the jury to make a statement. (*United States v. Weatherspoon* (9th Cir. 2005) 410 F.3d 1142, 1149.) However, it “is permissible to comment on the serious and increasing menace of criminal conduct and the necessity of a strong sense of duty on the part of jurors.” (*People v. Adanandus* (2007) 157 Cal.App.4th 496, 513; *People v. Escarcega* (1969) 273 Cal.App.2d 853, 862–863.)

And a prosecutor may ask the jury to act as a “conscience of the community” unless such a request is “specifically designed to inflame the jury.” (*United States v. Leon-Reyes* (9th Cir. 1999) 177 F.3d 816, 823; *United States v. Williams* (9th Cir. 1993) 989 F.2d 1061, 1072.)

Cases Finding Improper Appeals to Community Values, Preserve Order, or Deter Future Lawbreaking

In *Trillo v. Biter* (9th Cir. 2014) 769 F.3d 995, the court cited to two other federal cases as examples of prosecutors improperly implying that jurors should convict a defendant because failure to do so would endanger their neighbors: *United States v. Johnson* (D.C. Cir. 2000) 231 F.3d 43, 47 [holding that “the prosecutor’s argument in this case improperly suggested that the jury should convict the defendant in order to protect others from drugs”) and *Gonzalez v. Sullivan* (2nd Cir. 1991) 934 F.2d 419, 424 [holding that a prosecutor’s “reference to the community’s cry for safer streets” was inappropriate].)

In *United States v. Polizzi* (9th Cir. 1986) 801 F.2d 1543, the court held it was improper for the prosecutor to urge the jury to join the war on crime because doing so suggests the jury has an obligation other than weighing the evidence. (*Id.* at p. 1558 [albeit impropriety was cured by instructions on what jury could properly consider].)

In *United States v. Williams* (9th Cir. 1993) 989 F.2d 1061, the court held it was improper for the prosecutor to ask the jury, by its verdict to tell an out of state defendant to take his “keys and send them back to Denver” and that “we do not want crank in Montana” because it was “an attempt to capitalize on whatever parochial inclinations the jurors might have, particularly with respect to [the] out-of-state defendant.” (*Id.* at p. 1072.)

Cases Finding No Improper Appeals to Community Values, Preserve Order, or Deter Future Lawbreaking

In *People v. Covarrubias* (2016) 1 Cal.5th 838, the prosecutor told the jury, “Again, as I mentioned, your job and your responsibility as jurors is to act as the litmus test, to apply the laws of our society, and to determine what our community will and will not tolerate.” (*Id.* at p. 893.) The California Supreme Court held “[t]here was nothing in the prosecution’s remarks that urged the jurors to act on their passions or prejudice” as the argument simply “permissibly reminded the jurors that they had to take responsibility for completing the arduous task of sifting through the evidence to determine the story each item told, consider the charges, and ultimately decide whether defendant had violated any laws.” (*Id.* at p. 895.)

In *People v. Adanandus* (2007) 157 Cal.App.4th 496, the prosecutor repeatedly told the jury that it could, by its verdict, restore a sense of order and law to the block where the victim was killed. The prosecutor stated the block in question “had no concept of law and order” but the jury could “restore justice to that street. That street on that day was without justice.... [¶] ... [¶] You, as jurors in this case, have taken an obligation and oath to uphold the law. Believe in the law. Restore the law to the [block in question], those are the only true and correct verdicts in this case[.]” (*Id.* at p. 511-512.) The court held the “prosecution’s references to the idea of restoring law and order to the community were an appeal for the jury to take its duty seriously, rather than efforts to incite the jury against defendant. Thus, they were not misconduct.” (*Id.* at p. 513.)

1. Future Conduct of Defendant

In the guilt phase of a criminal trial, it is misconduct to argue that if defendant is not convicted he will commit future crimes if there is no evidence to support such a conclusion. (*See People v. Caldwell* (2013) 212 Cal.App.4th 1262, 1269, citing to *People v. Lambert* (1975) 52 Cal.App.3d 905.)

In ***People v. Whitehead*** (1957) 148 Cal.App.2d 701, the court held it was improper for the prosecutor to argue, in sexual molestation case, “unless [defendant] is convicted I’m sure he’s going to do it again, because that is just the history of what we in the District Attorney’s office have learned to call 288’ers.” (**Id.** at p. 705 [and characterizing the error as one of bringing before the jury facts from the prosecutor’s own experience].)

Certainly, an argument can be made that comment upon a defendant’s future dangerousness is improper because it is irrelevant to whether defendant is guilty of the crime charged and tends to inflame the passions of the jury. In fact, arguments concerning future dangerousness in the guilt phase are considered improper by the Ninth Circuit for this very reason. (**See *United States v. Weatherspoon*** (9th Cir. 2005) 410 F.3d 1142, 1149, fn. 5 [“While commentary on a defendant’s future dangerousness may be proper in the context of sentencing, it is highly improper during the guilt phase of a trial”]; ***Com. of Northern Mariana Islands v. Mendiola*** (9th Cir. 1992) 976 F.2d 475, 486-487 [prosecutor’s closing remark that “[i]f you say not guilty, he walks right out the door, right behind you” was improper where comment was coupled with the insinuation that the defendant, if acquitted, would recover a missing murder weapon and pose a threat to the general public but prosecutor knew a prosecution informant had hidden the weapon].)

However, California courts seem to be okay with the argument – if it is based on evidence presented in court.

In ***People v. Brown*** (2003) 31 Cal.4th 518, the prosecutor stated that an acquittal would lead to defendant “being out in the street with the jury.” The defendant argued the prosecutor committed misconduct by urging the jury to consider his future dangerousness in the guilt phase of argument. However, the California Supreme Court held there was no misconduct since the unprovoked and vicious attack defendant perpetrated provided sufficient evidence to support the argument. (**Id.** at pp. 552-553 [and favorably citing to ***People v. Hughey*** (1987) 194 Cal.App.3d 1383].)

In ***People v. Hughey*** (1987) 194 Cal.App.3d 1383, the defendant attempted to suffocate his infant daughter but was prevented from doing so by his wife and the arrival of the police. The attempt was preceded by defendant’s statements “Don’t tell me that baby’s mine or I’ll kill you,” and “[y]ou’re making the biggest mistake of your life. When I get out of [jail] I’m going to kill you....” (**Id.** at p. 1396.) In addition, there was evidence defendant and his wife had previously been involved in physical fights. (**Ibid.**) In argument, the prosecutor stated “You want this case a few months from now and the next time somebody is dead? ...” (**Ibid.**) The ***Hughey*** court upheld the argument since “[s]uggesting that a defendant will commit a criminal act in the future is not an inappropriate comment when there is sufficient evidence in the record to support the statement.” (**Ibid.**)

In ***People v. Lambert*** (1975) 52 Cal.App.3d 905, the court held it was improper for a prosecutor to comment that the reason defendant lied was so that he could be found not guilty, walk back on the streets, and *shoot someone else*. (**Id.** at p. 910.) However, the ***Lambert*** court the noted that “[w]hile such comments are not improper when the evidence shows a systematic pattern of activity similar to that with which the defendant is charged, they are improper when, as here, no such pattern exists.” (**Ibid.**)

Moreover, in the penalty phase of a capital case, evidence-based arguments about the defendant’s potential for future violence are clearly not prohibited. (**See *People v. Montiel*** (1993) 5 Cal.4th 877, 946; ***People v. Payton*** (1992) 3 Cal.4th 1050, 1063–1064; ***People v. Bell*** (1989) 49 Cal.3d 502, 549.)

R. APPEAL TO RELIGIOUS AUTHORITY

There is nothing wrong, per se, with quoting from the Bible in final argument, or with arguing that such conduct occurred even in biblical times. (*People v. Pitts* (1990) 223 Cal.App.3d 606, 705, citing to *People v. Williams* (1988) 45 Cal.3d 1268, 1325.)

However, it is improper to appeal to the religious prejudices of the jury by referring to religious texts in an attempt to show God's law favors a particular verdict or governs the case. (See *People v. Hill* (1998) 17 Cal.4th 800, 836-837, fn. 6 ["to ask the jury to consider biblical teachings when deliberating is patent misconduct"]; *People v. Pitts* (1990) 223 Cal.App.3d 606, 705 [misconduct for prosecutor to quote from New Testament to the effect that people who behave like defendant will not inherit the kingdom of God].)

There are special rules governing when reference to religious authority is permissible in the context of the penalty phase of a capital case. "The line between permissible argument and misconduct in this area is difficult to draw." (*People v. Tully* (2012) 54 Cal.4th 952, 1051.) On the one hand, "[i]t is misconduct for a prosecutor to argue that biblical authority supports imposing the death penalty, because it suggests to the jurors that they may follow an authority other than the legal instructions given by the court. [Citation.]" (*People v. Tully* (2012) 54 Cal.4th 952, 1051, citing to *People v. Cook* (2006) 39 Cal.4th 566, 614.) On the other hand, "it is not impermissible to argue, for the benefit of religious jurors who might fear otherwise, that application of the death penalty according to secular law does not contravene biblical doctrine [citations], or that the Bible shows society's historical acceptance of capital punishment." (*People v. Tully* (2012) 54 Cal.4th 952, 1051, citing to *People v. Zambrano* (2007) 41 Cal.4th 1082, 1169.)

S. BURDEN SHIFTING

1. In General

As noted earlier (see this outline, section II-G-2, p.29), "[a] defendant is presumed innocent until proven guilty, and the government has the burden to prove guilt, beyond a reasonable doubt, as to each element of each charged offense." (*People v. Booker* (2011) 51 Cal.4th 141, 184, citing to Pen. Code, § 1096.)

Thus a prosecutor may not "shift the burden" to the defense by stating or implying the defense has an obligation to produce evidence in order to avoid a conviction. (See *People v. Weaver* (2012) 53 Cal.4th 1056, 1077 ["it is improper for the prosecutor . . . to attempt to absolve the prosecution from its prima facie obligation to overcome reasonable doubt on all elements"]; *People v. Hill* (1998) 17 Cal.4th 800, 831 [misconduct for the prosecutor to remark, "There has to be some evidence on which to base a [reasonable] doubt" since it was reasonably likely the remark was understood by the jury to mean the defendant had the burden of producing evidence to demonstrate that a reasonable doubt existed]; *People v. Marshall* (1996) 13 Cal.4th 799, 831 [same]; *People v. Williams* 2017 WL 167495, at *25 [prosecutor arguably shifted the burden of proof by stating that the defense counsel did not have the facts or the law on their side and instead "just argued" and "never came up with one fact that disproved

they are not part of these robbery kidnappings” - albeit finding any error forfeited and/or harmless in light of lack of a timely objection and court instructions on the burden of proof]; **People v. Woods** (2006) 146 Cal.App.4th 106, 112 [prosecutor improperly shifted burden when he argued defense failed to put on witness to support defense attack on officer and stated “she is obligated to put the evidence on from that witness stand”].)

2. Commenting Upon Failure of Defense to Call Witnesses or Produce Evidence

The rule against burden-shifting does not mean that a prosecutor may not comment on the fact the defense has failed to produce evidence or witnesses that it would be reasonable to expect the defendant to produce in support of his or her defense. To the contrary, “[a] distinction clearly exists between the permissible comment that a defendant has not produced any evidence, and on the other hand an improper statement that a defendant has a duty or burden to produce evidence, or a duty or burden to prove his or her innocence.” (**People v. Bradford** (1997) 15 Cal.4th 1229, 1340; accord **People v. Thomas** (2012) 54 Cal.4th 908, 939.)

It is absolutely permissible for a prosecutor to point out that the defendant failed to produce evidence or witnesses when to do so would be reasonable and also to ask the jury to draw a negative inference from that failure. This does not constitute “burden shifting.” (See **People v. Lewis** (2009) 46 Cal.4th 1255, 130; see also **People v. Boyette** (2002) 29 Cal.4th 381, 434 [for a prosecutor to point out there is no corroboration of defendant’s testimony is not burden shifting; it is simply an argument that defendant’s story is less believable because of lack of corroboration]; **People v. Gaines** (1997) 54 Cal.App.4th 821, 825 [“a prosecutor may argue to a jury that a defendant has not brought forth evidence to corroborate an essential part of his defensive story”]; **People v. Varona** (1983) 143 Cal.App.3d 566, 570 [same]; see also **People v. Jasso** (2012) 211 Cal.App.4th 1354, 1370 [noting such comment is “permissible because a prosecutor generally is permitted to remark on the state of the evidence at closing argument”].)

For example, in **People v. Jasso** (2012) 211 Cal.App.4th 1354, the prosecutor, after noting the only evidence the shooting was an accidental discharge was defendant’s own self-serving statement, stated: “And when [defense counsel] gets up here and argues to you, you ask for him to fill in this part of it, ask for him to fill this in with the facts, with the evidence that proved that.” (*Id.* at p. 1370.) Later, the prosecutor argued: “[W]e don’t have any evidence showing that there’s an accident. There is no issue. [W]here is the evidence? Where is the evidence for the column on the right-hand side[?]” (The prosecutor was referring to what appears to have been a display in the courtroom that showed the prosecution’s summation of the state of the evidence.) (*Ibid.*) Finally, the prosecutor argued: “[I]f there actually was evidence of an accident, [defense counsel], as good an attorney as he is, would have presented it, but there is no evidence. We [don’t] hear any evidence that there was an accident, because it was not there, because it was not an accident.” (*Ibid.*) The **Jasso** court rejected the argument that these comments amounted to misconduct and improper burden shifting. (*Id.* at p. 1371.)

In **People v. Weaver** (2012) 53 Cal.4th 1056, the court held it was not misconduct for a prosecutor in a court trial to make several comments in a closing argument to the effect that he was waiting for defense counsel to explain, in light of the evidence, how someone other than defendant could have murdered the victim. (*Id.* at pp. 1076-1077.) In **People v. Redd** (2010) 48 Cal.4th 691, the prosecutor repeatedly challenged the basis for the defense theory,

stating “I have a blank paper because I'm not sure exactly what the defense is yet. I'm going to sit here like you and listen to [defense counsel]. I don't know what he's going to say.” (*Id.* at p. 739.) Later, the prosecutor jibed that he was “waiting to hear what the defense was.” (*Ibid.*) The *Redd* court rejected a claim that the foregoing remarks shifted the burden of proof to the defendant, noting the “prosecutor’s comments merely highlighted his observation that there seemed to be no coherent defense to the charges[.]” (*Id.* at p. 740.)

In *People v. Lewis* (2009) 46 Cal.4th 1255, defense counsel cross-examined a prosecution witness about statements defense counsel insinuated the witness had made to a defense investigator (i.e., “do you recall telling a defense investigator . . .”) that would have presumably helped defendant establish an alibi. The investigator was never called. In closing argument, the prosecutor commented on the insinuations and then pointed out that had the witness “actually said these things to a defense investigator, don't you think they would have produced the defense investigator to say, ‘Yeah. I interviewed her. Here’s what she said.’ [¶] You can conclude from the fact that the defense investigator wasn’t presented to you that these insinuations are false, and all they can do possibly is mislead you as to what the evidence is in this case.” (*Id.* at pp. 1301-1302.) The California Supreme Court held there was no burden shifting since the “comments merely established that, contrary to insinuations made during cross-examination, [the witness’] testimony was unimpeached. There is no reasonable likelihood the jury would have understood the prosecutor's remarks to suggest that defendant had the burden to establish his own whereabouts that evening.” (*Id.* at p. 1304.)

In *People v. Panah* (2005) 35 Cal.4th 395, the court rejected the claim the prosecution had engaged in burden-shifting where defense counsel argued that “the prosecution had neglected to collect vital evidence, such as any fingerprints on the suitcase in which the victim’s body was found or DNA evidence, and suggested the reason was because it did not want to risk linking someone else to the crime” and the prosecution responded in rebuttal argument that the defense could also have conducted these experiments.” (*Id.* at p. 464.)

In *Demirdjian v. Gipson* (9th Cir. 2016) 832 F.3d 1060, after explaining the prosecution had the burden of proof, the prosecution commented on defense failure to attack the prosecution with “real evidence, with competent evidence” and commented upon counsel’s failure to explain away certain inculpatory facts by “competent, reliable, admissible evidence.” (*Id.* at p. 1071.) The Ninth Circuit found it “problematic” the prosecution only referred to its burden one time but acknowledged that, under the ADEPA standard of review, the prosecutor could be viewed as merely highlighting “that the defense had challenged the prosecution's case with innuendo and accusation, not exculpatory evidence” and that the state appellate court could reasonably have concluded no burden shifting had occurred. (*Id.* at pp. 1071-1072.)

⊕ **Editor’s note:** Keep in mind, commenting upon failure of the defense to produce witnesses or evidence is permissible only where a defendant might reasonably be expected to produce such evidence. (*People v. Varona* (1983) 143 Cal.App.3d 566, 570.) Moreover, when making an argument that the defense has failed to produce evidence or witnesses, it is a good idea to make it clear during argument that the defendant is not *required* to produce evidence and the People have the burden of proving guilt. (See *People v. Redd* (2010) 48 Cal.4th 691, 741; *People v. Bradford* (1997) 15 Cal.4th 1229, 1340; *People v. Ratliff* (1986) 41 Cal.3d 675, 691.)

a. Argument on Failure to Call Witnesses Cannot Extend to What Witness Actually Would Have Said

However, while a prosecutor can safely claim that the defense would have called the witness if the witness would have aided the defense, a prosecutor cannot affirmatively state what the witness actually would have said. For example, in *People v. Gaines* (1997) 54 Cal.App.4th 821, a defendant accused of robbery claimed a witness who was in the courtroom audience would help corroborate that he was not involved in the robbery. The witness was never called. Defense counsel basically told the jury that it was the prosecutor's duty to produce the witness. In response, the prosecutor told the jury that defense counsel did not put on the witness after the defendant testified because the witness was going to say things that were contrary to what defendant said, the defense "got [the witness] out of here before he could damage them," and it was the People who were trying to find the witness. (*Id.* at pp. 823-824.) As there was no actual evidence presented to establish these claims, the court found the prosecutor's argument was prejudicial misconduct. (*Id.* at p. 825; see also *People v. Fuiava* (2012) 53 Cal.4th 622, 728 [noting trial court overruled objection to prosecution's comment that defendant's wife was not called as witness in penalty phase, but sustained objection to prosecutor's subsequent suggestion that the failure to call her might have been because "they are afraid that they couldn't argue lingering doubt when I asked her what he told her"]' *People v. Hall* (2000) 82 Cal.App.4th 813, 816 [discussed in this outline, section II-L-1-a, at p. 53]; *People v. Woods* (2006) 146 Cal.App.4th 106, 115 [discussed in this outline, section II-B-2- at pp.13-14]).

b. Argument on Failure to Call Witnesses Improper if Prosecution is Aware Witness Could Not Be Called

If the prosecutor *knows* the defendant actually attempted to produce the evidence or the witness or that the reason for the unavailability of the witness was beyond the control of the defense, it is improper to argue the defense did not produce the evidence because it would have undermined the defense case. (See *People v. Bryant* (2014) 60 Cal.4th 335, 428 [improper to suggest that a failure to produce the records showed a witness's testimony was not true where the prosecutor knew or should have known the records would actually corroborate the defense witness-albeit finding error harmless]; *People v. Klor* (1948) 32 Cal.2d 658, 663-664 [in light of the law providing that a spouse was not a competent witness against his or her spouse, the prosecutor's comments concerning the failure of the defendant's wife to testify were improper]; *People v. Varona* (1983) 143 Cal.App.3d 566, 570 [prosecutor committed misconduct when, after successfully excluding evidence of the fact the victim in a sexual assault case was a prostitute, argued to the jury the lack of any evidence the victim was a prostitute]; *People v. Frohner* (1976) 65 Cal.App.3d 94, 109 [unfair to comment on failure to call informant where prosecutor knew informant had made himself unavailable as witness].)

3. Expressing Confusion Over the Defense and Posing Questions for Defense Counsel to Answer in Argument

In *People v. Redd* (2010) 48 Cal.4th 691, the prosecutor repeatedly expressed wonder as to what the "defense" would be in the case and then posed a series of questions he said he would be waiting to hear answered by defense counsel. Based upon these comments, the defendant claimed the prosecutor shifted the burden of proof to the defendant. The California Supreme Court rejected this argument, finding the comments did not indicate the

defendant bore the burden of proof, but “merely highlighted his observation that there seemed to be no coherent defense to the charges.” (*Id.* at pp. 739-740 [and noting any impression the burden was on the defendant would have been dispelled by the instructions and the numerous reminders by both the prosecutor and court that the People bore the burden of proving defendant’s guilt].)

4. Describing “Presumption of Innocence” or “Reasonable Doubt” as a Shield to Protect the Innocent Not as a Sword to Free the Guilty

There is a split in the cases on the propriety of arguing that the presumption of innocence or burden of proof beyond a reasonable doubt is a shield to protect the innocent and not a sword to free the guilty. (See *Leavitt v. Arave* (9th Cir. 2004) 383 F.3d 809, 820 [noting split as to whether instruction to same effect is improper]; compare *Floyd v. Meachum* (2nd Cir. 1990) 907 F.2d 347, 351-354 [improper] and *State v. Mara* (Hawaii 2002) 41 P.3d 157, 171-173 [improper] with *People v. Mouton* [unreported] 2002 WL 126089, *29 [proper] and *People v. Benson* [unreported] 2006 WL 3708077, *8 [proper].) Accordingly, it is safer to avoid this argument.

T. REFERENCE TO PUNISHMENT

“A defendant’s possible punishment is not a proper matter for jury consideration.” (*People v. Thomas* (2011) 51 Cal.4th 449, 486; *People v. Martinez* (2010) 47 Cal.4th 911, 958; *People v. Holt* (1984) 37 Cal.3d 436, 458; accord *People v. Honeycutt* (1977) 20 Cal.3d 150, 157, fn. 4.) A “jury is not allowed to weigh the possibility of parole or pardon in determining the guilt of the defendant.” (*People v. Thomas* (2011) 51 Cal.4th 449, 486; *People v. Holt* (1984) 37 Cal.3d 436, 458.) Thus, it is generally misconduct for the prosecutor in the guilt phase of trial to discuss penalty.

U. ARGUING GUILT BY ASSOCIATION

To argue guilt by association constitutes prosecutorial misconduct. (*People v. Lopez* (2008) 42 Cal.4th 960, 967; *People v. Castaneda* (1997) 55 Cal.App.4th 1067, 1072; *People v. Galloway* (1979) 100 Cal.App.3d 551, 563; *People v. Chambers* (1964) 231 Cal.App.2d 23, 28.)

In *People v. Galloway* (1979) 100 Cal.App.3d 551, the court held it was misconduct for a prosecutor to argue to the jury that the third person who participated in a robbery was a woman named Zeno and then use the fact defendant was friends with Zeno to help show defendant participated in the robbery because (i) the evidence did not establish Zeno was the person who participated in the robbery and (ii) the prosecutor argued the defendant was guilty because of his mere “association” with Zeno. (*Id.* at p. 564.)

In *People v. Lopez* (2008) 42 Cal.4th 960, the court held the prosecutor did not attempt to establish the guilt of a priest on trial for child molestation through use of “guilt by association” where the prosecutor’s comments regarding priests that “[t]hey commit crimes, and they commit horrendous crimes” were made to support the prosecutor’s request not to give defendant *favorable* treatment just because he happened to be a priest.” (*Id.* at p. 967.)

V. FAILURE TO ABIDE BY COURT'S RULING

Once a court has ruled that evidence is not admissible or ordered the prosecutor not to touch upon a particular issue in closing argument, a prosecutor must abide by that ruling . . . even if the ruling may be legally incorrect. (See *People v. Pigage* (2003) 112 Cal.App.4th 1359, 1374.) “[T]he correctness of the court’s decision is not the issue. ‘It is the imperative duty of an attorney to respectfully yield to the rulings of the court, *whether right or wrong*.’ [Citations.] [Citation.] As an officer of the court, [the prosecutor] owes a duty of respect for the court. (Bus. & Prof.Code, § 6068, subd. (b).) ... [The prosecutor’s] decision to defy the court’s order is outrageous misconduct.” (Ibid. original italics.)

⊕ **Editor’s note: If the prosecutor believes circumstances have sufficiently changed so that the earlier ruling may no longer be applicable, the prosecutor should inform the court of any intended conduct that might run afoul of the original order rather than assuming the order no longer applies.**

W. OTHERWISE PERMISSIBLE ARGUMENTS THAT MISLEAD

Sometimes arguments that would otherwise be permissible become misconduct because the prosecutor knows of information that (while not introduced into evidence) undermines the inference that the prosecutor is asking the jury to draw. This principle is illustrated in the following cases:

In *People v. Cunningham* (2001) 25 Cal.4th 926, the court indicated that while it is normally permissible for a prosecutor to comment on defendant changing his appearance as evidence of consciousness of guilt, it would be improper to do so if the prosecutor was aware that such changes were motivated solely by medical necessity. (Id. at p. 1001.)

In *People v. Ochoa* (1998) 19 Cal.4th 353, the court found a prosecutor improperly commented on the failure of the defense expert to testify defendant’s cocaine use could have caused him to commit the crimes where the expert was essentially precluded from doing so by statute. (Id. at pp. 430-431.)

In *People v. Daggett* (1990) 225 Cal.App.3d 751, the court held it was error for a prosecutor in a child molestation case to ask the jury to draw an inference that if it was true victim molested other children, the victim must have learned how to do so from defendant - where the prosecutor had successfully excluded evidence that victim had been molested by persons other than defendant. (Id. at p. 757.)

In *People v. Varona* (1983) 143 Cal.App.3d 566, the court held it can be proper to comment upon the fact that the defense failed to produce evidence corroborating the defense story, but found it was misconduct for the prosecution to argue the defense did not produce any evidence the victim of a sexual assault was a prostitute after the prosecutor successfully excluded such evidence. (Id. at p. 570.)

X. ANTICIPATORY ARGUMENT

A prosecutor may comment in opening argument upon an anticipated argument by defense counsel. (See *People v. Dykes* (2009) 46 Cal.4th 731, 770; *People v. Thompson* (1988) 45 Cal.3d 86, 113; see also this outline, section II-K-3-a, at p. 48.]

Y. REBUTTAL ARGUMENT

1. Responding to Defense Argument

“[R]ebuttal argument must permit the prosecutor to fairly respond to arguments by defense counsel.” (*People v. Reyes* (2016) 246 Cal.App.4th 62, 74; *People v. Bryden* (1998) 63 Cal.App.4th 159, 184; see also *People v. Moore* (2016) 6 Cal.App.5th 73, 97 [characterizing as “questionable” the proposition that a prosecutor’s rebuttal is limited by the scope of the facts and evidence argued in the defendant’s closing argument].) Indeed, *so long as the prosecutor’s remarks do not go beyond the record*, “even otherwise prejudicial prosecutorial argument, when made within proper limits in rebuttal to arguments of defense counsel, does not constitute misconduct.” (*People v. Reyes* (2016) 246 Cal.App.4th 62, 74 citing to *People v. McDaniel* (1976) 16 Cal.3d 156, 177 and *People v. Hill* (1967) 66 Cal.2d 536, 560–561.)

Editor’s note: For a more extensive discussion of what type of otherwise impermissible argument may be raised during rebuttal in response to an improper defense argument, see this outline, section L-1-b at pp. 53-55.)

2. Loading Up Rebuttal Argument

It may be misconduct for the prosecutor to “sandbag” the defense by making an excessively short opening argument followed by an excessively long rebuttal argument. Although a prosecutor may waive the right to open the argument without waiving the right to close it (see *People v. Martin* (1919) 44 Cal. App. 45, 47), Penal Code section 1093(e) “does not permit the prosecutor to give a perfunctory (three and one-half reporter transcript pages) opening argument designed to preclude effective defense reply, and then give a ‘rebuttal’ argument —immune from defense reply—ten times longer (35 reporter transcript pages) than his opening argument.” (*People v. Robinson* (1995) 31 Cal.App.4th 494, 505.)

However, just because rebuttal argument exceeds in length opening argument does not mean improper “sandbagging” has occurred. In *People v. Moore* (2016) 6 Cal.App.5th 73, the court ultimately rejected (with some reservations) the defense claim that the prosecution “sandbagged him by engaging one prosecutor, who played a more minor role at trial, to make a perfunctory opening argument, saving its genuine substantive attack [of over two hours] by the prosecutor who conducted the bulk of the examination.” (*Id.* at p. 96.) The court also rejected the defense claim that, in light of this tactic, the defense should have been given an opportunity to offer surrebuttal argument. (*Ibid.*) Nor does the disdain for sandbagging mean a prosecutor cannot save her “most venomous”

arguments until rebuttal. (See *People v. Fernandez* (2013) 216 Cal.App.4th 540, 563.) And in *People v. Reyes* (2016) 246 Cal.App.4th 62, the court held that a prosecutor’s mention of a victim’s sexual orientation for the first time in rebuttal argument as evidence tending to show the victim would not have consented to the sex acts was not akin to the “sandbagging” that occurred in *People v. Robinson* (1995) 31 Cal.App.4th 494. (*Reyes* at p. 73.)

Z. COMMENT UPON DEFENDANT’S EXERCISE OF HIS CONSTITUTIONAL RIGHTS

1. Comment on Defendant’s Exercise of His Right to Trial

In *People v. Jasso* (2012) 211 Cal.App.4th 1354, the court did not *specifically* find a prosecutor’s argument that the jury trial was only occurring because the defendant had exercised his right to trial was improper. However, the court suggested it was misconduct by observing that this argument enhanced the risk that *another* argument made by the prosecutor (i.e., that appellate courts had upheld verdicts in similar cases –see this outline, section II-FF at p. 28) would improperly convey to the jury that a higher court “had already done the jury’s work and made the jury’s choice for it.” (*Id.* at pp. 1367, 1368.)

In the unpublished decision *People v. Kernes* [unreported] 2002 WL 1486379, the court held it was misconduct for the prosecutor to state: “Sometimes in a criminal case defendants exercise their right ... to come before this court, in this court before a jury like you, exercise their right to a trial by their peers. Not because they are innocent; because they take that chance and roll the dice and hope that one of you-because that’s all it takes is one of you-is going to think that there is some doubt that the defendant is not guilty and exercise that right.” (*Id.* at p. *8.) The court found it was improper, “[w]hether viewed as a commentary on the defendant’s exercise of a constitutional right, in such a way as to cast the presumption of innocence into doubt, as a reference to the prosecutor’s experience in other cases, or as the prosecutor’s possession of some special knowledge to which the jury here was not privy, which indicated defendant’s guilt.” (*Ibid.*)

However, it is not improper to comment on the fact a defendant was in the courtroom and was able to listen to all the other witnesses before he testified –even though arguably being present in court is an aspect of the right to trial. (See *Portuondo v. Agard* (2000) 529 U.S. 61 [and dissenting opinion].)

2. Comment Upon Defendant’s Exercise of His Right to Counsel

It is improper to comment upon the fact that a defendant has exercised his Sixth Amendment right to counsel. “Guilt cannot be inferred from the reliance on a constitutional right. Imposing a penalty for its exercise undermines that right ‘by making its assertion costly.’” (*People v. Bryant* (2014) 60 Cal.4th 335, 387 [citing to *Griffin v. California* (1965) 380 U.S. 609, 614]; see also *Bruno v. Rushen* (9th Cir. 1983) 721 F.2d 1193, 1194 [noting that the mere act of hiring an attorney is not probative in the least of the guilt or innocence of defendants].)

3. *Doyle* and *Griffin* Error

Doyle Error: A person's silence in apparent reliance on *Miranda* advice cannot be used against him in a criminal trial. (*Doyle v. Ohio* (1976) 426 U.S. 610)

Griffin Error: Prosecutor may not comment on failure of defendant to testify. (*Griffin v. California* (1965) 380 U.S. 609)

Editor's note: Please see the 2016 IPG #17 memo for a comprehensive review of issues involving alleged *Doyle* or *Griffin* error.

III. WHEN MISCONDUCT IN CLOSING ARGUMENT OR OPENING STATEMENT WILL CAUSE REVERSAL

“A prosecutor is held to a standard higher than that imposed on other attorneys because of the unique function he or she performs in representing the interests, and in exercising the sovereign power, of the state.” (*People v. Hill* (1998) 17 Cal.4th 800, 819-820.)

Although the good faith or bad faith of a prosecutor may be relevant in assessing whether a prosecutor should be subject to discipline (see *People v. Bolton* (1979) 23 Cal.3d 208, 214, fn. 3), bad faith is not required in order to establish prosecutorial misconduct for purposes of determining whether a case should be reversed. The crucial issue “is not the good faith vel non of the prosecutor, but the potential injury to the defendant.” (*People v. Clair* (1992) 2 Cal.4th 629, 661; see also *People v. Galloway* (1979) 100 Cal.App.3d 551, 565, fn. 8.)

That being said, the rules governing whether a prosecutor's closing argument will be held to be prosecutorial misconduct, and whether that misconduct will be deemed prejudicial, make it a tough road to hoe for the defense to prevail.

Even where a defendant shows prosecutorial misconduct occurred, reversal is not required unless the defendant can show he suffered prejudice. (*People v. Arias* (1996) 13 Cal.4th 92, 161.)

“When a claim of misconduct is based on the prosecutor's comments before the jury, “the question is whether there is a reasonable likelihood that the jury construed or applied any of the complained-of remarks in an objectionable fashion.” [Citation.]” (*People v. Friend* (2009) 47 Cal.4th 1, 29.) Reviewing courts must “consider the assertedly improper remarks in the context of the argument as a whole.” (*People v. Covarrubias* (2016) 21 Cal.5th 838, 894 citing to *People v. Cole* (2004) 33 Cal.4th 1158, 1203.) “If the challenged comments, viewed in context, ‘would have been taken by a juror to state or imply nothing harmful, [then] they obviously cannot be deemed objectionable.’” (*People v. Cortez* (2016) 63 Cal.4th 101, 130 citing to *People v. Benson* (1990) 52 Cal.3d 754, 793.) Reversal is required only if “there is a ‘reasonable likelihood the jury understood or applied [them] in an improper or erroneous manner.’” (*People v. Reyes* (2016) 246 Cal.App.4th 62, 77 citing to *People v. Wilson* (2005) 36 Cal.4th 309, 337.)

In conducting this inquiry, courts “do not lightly infer’ that the jury drew the most damaging rather than the least damaging meaning from the prosecutor’s statements.” (*People v. Wilson* (2005) 36 Cal.4th 309, 338; *People v. Frye* (1998) 18 Cal.4th 894, 970; **see also** *Boyd v. California* (1990) 494 U.S. 370, 385 [“[A] court should not lightly infer that a prosecutor intends an ambiguous remark to have its most damaging meaning or that a jury, sitting through lengthy exhortation, will draw that meaning from the plethora of less damaging interpretations”]).

Moreover, if the prosecutor’s comments run counter to the court’s instructions, courts “will ordinarily conclude that the jury followed the latter and disregarded the former,” for it is presumed “that jurors treat the court’s instructions as a statement of the law by a judge, and the prosecutor’s comments as words spoken by an advocate in an attempt to persuade.” (*People v. Reyes* (2016) 246 Cal.App.4th 62, 78 citing to *People v. Centeno* (2014) 60 Cal.4th 659, 676; **accord** *People v. Cortez* (2016) 63 Cal.4th 101, 131; **see also** *Boyd v. California* (1990) 494 U.S. 370, 384 [“arguments of counsel generally carry less weight with a jury than do instructions from the court. The former are usually billed in advance to the jury as matters of argument, not evidence . . . and are likely viewed as the statements of advocates”].)

Also, if the prosecutor and/or the defense attorney refers the jury to the court’s instructions, this can help place the allegedly erroneous misstatement in context or mitigate any damage done by the misstatement of law. (**See** *People v. Cortez* (2016) 63 Cal.4th 101, 131.)

Courts are also less likely to find prosecutorial misconduct when the statements in question are brief, isolated remarks and/or are offered in response to defense counsel’s misleading comments on the subject. (**See** *People v. Cortez* (2016) 63 Cal.4th 101, 133.)

Error with respect to prosecutorial misconduct is evaluated under *Chapman v. California* (1967) 386 U.S. 18 to the extent federal constitutional rights are implicated, and *People v. Watson* (1956) 46 Cal.2d 818 if only state law issues were involved. (*People v. Fernandez* (2013) 216 Cal.App.4th 540, 564; *People v. Adanandus* (2007) 157 Cal.App.4th 496, 514.)

A. PROSECUTORIAL MISCONDUCT RISING TO THE LEVEL OF FEDERAL CONSTITUTIONAL ERROR

“Under the federal Constitution, conduct by a prosecutor that does not result in the denial of the defendant’s specific constitutional rights—such as a comment upon the defendant’s invocation of the right to remain silent—but is otherwise worthy of condemnation, is not a constitutional violation unless the challenged action “so infected the trial with unfairness as to make the resulting conviction a denial of due process.” [Citation.]” (*People v. Riggs* (2008) 44 Cal.4th 248, 298.) However, if federal constitutional error **is** involved, then the burden shifts to the state “to prove beyond a reasonable doubt that the error complained of did not contribute to the verdict obtained.” (*People v. Bolton* (1979) 23 Cal.3d 208, 214.) This is the “*Chapman*” standard. (*People v. Gionis* (1995) 9 Cal.4th 1196, 1214–1216; *People v. Harris* (1989) 47 Cal.3d 1047, 1084.)

B. PROSECUTORIAL MISCONDUCT NOT RISING TO THE LEVEL OF FEDERAL CONSTITUTIONAL ERROR

Even when misconduct in closing argument does not rise to the level of federal constitutional error, it may be error under California state law, but “**only** if it involves the use of deceptive or reprehensible methods to attempt to persuade either the trial court or the jury.” (*People v. Mendoza* (2016) 62 Cal.4th 856, 905, emphasis added.) Moreover, it must be “reasonably probable that without such misconduct, an outcome more favorable to the defendant would have resulted.” (*People v. Riggs* (2008) 44 Cal.4th 248, 298.) This is the *Watson* standard. (*People v. Gionis* (1995) 9 Cal.4th 1196, 1215.)

C. IMPACT OF DEFENSE FAILURE TO OBJECT TO ALLEGED MISCONDUCT IN TIMELY MANNER

“As a general rule a defendant may not complain on appeal of prosecutorial misconduct unless in a timely fashion—and on the same ground—the defendant made an assignment of misconduct and requested that the jury be admonished to disregard the impropriety.” (*People v. Covarrubias* (2016) 21 Cal.5th 838, 894; *People v. Valencia* (2008) 43 Cal.4th 268, 281; accord *People v. Fuiava* (2012) 53 Cal.4th 622, 691; *People v. Carter* (2005) 36 Cal.4th 1215, 1263 [albeit also noting that if the objection is not immediately made, it may still be considered “timely” if “it came in time for the trial court to cure any harm made by the remarks”].) “The objection requirement is necessary in criminal cases because a ‘contrary rule would deprive the People of the opportunity to cure the defect at trial and would “permit the defendant to gamble on an acquittal at his trial secure in the knowledge that a conviction would be reversed on appeal.”’” (*People v. Partida* (2005) 37 Cal.4th 428, 434.) Indeed, it would be ““unfair to the trial judge and to the adverse party to take advantage of an error on appeal when it could easily have been corrected at the trial.”” (*People v. Saunders* (1993) 5 Cal.4th 580, 590.)

If no objection was made to the argument by counsel, “the initial question to be decided in all cases in which a defendant complains of prosecutorial misconduct for the first time on appeal is whether a timely objection and admonition would have cured the harm. If it would, the contention must be rejected ...; if it would not, the court must then and only then reach the issue whether on the whole record the harm resulted in a miscarriage of justice within the meaning of the Constitution.” (*People v. Bell* (1989) 49 Cal.3d 502, 535.)

However, “[a] defendant will be excused from the necessity of either a timely objection and/or a request for admonition if either would be futile.” (*People v. Peoples* (2016) 62 Cal.4th 718, 797; see *People v. Friend* (2009) 47 Cal.4th 1, 29–30 [concluding that exceptions to forfeiture rule were inapplicable when defense counsel frequently objected to asserted misconduct and the trial court sustained several objections;]; *People v. Hill* (1998) 17 Cal.4th 800, 821 [noting that the “absence of a request for a curative admonition does not forfeit the issue for appeal if ‘the court immediately overrules an objection to alleged prosecutorial misconduct [and as a consequence] the defendant has no opportunity to make such a request’” and finding forfeiture rule inapplicable where the record established the “unusual circumstances” of “continual misconduct, coupled with the trial court’s failure to rein in [the prosecutor’s] excesses, [which] created a trial atmosphere so poisonous that [counsel] was thrust upon the horns of a dilemma” concerning whether to object, thereby “provoking the trial court’s wrath,” or declining to object,

thereby forcing the defendant to suffer the prejudice of the prosecutor's "constant misconduct"]; **see also *People v. Seumanu*** (2015) 61 Cal.4th 1293, 1341 [recognizing that an objection may be viewed as futile when the failure to object is "due to the incremental nature of the improper argument, [so that] by the time the basis of an objection was apparent it would have been ineffective to counteract the prejudice flowing from the misconduct" but finding such an "unusual application of the futility exception" to be rare.]

Moreover, when the issue on appeal involves a question of law which affects the substantial rights of the defendant, "a reviewing court may, in its discretion, decide to review a claim that has been or may be forfeited for failure to raise the issue below." (***People v. Sanchez*** (2014) 228 Cal.App.4th 1517, 1525 citing to ***In re Sheena K.*** (2007) 40 Cal.4th 875, 887, fn. 7 and Pen. Code, § 1259; **accord *People v. Denard*** (2015) 242 Cal.App.4th 1012, 1019-1020.)

A failure to object may still provide a basis for an ineffective assistance of counsel claim. (**See *People v. Castaneda*** (2011) 51 Cal.4th 1292, 1333-1334.) However, if the prosecutorial misconduct is deemed harmless, any ineffective assistance claim based on failure to object will also be rejected. (**See *People v. Forrest*** (2017) -- Cal.5th -- [2017 WL 361095, at *5, fn. 7] [and cases cited therein].)

IV. BONUS SECTION: MISCONDUCT IN OPENING STATEMENT OR CLOSING ARGUMENT BY DEFENSE COUNSEL

Most types of misconduct in opening statement or closing argument constitute misconduct regardless of whether the argument is made by the prosecution or the defense attorney. (**See e.g., *Malkasian v. Irwin*** (1964) 61 Cal.2d 738, 747 ["There can be no doubt that to argue facts not justified by the record, and to suggest that the jury could speculate, was misconduct."]; ***Horn v. Atchison, Topeka and Santa Fe Railway Co.*** (1964) 61 Cal.2d 602, 609 ["remarks intended to play upon the emotions of sympathy, shock and horror were likewise improper matters for the jury to consider"]; ***Neumann v. Bishop*** (1976) 59 Cal.App.3d 451, 473 ["the practice of addressing individual jurors by name during the argument should be condemned rather than approved"]; ***Garden Grove School Dist. of Orange County v. Hendler*** (1965) 63 Cal.2d 141, 143 [misconduct for attorney to, inter alia, allude to facts not in evidence, make "promises of evidence to support or connect which he neglected to fulfill," allude "to his personal knowledge in his summation to the jury," and appeal to jurors' economic self-interest"]; ***Loth v. Truck-A-Way Corp.*** (1998) 60 Cal.App.4th 757, 765 [improper to ask "each juror to become a personal partisan advocate . . . rather than an unbiased and unprejudiced weigher of the evidence"]; California Rule of Professional Conduct 5-200 [Members of the State Bar "(A) Shall employ, for the purpose of maintaining the causes confided to the member such means only as are consistent with truth; ¶ (B) Shall not seek to mislead the judge, judicial officer, or jury by an artifice or false statement of fact or law; . . . (E) Shall not assert personal knowledge of the facts at issue, except when testifying as a witness."].) Of course, unlike when prosecutors engage in misconduct, convictions cannot be reversed for defense attorney misconduct. But this lack of a fall back safeguard provides a reason courts must be even more diligent in curtailing and correcting defense counsel misconduct in argument than when it comes to prosecutorial misconduct.

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NEXT EDITION: A PLETHORA OF NEW HUMAN TRAFFICKING LAWS, INCLUDING A LAW CREATING A BRAND NEW DEFENSE TO NONSERIOUS AND NONVIOLENT CRIMES FOR DEFENDANTS CLAIMING THEY WERE COERCED TO COMMIT THEIR CRIMES AS A DIRECT RESULT OF BEING A VICTIM OF HUMAN TRAFFICKING.

Suggestions for future topics to be covered by the Inquisitive Prosecutor's Guide, as well as any other comments or criticisms, should be directed to Jeff Rubin at (408) 792-1065. 🐕